



# Nuclear factor- $\kappa$ B1 and MicroRNA-146a polymorphisms and risk of acute graft versus host disease post allogeneic stem cell transplantation

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## ABSTRACT

Acute graft-versus-host disease (aGVHD) is a severe inflammatory complication of haematopoietic stem cell transplantation. The nuclear factor- $\kappa$ B (NF- $\kappa$ B) signaling pathway regulates T cell activation. The NF- $\kappa$ B controls the expression of microRNA-146a (miR-146a) that in turn regulates NF- $\kappa$ B activation through a negative feedback loop. We aim to analyze the association between NF- $\kappa$ B1 encoding p50 (rs28362491, -94 insertion/deletion ATTG) and miR-146a (rs2910164, G > C) polymorphisms and risk of aGVHD. Genotyping was performed for 135 HLA-matched donors using polymerase chain reaction-restriction fragment length polymorphism (PCR-RFLP). The incidence of aGVHD grades II-IV was 24/135 (17.8 %). NF- $\kappa$ B1 genotype and cytomegalovirus infection were significantly associated with risk of aGVHD II-IV ( $p = 0.022$ , HR = 3.17, 95 % CI: 1.18-8.51 and  $p = 0.048$ , HR = 2.56, 95 % CI: 1.01-6.52, respectively). In multivariate analysis, NF- $\kappa$ B1 homozygous deletion/deletion genotype was the only independent risk factor associated with aGVHD II-IV ( $p = 0.013$ , HR = 3.50, 95 % CI: 1.30-9.44). No significant association could be observed between miR-146a polymorphism and aGVHD. Combined NF- $\kappa$ B1 and miR-146a genotype analysis warrants investigation in a larger cohort. Our preliminary data do not support the association between miR-146a and aGVHD, but suggest an association between NF- $\kappa$ B1 and risk of aGVHD that may pave the way for the development of a novel targeted therapy if proved in a larger cohort.

## 1. Introduction

Allogeneic haematopoietic stem cell transplantation (AH SCT) is an effective treatment modality for many malignant and non malignant haematological disorders. Nevertheless, the development of acute graft versus host disease (aGVHD) in up to 35–50 % of patients constitutes a major cause of morbidity and transplant-related mortality (TRM) that necessitates appropriate intervention and prevention (Jacobsohn, 2007). aGVHD is a severe inflammatory complication of AH SCT (Zeiser and Blazar, 2017). T cell activation plays a crucial role in its pathogenesis and represents a major target for the developing immunosuppressive approaches (Zhang et al., 2018).

The functional interaction between the nuclear factor of kappa light polypeptide gene enhancer in B-cells (NF- $\kappa$ B) signaling pathway and microRNAs (miRNAs) is essential for the regulation of inflammation. T-cell activation induces a variety of proinflammatory and immunomodulatory responses. NF- $\kappa$ B controls the initiation and resolution of inflammation by promoting the synthesis of pro-inflammatory

cytokines and chemokines at the induction of inflammation while suppressing upregulated inflammatory genes upon termination (Ghosh and Hayden, 2008; Lawrence and Fong, 2010). The induced expression of miR-146a following T cell activation depends on NF- $\kappa$ B signaling. In turn, miR-146a negatively regulates activated T cells (Yang et al., 2012b).

The NF- $\kappa$ B family of transcription factors comprises five subunits, RelA (p65), NF- $\kappa$ B1 (p50 and p105), NF- $\kappa$ B2 (p52 and p100), c-Rel, and RelB that regulate gene expression by forming homodimers and heterodimers complexes with distinctive functions in the immune response (Cartwright et al., 2016; Zeligs et al., 2016). The T cell receptor (TCR) and Toll-like receptor (TLR) engagement and the exposure to proinflammatory cytokines such as tumor necrosis factor (TNF) activate the classical canonical NF- $\kappa$ B signaling pathway through the adaptor molecule tumor necrosis factor receptor-associated factor 6 (TRAF6) allowing translocation of different NF- $\kappa$ B subunits into the nucleus and their binding to the promoters of target genes (Liu et al., 2017; Pereira and Oakley, 2008; Sun et al., 2004).

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The NF- $\kappa$ B1 gene is located on chromosome 4q24 and encodes the regulatory p50 subunit and its isoform p105. p50 lacks transcriptional activity, and therefore promotes transcription only by forming heterodimer with other subunits, of which p65 (RelA) is the most abundant one activated by the canonical NF- $\kappa$ B pathway (Oeckinghaus and Ghosh, 2009). p50 homodimers repress transcription of proinflammatory genes by promoting chromatin condensation by combining with histone deacetylase1 (p50/p50/HDAC1) and competing for NF- $\kappa$ B binding sites (Cartwright et al., 2016, Elsharkawy et al., 2010, Zhong et al., 2002). While Bcl-3 maintains repression by the p50 homodimer by forming of a stable repressor complex through inhibition of ubiquitination (Cartwright et al., 2016, Oeckinghaus and Ghosh, 2009).

