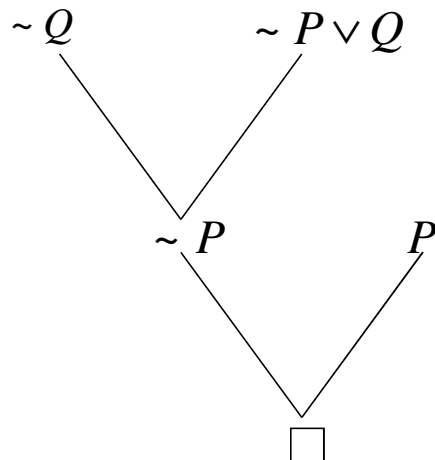


Resolution in PC

1. P
2. $P \rightarrow Q$ converted to $\sim P \vee Q$
3. $\sim Q$

Draw the resolution tree (actually an inverted tree). Every node is a clausal form and branches are intermediate inference steps.



Resolution Algorithm in PC

- The resolution algorithm tries to prove: $KB \models \alpha$ equivalent to $KB \wedge \neg \alpha$ *unsatisfiable*
- Generate all new sentences from KB and the query.
- One of two things can happen:
 1. We find $P \wedge \neg P$ which is unsatisfiable. i.e. we can entail the query.
 2. We find no contradiction: there is a model that satisfies the sentence $KB \wedge \neg \alpha$ (non-trivial) and hence we cannot entail the query.

Resolution Algorithm in FOPC

- 1) Convert sentences in the KB to CNF (clausal form)
- 2) Take the negation of the proposed query, convert it to CNF, and add it to the KB.
- 3) Repeatedly apply the resolution rule to derive new clauses.
- 4) If the empty clause (False) is eventually derived, stop and conclude that the proposed theorem is true.

Procedure:

- ✓ Eliminate implications and biconditionals
- ✓ Move \neg inward
- ✓ Standardize variables
- ✓ Move quantifiers left
- ✓ Skolemize: replace each existentially quantified variable with a Skolem constant or Skolem function
- ✓ Distribute \wedge over \vee to convert to conjunctions of clauses
- ✓ Convert clauses to implications if desired for readability
 $(\neg a \vee \neg b \vee c \vee d)$ To $a \vee b \Rightarrow c \vee d$

Conversion to CNF

- Everyone who loves all animals is loved by someone:

$$\forall x ([\forall y \textit{Animal}(y) \Rightarrow \textit{Loves}(x,y)] \Rightarrow [\exists y \textit{Loves}(y,x)])$$

1. Eliminate biconditionals and implications

$$\forall x ([\neg \forall y (\neg \textit{Animal}(y) \vee \textit{Loves}(x,y))] \vee [\exists y \textit{Loves}(y,x)])$$

2. Move \neg inwards: " $\neg \forall x p \equiv \exists x \neg p$, $\neg \exists x p \equiv \forall x \neg p$ "

$$\forall x ([\exists y (\neg (\neg \textit{Animal}(y) \vee \textit{Loves}(x,y)))] \vee [\exists y \textit{Loves}(y,x)])$$

$$\forall x ([\exists y (\neg \neg \textit{Animal}(y) \wedge \neg \textit{Loves}(x,y))] \vee [\exists y \textit{Loves}(y,x)])$$

$$\forall x ([\exists y (\textit{Animal}(y) \wedge \neg \textit{Loves}(x,y))] \vee [\exists y \textit{Loves}(y,x)])$$

Conversion to CNF contd.

3. Standardize variables: each quantifier should use a different one

$$\forall x ([\exists y \textit{Animal}(y) \wedge \neg \textit{Loves}(x,y)] \vee [\exists z \textit{Loves}(z,x)])$$

4. Skolemize: a more general form of existential instantiation.
Each existential variable is replaced by a Skolem function of the enclosing universally quantified variables:

$$\forall x ([\textit{Animal}(F(x)) \wedge \neg \textit{Loves}(x,F(x))] \vee \textit{Loves}(G(x),x))$$

5. Drop universal quantifiers:

$$[\textit{Animal}(F(x)) \wedge \neg \textit{Loves}(x,F(x))] \vee \textit{Loves}(G(x),x)$$

