

# Cryptography 555

## Lecture 2



### Evolution of Classical Cryptography

# Lecture Outline

- The Spartan scytale and transposition ciphers
- Shift and substitution ciphers.
- Frequency Analysis: attacks on substitution ciphers.
- Vigenere cipher.
- Attacks on Vigenere: Kasisky Test and Index of Coincidence
- Cipher machines: Rotor and Enigma machine.



# History of Cryptography

- 2500+ years
- An ongoing battle between codemakers and codebreakers
- Driven by communication & computation technology
  - paper and ink
  - cryptographic engine & telegram, radio
  - modern cryptography: computers & digital communication

# A Symmetric Cipher

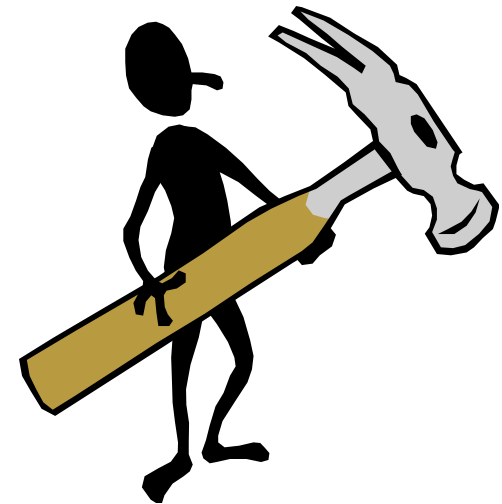
- A Cipher ( $K, P, C, E, D$ )
  - $K$ : the key space
  - $P$ : the plaintext space
  - $C$ : the ciphertext space
  - $E: K \times P \rightarrow C$ : the encryption function
  - $D: K \times C \rightarrow P$ : the decryption function
    - Given a key  $K$  and a plaintext  $P$ ,  
 $D(K, E(K, P)) = P$

# Cryptanalysis of Ciphers

- Two goals:
  - recover the encryption key
  - decrypt a given message
- There are different adversarial models, depending on:
  - the type of information available to the adversarial;
  - the interaction with the cipher machine.

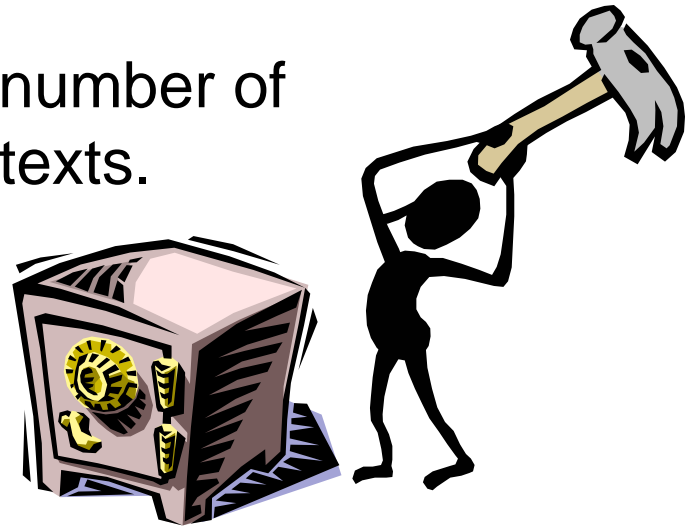
# Adversarial Models for Symmetric Ciphers

- **Ciphertext-only attack:** The cryptanalyst knows a number of ciphertexts. Sometimes the language of the plaintext and the cipher are also known.
- **Known-plaintext attack:** The cryptanalyst knows some pairs of ciphertext and corresponding plaintext.