MiR-146a, a member of miR-146 family, encoded by a gene located on chromosome 5, plays a role in controlling inflammatory immune responses by signaling modulation (Esteller, 2011, Tahamtan et al., 2018, Testa et al., 2017, Zheng et al., 2017). MiR-146a negatively regulates T cells by targeting the TRAF6 and interleukin-1 receptor associated kinase 1 (IRAK1) adaptor molecules in the NF- $\kappa$ B signaling pathway through a negative feedback loop (Ma et al., 2011, Testa et al., 2017).

Dysregulation of NF- $\kappa$ B1 and miR-146a activity is associated with inflammatory disorders. A functional insertion/deletion polymorphism (-94 insertion/deletion ATTG) (rs28362491) in the promoter of the NF- $\kappa$ B1 associated with reduced promoter activity has been studied in many inflammatory and autoimmune diseases (Borm et al., 2005, Hegazy et al., 2001, Karban et al., 2004, Li et al., 2008, Sun and Zhang, 2007). The miR-146a rs2910164 G > C single nucleotide polymorphism (SNP) located in the stem region of pre-miR-146a is associated with decreased expression of its mature form and was reported to be associated with susceptibility to aGVHD and many autoimmune diseases (Li et al., 2015, Stickel et al., 2014, Stickel et al., 2017).

We investigated the role played by the NF- $\kappa$ B signaling pathway in the pathogenesis of aGVHD by studying the association between NF- $\kappa$ B1 (rs28362491, -94 in./del ATTG) and miR-146a (rs2910164, G > C) polymorphisms and the risk of aGVHD development.

## 2. Material and methods

### 2.1. Patients

This retrospective study included 135 patients with haematological malignancies [89 acute myeloid leukemia (AML), 28 acute lymphoblastic leukemia (ALL), 13 chronic myeloid leukemia (CML) and 5 myelodysplastic syndrome (MDS)] who underwent HLA-identical sibling-donor allogeneic haematopoietic stem cell transplantation between 20015 and 2018 at Nasser Institute Hospital for Research and Treatment, Ministry of Health, Egypt. The median age of patients was 30 years (range: 4–57) and that of donors was 32 years (range: 10–56). The patients were 82 (60.7 %) males and 53 (39.3 %) females. The study was approved by the National Cancer Institute institutional review board. All patients provided written informed consent according to the Declaration of Helsinki. Acute GVHD was graded according to the Glucksberg scale (Glucksberg et al., 1974).

Conditioning regimens and GVHD prophylaxis

The conditioning regimens shown in Table 1 included busulfan (BU), fludarabine (FLU), cyclophosphamide (CY), melphalan (MELPH), and total body irradiation (TBI). The use of different regimens varied according to different diseases (BU/CY, FLU/BU, FLU/MELPH and FLU/CY for AML, MDS and CML and TBI/CY for ALL). GVHD prophylaxis consisted of combinations of cyclosporine A (CSA), methotrexate (MTX), mycophenolate mofetil (MMF) and post transplant cyclophosphamide (Table 1).

### 2.2. Methods

Genotyping

**Table 1**  
Patients' characteristics.

Patient characteristics	Number (%)
Age*	30(4–57)
Gender	
• Male	82(60.7)
• Female	53(39.3)
Disease at transplantation	
• Acute myeloid leukemia	89(65.9)
• Acute lymphoblastic leukemia	28(20.8)
• Chronic myeloid leukemia	13(9.6)
• Myelodysplastic syndrome	5(3.7)
Gender mismatch between recipient and donor	
• Female to male	34(25.2)
• Other combinations	101(74.8)
Conditioning regimen	
• Busulfan/Cyclophosphamide	81(60)
Fludarabine-based	43(31.9)
Fludarabine/ Alkylating agent	9(6.7)
Fludarabine/ Busulfan	31(23)
Fludarabine/ Cyclophosphamide	3(2.2)
• TBI/ Cyclophosphamide	11(8.1)
GVHD prophylaxis	
• Cyclosporine, Methotrexate	109(80.7)
• Cyclosporine, Mycophenolate mofetil	10(7.4)
• Cyclosporine, post Cyclophosphamide	16(11.9)
CMV infection (by PCR)	
• Yes	18(13.3)
• No	117(86.7)
Acute graft versus host disease (aGVHD)	
<b>Grade</b>	
• Grade 0-I	111(82.2)
• Grade II-IV	24(17.8)
<b>Site</b>	
Skin	7/24(29.4)
GIT	13/24(53)
Liver	4/24(17.6)

Abbreviations: TBI: Total body irradiation, CMV: Cytomegalovirus.