6. Distribute \vee over \wedge :

$$[\textit{Animal}(F(x)) \vee \textit{Loves}(G(x),x)] \wedge [\neg \textit{Loves}(x,F(x)) \vee \textit{Loves}(G(x),x)]$$

Recall: Resolution in PC

- Resolution inference rule (for CNF):

$$\frac{l_1 \vee \dots \vee l_k, \quad m_1 \vee \dots \vee m_n}{l_1 \vee \dots \vee l_{s-1} \vee l_{s+1} \vee \dots \vee l_k \vee m_1 \vee \dots \vee m_{j-1} \vee m_{j+1} \vee \dots \vee m_n}$$

where l_s and m_j are complementary literals.

$$\text{E.g., } \frac{P_{1,3} \vee P_{2,2}, \quad \neg P_{2,2}}{P_{1,3}}$$

Resolution is sound and complete
for propositional logic

Resolution in FOL

- Full first-order version:

$$\frac{\ell_1 \vee \dots \vee \ell_k, \quad m_1 \vee \dots \vee m_n}{(\ell_1 \vee \dots \vee \ell_{i-1} \vee \ell_{i+1} \vee \dots \vee \ell_k \vee m_1 \vee \dots \vee m_{j-1} \vee m_{j+1} \vee \dots \vee m_n)\theta}$$

where $\text{Unify}(\ell_i, \neg m_j) = \theta$.

The two clauses are assumed to be standardized apart so that they share no variables.

- For example,

$$\neg \textit{Rich}(x) \vee \textit{Unhappy}(x)$$

$$\textit{Rich}(\textit{Ken})$$

$$\textit{Unhappy}(\textit{Ken})$$

with $\theta = \{x/\textit{Ken}\}$

Empty Clause means False

- Resolution theorem proving ends
 - When the resolved clause has no literals (empty)
- This can only be because:
 - Two **unit clauses** were resolved
 - One was the negation of the other (after substitution)
 - Example: $q(X)$ and $\neg q(X)$ or: $p(X)$ and $\neg p(\text{bob})$
- Hence if we see the empty clause
 - This was because there was an inconsistency
 - Hence the proof by refutation

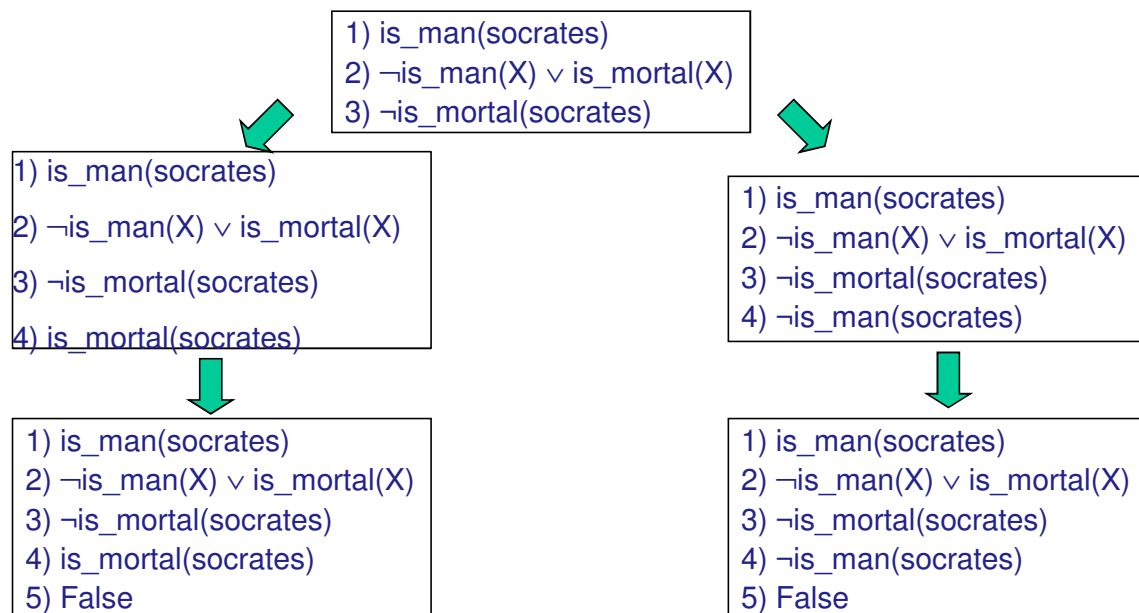
Resolution as Search

- **Initial State:** Knowledge base (KB) of axioms and negated theorem in CNF
- **Operators:** Resolution rule picks 2 clauses and adds new clause
- **Goal Test:** Does KB contain the empty clause?
- Search space of KB states