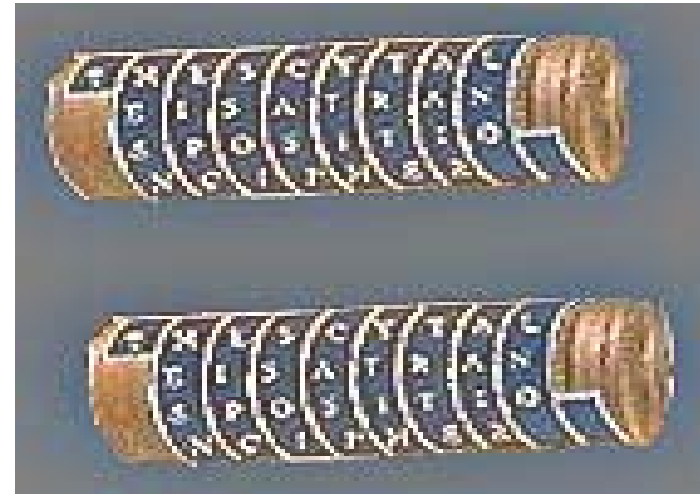
# Adversarial Models for Symmetric Ciphers

- **Chosen-plaintext attack**  
The cryptanalyst can choose a number of messages and obtain the ciphertexts for them
- **Chosen-ciphertext attack**  
The cryptanalyst can choose a number of ciphertexts and obtain the plaintexts.



# The Spartan Scytale Cipher

- Dating back to 5<sup>th</sup> century B.C.
- A scytale is a wooden staff, around which a belt is wound; message is written along the length of the scytale
- It is a transposition cipher
  - the letters of a message are rearranged
- HW Problem: formally define the cipher
- Cryptanalysis?





# Shift Cipher

- A substitution cipher
- The Key Space:
  - [1 .. 25]
- Encryption given a key  $K$ :
  - each letter in the plaintext  $P$  is replaced with the  $K$ 'th letter following corresponding number (shift right)
- Decryption given  $K$ :
  - shift left

History:  $K = 3$ , Caesar's cipher



# Shift Cipher Formally Defined

- Associate numbers with the alphabet

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25

- The key space:  $\Sigma = \{A, B, C, \dots, Z\}$
- The plaintext space:  $\Sigma^+$ 
  - all strings consisting of letters in  $\Sigma$
- The ciphertext space:  $\Sigma^+$
- To encrypt a message  $P$  using key  $K$ ,
  - each letter  $p_i$  in  $P$  is replaced by the letter  $(p_i + K) \bmod 26$
- To decrypt a message  $C$  using key  $K$ ,
  - each letter  $c_i$  in  $C$  is replaced by the letter  $(c_i - K) \bmod 26$

# Shift Cipher: An Example

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z  
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25

P = CRYPTOGRAPHYISFUN

K = 11

C = NCJAVZRCLASJTDQFY

C → 2;  $2+11 \bmod 26 = 13 \rightarrow$  N

R → 17;  $17+11 \bmod 26 = 2 \rightarrow$  C

...

N → 13;  $13+11 \bmod 26 = 24 \rightarrow$  Y

# Shift Cipher: Cryptanalysis

- Can an attacker find  $K$ ?
  - YES: exhaustive search, key space is small ( $\leq 26$  possible keys).
- Once  $K$  is found, very easy to decrypt

# General Monoalphabetic Substitution Cipher

- The key space: all permutations of  $\Sigma = \{A, B, C, \dots, Z\}$
- Encryption given a key  $\pi$ :
  - each letter  $X$  in the plaintext  $P$  is replaced with  $\pi(X)$
- Decryption given a key  $\pi$ :
  - each letter  $Y$  in the ciphertext  $P$  is replaced with  $\pi^{-1}(Y)$

## Example:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z  
 $\pi =$  B A D C Z H W Y G O Q X S V T R N M S K J I P F E U