\* median (range).

Genomic DNA was extracted from peripheral blood cells obtained from donors using minicolumn DNA purification kit (Qiagen, Hilden, Germany). Genotyping of NF- $\kappa$ B1 (rs28362491, -94 in./del ATTG) and miR-146a (rs2910164, G > C) SNPs was performed for the HLA-matched related donors using the polymerase chain reaction- restriction fragment length polymorphism (PCR-RFLP) method as described previously (Gautam et al., 2017, Zeng et al., 2010).

### 2.3. Statistical analysis

Statistical analysis was done using IBM SPSS® Statistics version 22 (IBM® Corp., Armonk, NY, USA). Numerical data were expressed as median range as appropriate. Qualitative data were expressed as frequency and percentage. For normally distributed quantitative data, comparison between two groups was done using Student t-test. Association between development of aGVHD and different genotypes was done using Kaplan-Meier survival analysis and the risk (hazard ratio) was estimated using Cox-proportional hazard model for univariate and multivariate analysis with 95 % confidence interval (CI). All tests were two-tailed. A p-value < 0.05 was considered significant.

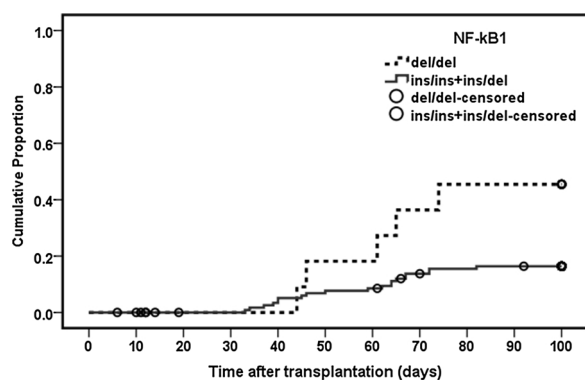
## 3. Results

Patient characteristics are summarized in Table 1. The incidence of

**Table 2**  
NF-κB1 (rs283624 91) and miR-146a (rs2910164) genotypes and development of acute graft versus host disease.

Gene	n	No. of aGVHD	Cumulative proportion at 100 days	p-value	HR	95 % CI
Whole Group	135	24	81.1			
<b>NF-κB1</b>						
<b>Additive Model</b>						
ins/ins	58	8	85.5 %	0.065	1	
ins/del	66	11	82.0 %		1.27	0.51-3.17
del/del	11	5	54.5 %		3.63	1.18-11.11
<b>Recessive model</b>						
ins/ins	58	8	85.5 %	0.280	1	
ins/del + del/del	77	16	77.8 %		1.60	0.68-3.73
<b>Dominant Model</b>						
ins/ins + ins/del	124	19	83.6 %	0.022	1	
del/del	11	5	54.5 %		3.17	1.18-8.51
<b>MiR-146a</b>						
<b>Additive Model</b>						
GG	43	7	82.9 %	0.915	1	
GC	74	13	81.0 %		1.13	0.45-2.83
CC	18	4	77.8 %		1.30	0.38-4.44
<b>Recessive Model</b>						
GG	43	7	82.9 %	0.732	1	
CG + CC	92	17	80.3 %		1.17	0.48-2.81
<b>Dominant Model</b>						
GG + CG	117	20	81.7 %	0.738	1	
CC	18	4	77.8 %		1.12	0.41-3.51

HR: Hazard Ratio, CI: Confidence Interval, aGVHD: acute graft-versus-host disease, ins: insertion, del: deletion.



**Fig. 1.** The cumulative incidence of acute GVHD according to NF-κB1 genotypes.

aGVHD grade II–IV was 24/135 (17.8 %) (Table 1). The gastrointestinal tract (GIT) was the commonest affected organ followed by the skin and the liver (Table 1). Eleven patients (8.1 %) died before 100 days of transplantation without developing aGVHD. The distribution of donors NF-κB1 (rs283624 91) and miR-146a (rs2910164) genotypes is present in Table 2 and was in Hardy Weinberg equilibrium. Association of donors NF-κB1 (rs283624 91) and miR-146a (rs2910164) genotypes with risk of aGVHDII-IV is shown in Table 2. Recipients of donors