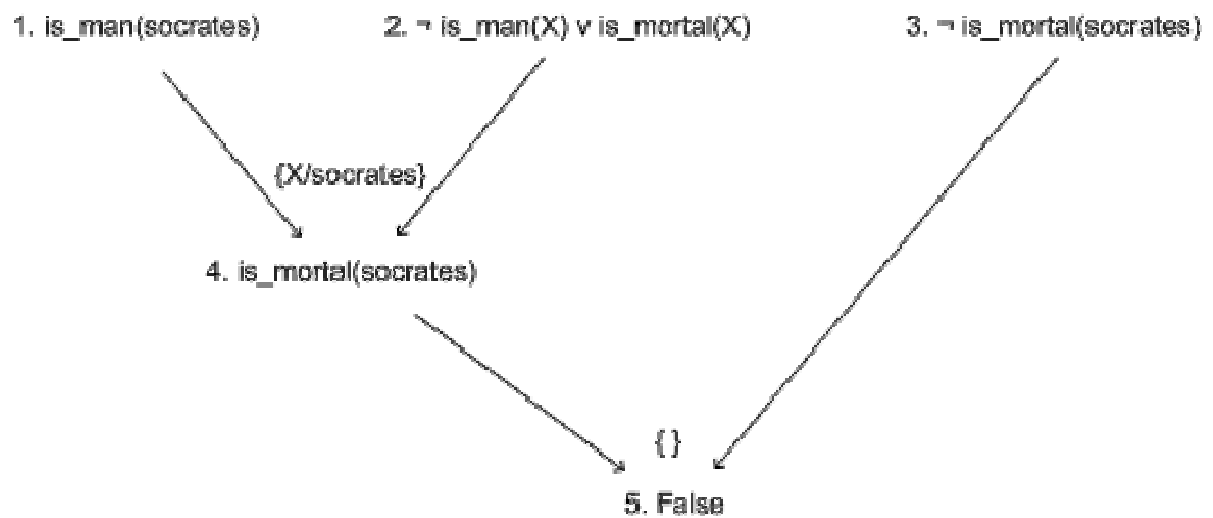
Socrates' Example

- KB: *Socrates is a man and all men are mortal*
Therefore Socrates is mortal
- Initial state
 - 1) $\text{is_man}(\text{socrates})$
 - 2) $\neg \text{is_man}(X) \vee \text{is_mortal}(X)$
 - 3) $\neg \text{is_mortal}(\text{socrates})$ (negation of theorem)
- Resolving (1) & (2) gives new state
 - 4) $\text{is_mortal}(\text{socrates})$Resolving (3) & (4) gives new state
empty

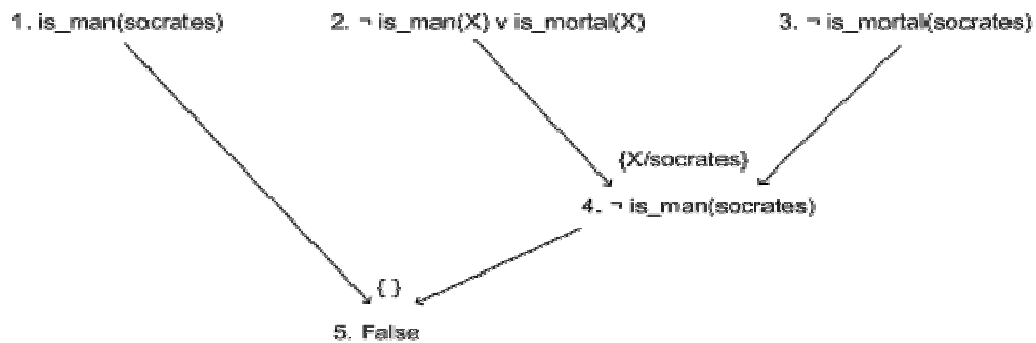
Aristotle's Example: Search Space



Resolution Proof Tree (Proof 1)