BECAUSE  $\rightarrow$  AZDBJSZ

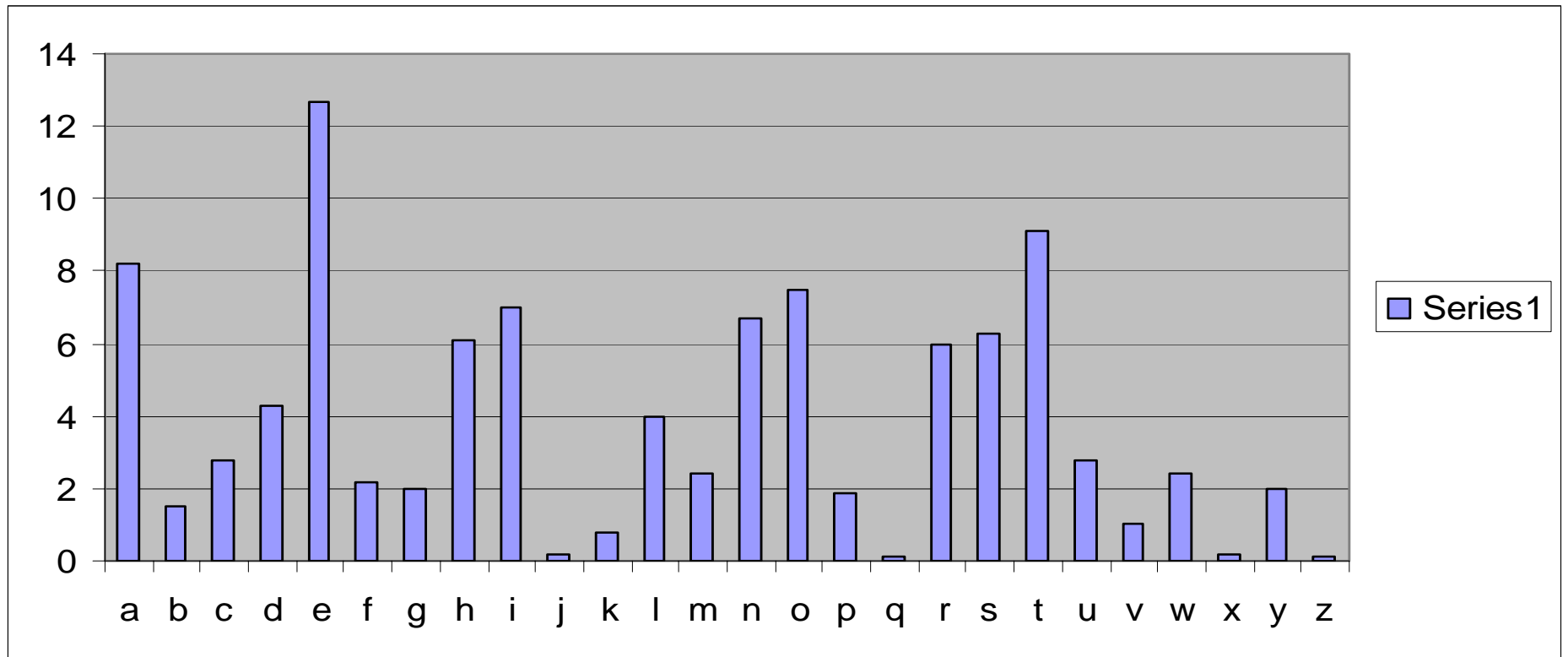
# Strength of the General Substitution Cipher

- Exhaustive search is infeasible
  - key space size is  $26! \approx 4 \times 10^{26}$
- Dominates the art of secret writing throughout the first millennium A.D.
- Thought to be unbreakable by many back then

# Cryptanalysis of Substitution Ciphers: Frequency Analysis

- Basic ideas:
  - Each language has certain features: frequency of letters, or of groups of two or more letters.
  - Substitution ciphers preserve the language features.
  - Substitution ciphers are vulnerable to frequency analysis attacks.

# Frequency of Letters in English



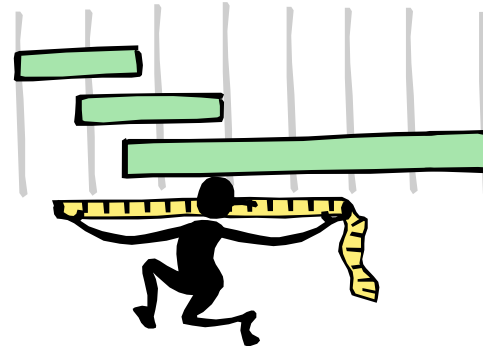


# Other Frequency Features of English

- EN is the most common two-letter combination, followed by RE, ER, and NT.
- Vowels, which constitute 40 % of plaintext, are often separated by consonants.
- The letter A is often found in the beginning of a word or second from last. The letter I is often third from the end of a word.
- The letter Q is followed only by U
- And more ...

# Substitution Ciphers: Cryptanalysis

- The number of different ciphertext characters or combinations are counted to determine the frequency of usage.
- The cipher text is examined for patterns, repeated series, and common combinations.
- Replace ciphertext characters with possible plaintext equivalents using known language characteristics.