carrying NF-κB1 homozygous del/del genotype had a statistically significant increased risk of aGVHD grade II–IV compared to those carrying insertion/insertion (ins/ins) or ins/del genotypes ( $p = 0.022$ , HR = 3.17, 95 % CI: 1.18–8.51). The cumulative incidence of grade II–IV aGVHD post-transplantation according to del/del versus del/ins or ins/ins genotype is shown in Fig. 1. Analysis of other risk factors for aGVHD including patient age, donor-recipient gender combination, conditioning regimen, GVHD prophylaxis and cytomegalovirus infection by PCR is shown in Table 3. Cytomegalovirus infection showed significant association with increased risk of aGVHD in univariate analysis. On multivariate analysis, NF-κB1 genotype was the only independent factor that significantly affected risk of aGVHD ( $p = 0.013$ , HR: 3.50, 95 % CI: 1.30–9.44). Statistical significance was not maintained for cytomegalovirus infection ( $p = 0.190$ , HR: 1.92, 95 % CI: 0.72–5.08).

No significant association was found between donor miR-146a genotype and the risk of aGVHD II–IV as shown in Table 2 ( $p = 0.738$ , HR: 1.12, 95 % CI: 0.41–3.51). Statistical analysis of combined NF-κB1 p50 and miR-146a genotypes could not be performed, however we observed lower incidence of aGVHD in patients with donors carrying combined miR-146a GG and p50 in./ins genotypes compared those carrying miR-146a GG genotype combined with p50 in./del and del/del genotypes [1/17 (5.9 %) versus 6/19 (31.6 %)].

**Table 3**  
Relation of risk factors of acute graft versus host disease.

Risk factor	Univariate			Multivariate		
	p-value	HR	95 % CI	p-value	HR	95 % CI
NF-κB1 del/del vs ins/ins and ins/del	0.022	3.17	1.18-8.51	0.013	3.50	1.30-9.44
Patient age > 40 years vs ≤ 40 years	0.584	1.35	0.46-3.95			
Gender mismatch between recipient and donor Female donor to male recipient vs others combinations	0.899	1.06	0.42-2.68			
Conditioning regimen TBI /CY vs others	0.576	.565	0.08-4.18			
GVHD prophylaxis CSA,MTX vs CSA,MMF or CSA, post CY	0.085	5.82	0.79-43.16	0.077	6.11	0.82-45.30
CMV infection by PCR CMV vs No CMV	0.048	2.56	1.01-6.52	0.190	1.92	0.72-5.08

HR: Hazard ratio, CI: Confidence interval.

TBI: Total body irradiation; CY: cyclophosphamide; CSA: Cyclosporine; MTX :Methotrexate; CSA: Cyclosporine; MMF: Mycophenolate mofetil; post CY: post Cyclophosphamide.

#### 4. Discussion

Acute GVHD is a severe inflammatory complication of AHSCT. The functional interaction between the NF- $\kappa$ B signaling pathway and miRNAs is essential for the regulation of inflammation.

In our cohort, the NF- $\kappa$ B1 (rs28362491, -94 in./del ATTG) p50 del/del genotype was an independent risk factor for the development of aGVHD II-IV. Association of the del allele with chronic inflammatory disorders and autoimmune diseases for instance ulcerative colitis, rheumatoid arthritis, multiple sclerosis and psoriasis has been reported by many groups (Bogunia-Kubik et al., 2016, Borm et al., 2005, Karban et al., 2004, Li et al., 2008, Oakley et al., 2005, Salim et al., 2013). The NF- $\kappa$ B1 del allele is associated with reduced expression of p50 gene and p50/p105 protein production (Hegazy et al., 2001). Diminished inhibitory p50/p50 homodimer/HDAC1 complex and p50/Bcl-3 protein interaction is expected to be associated with potent activation of transcription of proinflammatory cytokines such as TNF $\alpha$  (Bogunia-Kubik et al., 2016, Collins et al., 2015, Elsharkawy et al., 2010; Pereira and Oakley, 2008). TNF $\alpha$  produced by activated T cells mediates inflammation and tissue damage in the course of aGVHD (Choi et al., 2008). Higher TNF $\alpha$  levels produce more severe GVHD and are associated with increased incidence of TRM (Choi et al., 2008, Levine, 2011). Inhibition of TNF $\alpha$  by the use monoclonal antibodies or competitors of the TNF- $\alpha$  receptor has been introduced in the treatment of steroid-refractory GVHD (Levine, 2011, Wolff et al., 2005). Study of these biological drugs in rheumatoid arthritis in relation to NF- $\kappa$ B1 genotype showed poor response in patients with the ins variant in one study and favorable response in patients with del allele in another one (Geçura et al., 2017, Oakley et al., 2005). Glucocorticoids are the front-line therapy for GVHD. Schäfer et al., 2014 et al. reported failure of the hydrocortisone to inhibit the lipopolysaccharide induced translocation of NF- $\kappa$ B1 in patients with septic shock carrying the deletion allele. These suggested NF- $\kappa$ B1 inflammation-modulating effects warrant further investigation in aGVHD.