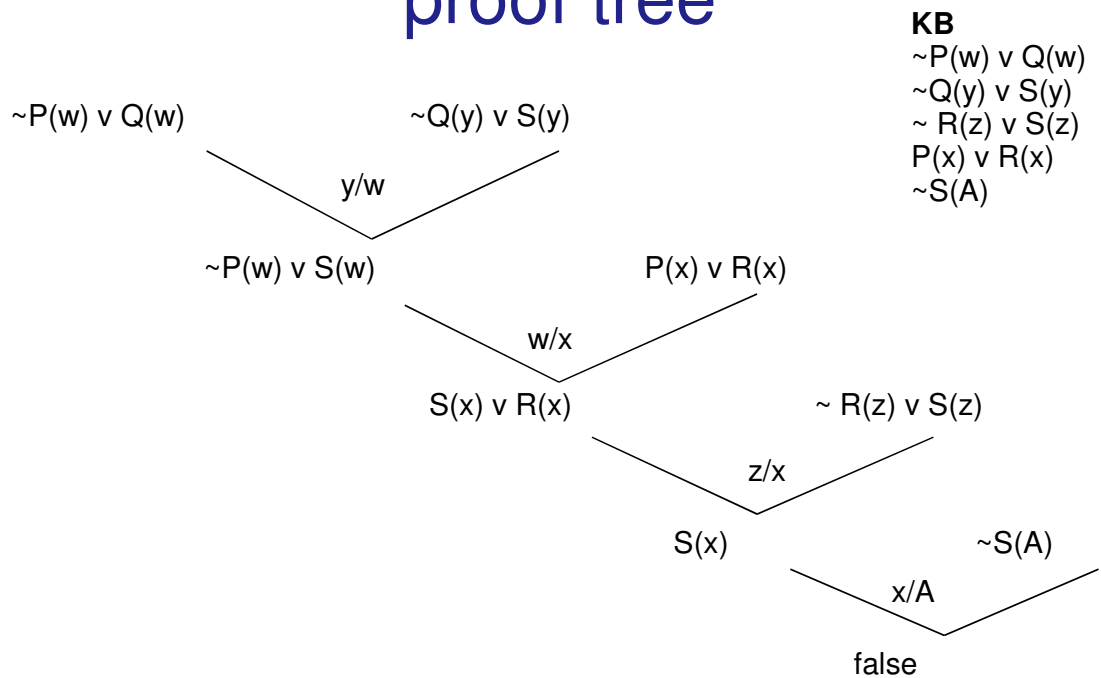
Resolution Proof Tree (Proof 2)



Read as:

You said that all men were mortal. That means that for all things X , either X is not a man, or X is mortal. If we assume that Socrates is not mortal, then, given your previous statement, this means Socrates is not a man. But you said that Socrates *is* a man, which means that our assumption was false, so Socrates must be mortal.

Building Refutation resolution proof tree



Conversion to CNF

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$$\forall x ([\exists y (\neg (\neg \textit{Animal}(y) \vee \textit{Loves}(x,y)))] \vee [\exists y \textit{Loves}(y,x)])$$

$$\forall x ([\exists y (\neg \neg \textit{Animal}(y) \wedge \neg \textit{Loves}(x,y))] \vee [\exists y \textit{Loves}(y,x)])$$

$$\forall x ([\exists y (\textit{Animal}(y) \wedge \neg \textit{Loves}(x,y))] \vee [\exists y \textit{Loves}(y,x)])$$

Conversion to CNF contd.

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5. Drop universal quantifiers:

$$[\textit{Animal}(F(x)) \wedge \neg \textit{Loves}(x,F(x))] \vee \textit{Loves}(G(x),x)$$

6. Distribute \vee over \wedge :

$$[\textit{Animal}(F(x)) \vee \textit{Loves}(G(x),x)] \wedge [\neg \textit{Loves}(x,F(x)) \vee \textit{Loves}(G(x),x)]$$

Example: KB

Jack owns a dog.
Every dog owner is an animal lover.
No animal lover kills an animal.
Either Jack or Curiosity killed the cat, who is named Tuna.
Did Curiosity kill the cat?