# History

- Discovered by the Arabs
  - earliest known description of frequency analysis is in a book by the ninth-century scientist al-Kindi
- Rediscovered or introduced from the Arabs in the Europe during the Renaissance
- Frequency analysis made substitution cipher insecure

# Ways to Improve the Security of Substitution Cipher

- Using nulls
  - e.g., using numbers from 1 to 99 as the ciphertext alphabet, some numbers representing nothing and are inserted randomly
- Deliberately misspell words
  - e.g., “Thys haz thi ifekkt off diztaughting thi ballans off frikwenseas”
- Homophonic substitution cipher
  - each letter is replaced by a variety of substitutes
- These make frequency analysis more difficult, but not impossible

# Towards the Polyalphabetic Substitution Ciphers

- Main weaknesses of monoalphabetic substitution ciphers
  - each letter in the ciphertext corresponds to only one letter in the plaintext letter
- Idea for a stronger cipher (1460's by Alberti)
  - use more than one cipher alphabet, and switch between them when encrypting different letters
- Developed into a practical cipher by Vigenere (published in 1586)

# The Vigenere Cipher

## Definition:

Given  $m$ , a positive integer,  $P = C = (\mathbb{Z}_{26})^n$ , and  $K = (k_1, k_2, \dots, k_m)$  a key, we define:

## Encryption:

$$e_k(p_1, p_2 \dots p_m) = (p_1+k_1, p_2+k_2 \dots p_m+k_m) \pmod{26}$$

## Decryption:

$$d_k(c_1, c_2 \dots c_m) = (c_1-k_1, c_2-k_2 \dots c_m - k_m) \pmod{26}$$

## Example:

Plaintext: C R Y P T O G R A P H Y

Key: L U C K L U C K L U C K

Ciphertext: N L A Z E I I B L J J I

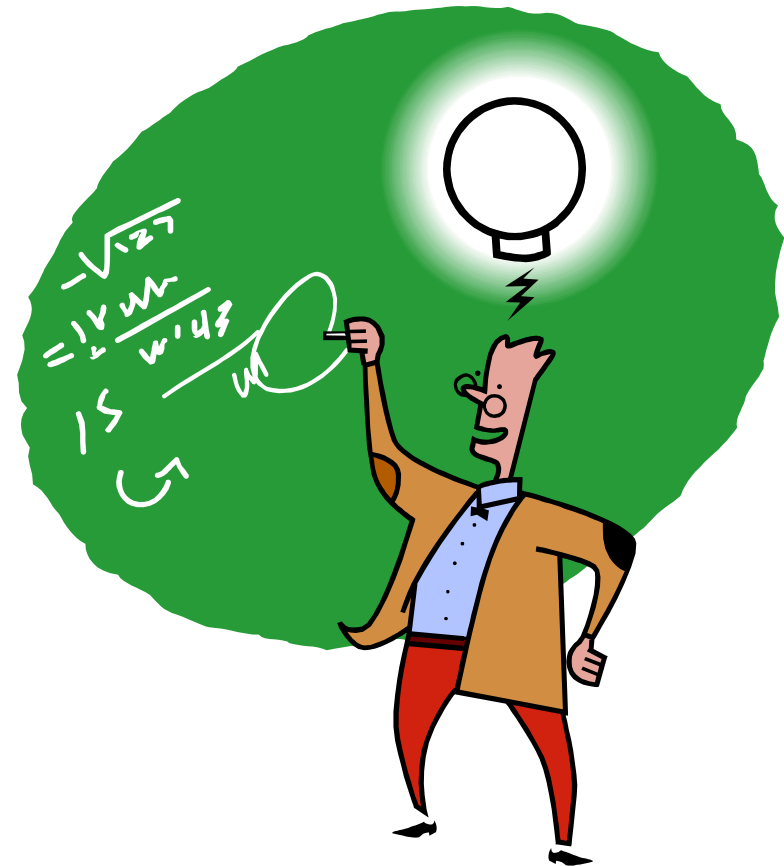
# Security of Vigenere Cipher

- Vigenere **masks the frequency** with which a character appears in a language: one letter in the ciphertext corresponds to multiple letters in the plaintext. Makes the **use of frequency analysis more difficult**.
- Any message encrypted by a Vigenere cipher is a collection of as **many simple substitution ciphers** as there are letters in the key.