The change in the level of p50 subunit can also alter the balance between other dimers involved in the NF- $\kappa$ B signaling pathway leading to immune dysregulation. In the study conducted by Karban et al., 2004, the association between NF- $\kappa$ B1 del allele and ulcerative colitis was attributed not only to a decrease in the inhibitory p50/p50 homodimer, but also to a reduction in the inflammatory p50/p65 heterodimer and associated weakened innate immune response and invasion by the intestinal bacteria. Reduced p50/p65 heterodimer may also diminish protection of the small intestine from the radiation-induced damage as reported by Wang et al., 2004.

In contrast to our finding, the ins variant has been shown to be associated with risk of Behcet's disease and psoriasis in the Turkish population (Li et al., 2008, Yenmis et al., 2015). Ethnic variation in the association the del allele with susceptibility to autoimmune and inflammatory diseases was demonstrated by Zou et al., 2011, in their metaanalysis.

In our study, we did not find significant association between donor miR-146a rs2910164 polymorphism and aGVHD. Contrary to our finding, previous studies have revealed an association between miR-146a polymorphism in both donors and recipients with risk and severity of aGVHD. Associations were attributed to deregulated TRAF6/NF- $\kappa$ B and JAK-STAT/CIITA/MHCII signaling pathways, in T cells and dendritic cells, respectively (Stickel et al., 2014, Stickel et al., 2017). The expression level of miR-146a post transplantation affected the incidence of aGVHD in the study conducted by Atarod et al., 2016. Ethnic variation has been reported in the anti-inflammatory function of miR-146a and its role in the pathogenesis of various autoimmune diseases, where, association with rheumatoid arthritis, and systemic lupus erythematosus has been observed by some ethnic groups and not by the others (Bogunia-Kubik et al., 2016, Ji et al., 2014, Jiménez-Morales et al., 2012, Yang et al., 2012a). Previous Egyptian studies showed controversy in the association of miR-146a with rheumatoid arthritis

(El-Shal et al., 2013, Shaker et al., 2018). Given the negative feedback relationship between miR146a and NF- $\kappa$ B, we tried to investigate the combined effect of miR146a and NF- $\kappa$ B1 genotypes on the risk of aGVHD. The observed lower frequency of aGVHD in patients with donors carrying combined miR-146a GG and p50 in./ins genotypes compared to those carrying miR146GG, p50 in./del and del/del genotypes underlines the dominant role of NF- $\kappa$ B1 in the activation of miR-146a. On the other hand, in the absence of the p50 subunit, the negative feedback on NF- $\kappa$ B activation may be missed since miR-146a is down-regulated (Rusca et al., 2012). This suggested combined effect needs to be confirmed in a larger cohort.

Redundancy in the functions of various danger signals, cytokines and chemokines released during aGVHD may affect response to targeted therapies. Novel strategies rely on the inhibition of signaling pathways in T cells (Teshima et al., 2016, Zeiser, 2018). As global inhibition of the NF- $\kappa$ B signaling may be associated with risk of relapse and TRM, designing therapeutic agents should be more specific and selective, taking into account the different roles played by various subunits and the balance between them (Roman-Blas and Jimenez, 2006).

Ongoing researches involving p50 as anti-inflammatory and anticancer therapy by either restoring the NF- $\kappa$ B1 nucleotide sequence through gene editing or strengthening p50 homodimer:DNA complexes by using Bcl-3 peptide mimetics may pave the way for the development of a novel target therapy for aGVHD (Collins et al., 2015, Concetti and Wilson, 2018, Poveda et al., 2017).

In conclusion, our preliminary data do not support the association between miR-146a and aGVHD, but report for the first time an association between NF- $\kappa$ B1 polymorphism and risk of aGVHD and highlight its role in the modulation of inflammation. Identification of patients at risk of developing aGVHD allows early therapeutic intervention. NF- $\kappa$ B1 may also represent a potential novel therapeutic target for aGVHD. More research is needed to confirm our finding in a larger cohort in various populations.

#### CRedit authorship contribution statement

**Ghada I. Mossallam:** Formal analysis, Investigation, Methodology, Writing - original draft. **Raafat Abdel Fattah:** Investigation, Data curation. **Hossam K Mahmoud:** Supervision.

#### Declaration of Competing Interest

No conflict of interest

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