Example: KB

Jack owns a dog.

Every dog owner is an animal lover.

No animal lover kills an animal.

Either Jack or Curiosity killed the cat, who is named Tuna.

Did Curiosity kill the cat?

A. $\exists x \text{ Dog}(x) \wedge \text{Owns}(\text{Jack}, x)$

B. $\forall x (\exists y \text{ Dog}(y) \wedge \text{Owns}(x, y)) \Rightarrow \text{AnimalLover}(x)$

C. $\forall x \text{ AnimalLover}(x) \Rightarrow \forall y \text{ Animal}(y) \Rightarrow \neg \text{Kills}(x, y)$

D. $\text{Kills}(\text{Jack}, \text{Tuna}) \vee \text{Kills}(\text{Curiosity}, \text{Tuna})$

E. $\text{Cat}(\text{Tuna})$

F. $\forall x \text{ Cat}(x) \Rightarrow \text{Animal}(x)$

Example: (CNF)

A1. $Dog(D)$

A2. $Owns(Jack, D)$

B. $Dog(y) \wedge Owns(x, y) \Rightarrow AnimalLover(x)$

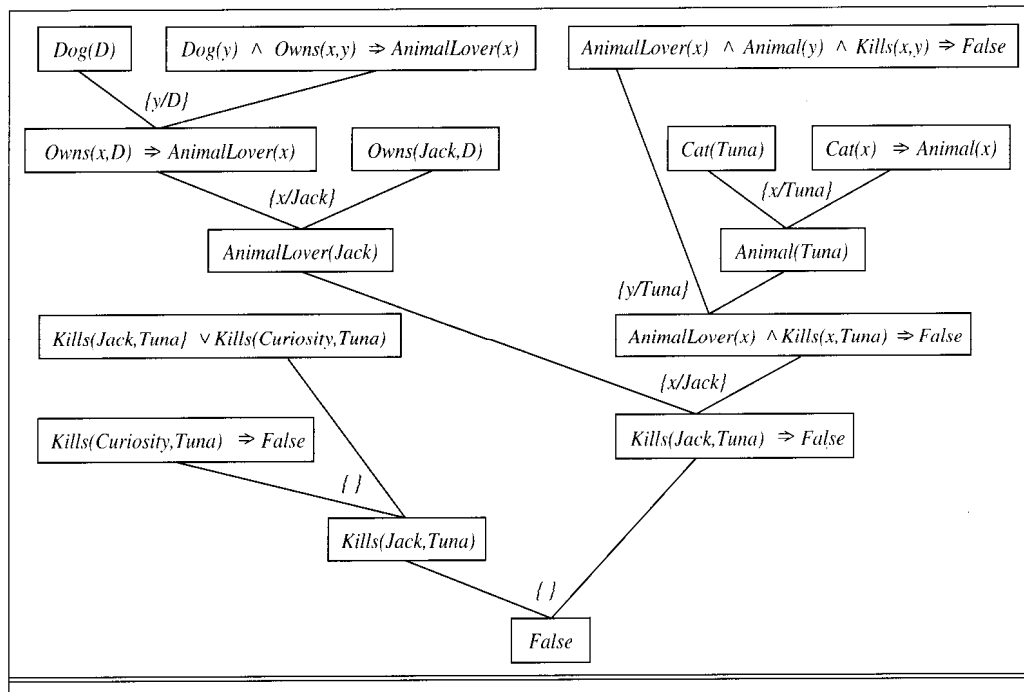
C. $AnimalLover(x) \wedge Animal(y) \wedge Kills(x, y) \Rightarrow False$

D. $Kills(Jack, Tuna) \vee Kills(Curiosity, Tuna)$

E. $Cat(Tuna)$

F. $Cat(x) \Rightarrow Animal(x)$

Example: Proof Tree



Forward chaining

- FC: “Idea” fire any rule whose premises are satisfied in the *KB*, add its conclusion to the *KB*, until query is found
- Deduce new facts from axioms
- Hopefully end up deducing the theorem statement
- ❖ Can take a long time: not using the goal to direct search
- Sound and complete for first-order definite clauses
- Datalog = first-order definite clauses + no functions
- FC terminates for Datalog in finite number of iterations
- May not terminate in general if α is not entailed
- This is unavoidable: entailment with definite clauses is semidecidable

Forward Chaining

- Use modus ponens to always derive all consequences from new information
- To avoid looping and duplicated effort, must prevent addition of a sentence to the KB which is the same as one already present.