# Vigenere Cipher: Cryptanalysis

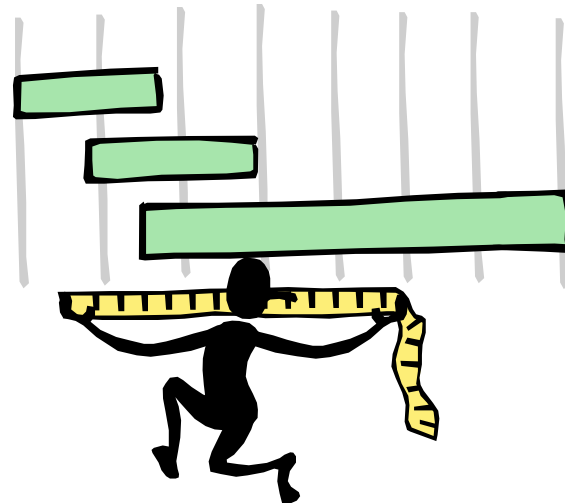
- Find the **length of the key**.
- **Divide** the message into that many simple substitution encryptions.
- **Use frequency analysis** to solve the resulting simple substitutions.
  - how?





# How to Find the Key Length?

- For Vigenere, as the length of the keyword increases, the letter frequency shows less English-like characteristics and becomes more random.
- Two methods to find the key length:
  - Kasisky test
  - Index of coincidence (Friedman)



# Kasisky Test

- Note: two identical segments of plaintext, will be encrypted to the same ciphertext, if they occur in the text at the distance  $\Delta$ , ( $\Delta \equiv 0 \pmod{m}$ ),  $m$  is the key length).
- Algorithm:
  - Search for pairs of identical segments of length at least 3
  - Record distances between the two segments:  $\Delta_1, \Delta_2, \dots$
  - $m$  divides  $\text{gcd}(\Delta_1, \Delta_2, \dots)$



# Example of the Kasisky Test

Key	K I N G K I N G K I N G K I N G K I N G K I N G
PT	t h e s u n a n d t h e m a n i n t h e m o o n
CT	D P R Y E V N T N <u>B U K</u> W I A O X <u>B U K</u> W W B T

# Index of Coincidence (Friedman)

**Informally:** Measures the probability that two random elements of the n-letters string  $x$  are identical.

## Definition:

Suppose  $x = x_1x_2\dots x_n$  is a string of  $n$  alphabetic characters. Then  $I_c(x)$ , the index of coincidence is:

$$I_c(x) = P(x_i = x_j)$$

# Index of Coincidence (cont.)

- Reminder: binomial coefficient  $\binom{n}{k} = \frac{n!}{k!(n-k)!}$
- Consider the plaintext  $x$ , and  $f_0, f_1, \dots, f_{25}$  are the frequencies with which A, B, ... Z appear in  $x$  and  $p_0, p_1, \dots, p_{25}$  are the probabilities with which A, B, ... Z appear in  $x$ .
- We want to compute  $I_c(x)$ .

# Index of Coincidence (cont.)

- We can choose two elements out of the string of size  $n$  in  $\binom{n}{2}$  ways
- For each  $i$ , there are  $\binom{f_i}{2}$  ways of choosing the elements to be  $i$

$$I_C(x) = \frac{\sum_{i=0}^s \binom{f_i}{2}}{\binom{n}{2}} = \frac{\sum_{i=0}^s f_i(f_i - 1)}{n(n-1)} \approx \frac{\sum_{i=0}^s f_i^2}{n^2} = \sum_{i=0}^s p_i^2$$

# Index of Coincidence of English

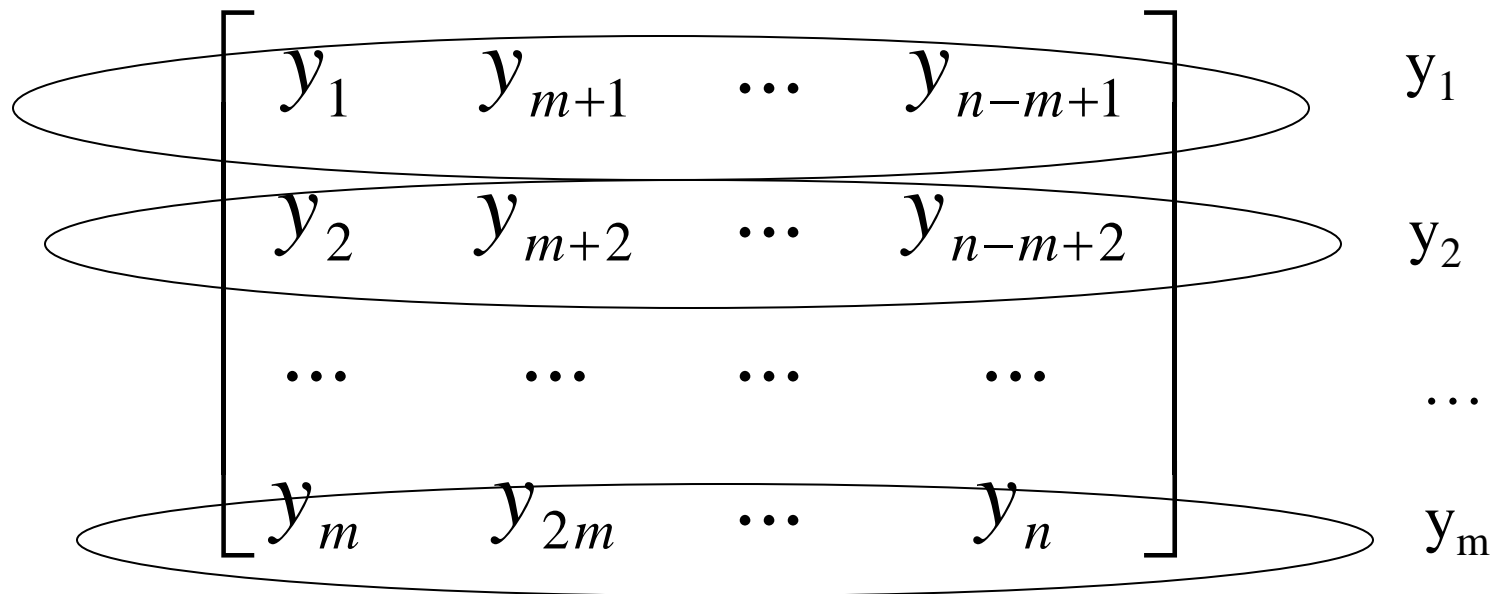
- For English,  $S = 25$  and  $p_i$  can be estimated

Letter	$p_i$	Letter	$p_i$	Letter	$p_i$	Letter	$p_i$
A	.082	H	.061	O	.075	V	.010
B	.015	I	.070	P	.019	W	.023
C	.028	J	.002	Q	.001	X	.001
D	.043	K	.008	R	.060	Y	.020
E	.127	L	.040	S	.063	Z	.001
F	.022	M	.024	T	.091		
G	.020	N	.067	U	.028		

$$I_c(x) = \sum_{i=0}^{i=25} p_i^2 = 0.065$$

# Finding the Key Length

$y = y_1 y_2 \dots y_n$ ,  $m$  is the key length





# Guessing the Key Length

- If  $m$  is the key length, then the text ``looks like''  
**English** text

$$I_c(y_i) \approx \sum_{i=0}^{i=25} p_i^2 = 0.065 \quad \forall 1 \leq i \leq m$$

- If  $m$  is not the key length, the text ``looks like''  
**random** text and:

$$I_c \approx \sum_{i=0}^{i=25} \left(\frac{1}{26}\right)^2 = 26 \times \frac{1}{26^2} = \frac{1}{26} = 0.038$$

# Rotor Machines

- Basic idea: if the key in Vigenere cipher is very long, then the attacks won't work
- Implementation idea: multiple rounds of substitution
- A machine consists of multiple cylinders
  - each cylinder has 26 states, at each state it is a substitution cipher
  - each cylinder rotates to change states according to different schedule

# Rotor Machines

- A m-cylinder rotor machine has
  - $26^m$  different substitution ciphers
    - $26^3 = 17576$
    - $26^4 = 456,976$
    - $26^5 = 11,881,376$