Problems with Forward Chaining

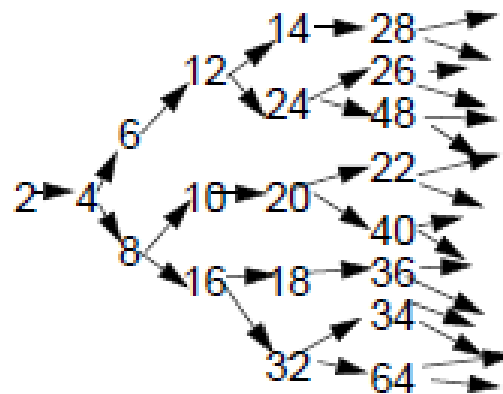
- Inference can explode forward and may never terminate.

Even(x) \rightarrow Even(plus(x,2))

Integer(x) \rightarrow Even(times(2,x))

Even(x) \rightarrow Integer(x)

Even(2)



Forward chaining algorithm

```
function FOL-FC-ASK( $KB, \alpha$ ) returns a substitution or false
  repeat until new is empty
     $new \leftarrow \{\}$ 
    for each sentence  $r$  in  $KB$  do
       $(p_1 \wedge \dots \wedge p_n \Rightarrow q) \leftarrow \text{STANDARDIZE-APART}(r)$ 
      for each  $\theta$  such that  $(p_1 \wedge \dots \wedge p_n)\theta = (p'_1 \wedge \dots \wedge p'_n)\theta$ 
        for some  $p'_1, \dots, p'_n$  in  $KB$ 
           $q' \leftarrow \text{SUBST}(\theta, q)$ 
          if  $q'$  is not a renaming of a sentence already in  $KB$  or new then do
            add  $q'$  to new
             $\phi \leftarrow \text{UNIFY}(q', \alpha)$ 
            if  $\phi$  is not fail then return  $\phi$ 
    add new to  $KB$ 
  return false
```

Backward chaining

- BC: “Idea” work backwards from the query q in $(p \rightarrow q)$
 - check if q is already known, or
 - prove by BC all premises of some rule concluding q
- Start with the conclusion and work backwards
 - Hope to end up at the facts from KB
- Widely used for logic programming
- PROLOG is backward chaining

Remarks:

Avoid loops: check if new subgoal is already on the goal stack

Avoid repeated work: check if new subgoal has already been proved true, or has already failed

Backward Chaining

- Start from a query or atomic sentence to be proven and look for ways to prove it
- Query can contain variables
- Inference process should return all sets of variables that satisfy the query
- First try to answer query by unifying it to all possible facts in the KB
- Next to tries to prove it using a rule whose consequent unifies with the query and try to prove all its antecedents recursively

Backward chaining algorithm

```
function FOL-BC-ASK( $KB, goals, \theta$ ) returns a set of substitutions
  inputs:  $KB$ , a knowledge base
            $goals$ , a list of conjuncts forming a query
            $\theta$ , the current substitution, initially the empty substitution  $\{ \}$ 
  local variables:  $ans$ , a set of substitutions, initially empty

  if  $goals$  is empty then return  $\{ \theta \}$ 
   $q' \leftarrow \text{SUBST}(\theta, \text{FIRST}(goals))$ 
  for each  $r$  in  $KB$  where  $\text{STANDARDIZE-APART}(r) = (p_1 \wedge \dots \wedge p_n \Rightarrow q)$ 
    and  $\theta' \leftarrow \text{UNIFY}(q, q')$  succeeds
     $ans \leftarrow \text{FOL-BC-ASK}(KB, [p_1, \dots, p_n | \text{REST}(goals)], \text{COMPOSE}(\theta, \theta')) \cup ans$ 
  return  $ans$ 
```