# Enigma Machine

- Use 3 scramblers (motors):  
**17576 substitutions**
- 3 scramblers can be used in  
any order: 6 combinations
- Plug board: allowed 6 pairs of  
letters to be swapped before  
the encryption process started  
and after it ended.

$$\frac{26!}{14! \cdot 6! \cdot 6!} = 100,391,791,500$$

- Total number of keys  $\approx 10^{16}$



# Using Enigma Machine

- A day key has the form
  - Plugboard setting: A/L–P/R–T/D–B/W–K/F–O/Y
  - Scrambler arrangement: 2-3-1
  - Scrambler starting position: Q-C-W
- Sender and receiver set up the machine the same way for each message
- Use of message key: a new scrambler starting position, e.g., PGH
  - first encrypt and send the message key, then set the machine to the new position and encrypt the message
  - initially the message key is encrypted twice

# History of the Enigma Machine

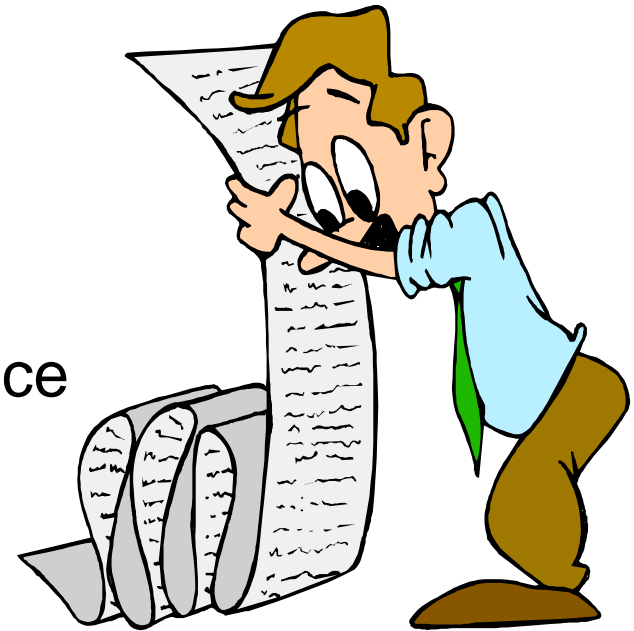
- Patented by Scherius in 1918
- Widely used by the Germans from 1926 to the end of second world war
- First successfully broken by the Polish's in the thirties by exploiting the repeating of the message key
- Then broken by the UK intelligence during the WW II

# How the Polish Break Enigma Machine

- They have a copy of the machine
- Main idea: separating the effect of the plugboard setting from the starting position
- Exploiting the repetition of message keys

# Summary

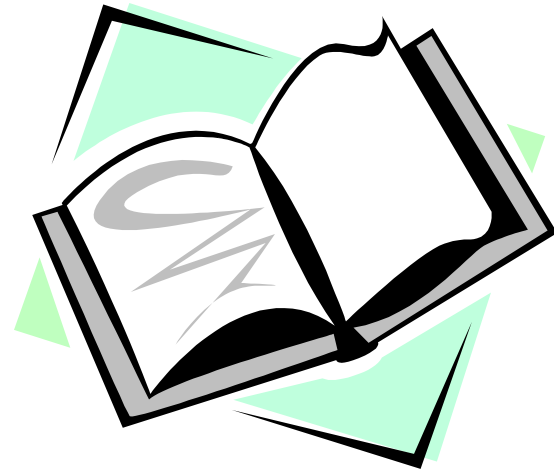
- Shift ciphers are easy to break using brute force attacks, they have small key space.
- Substitution ciphers vulnerable to frequency analysis attacks.
- Vigenere cipher is vulnerable: once the key length is found, a cryptanalyst can apply frequency analysis.





# Recommended Reading for This Lecture

- Stinson
  - Section 1.1:
    - 0, 1, 2, 3, 4,
  - Section 1.2:
    - 0, 1, 2, 3,
- The Code Book by Simon Singh



# Coming Attractions ...

- Information-Theoretic secrecy (Perfect secrecy), One-Time Pad, Stream Ciphers
- Recommended reading for next lecture:  
Stinson 1.1.7, 1.2.5, 2.1, 2.2, 2.3

