

# MidTerm

## Tues. 18 Nov

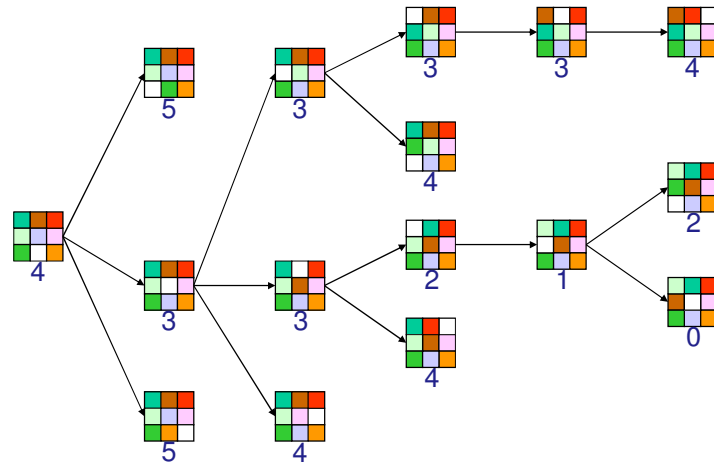
Up to the end of this Lecture

## Local Search Algorithms

- In many optimization problems, *path* is irrelevant
- the goal state itself is the solution
- Ex: The 8-queen problem, the final configuration of the queens is the important not the order they were put
- Operates using only single current state, rather than multiple paths.
- Find Optimal Configuration ( satisfies the constraints)
- Use *iterative improvement algorithms*
- Good for **Optimization** problems: find the best state according to some objective function
- A **Complete** local search algorithm finds a goal if exists
- An **Optimal** algorithm finds the global minimum or maximum

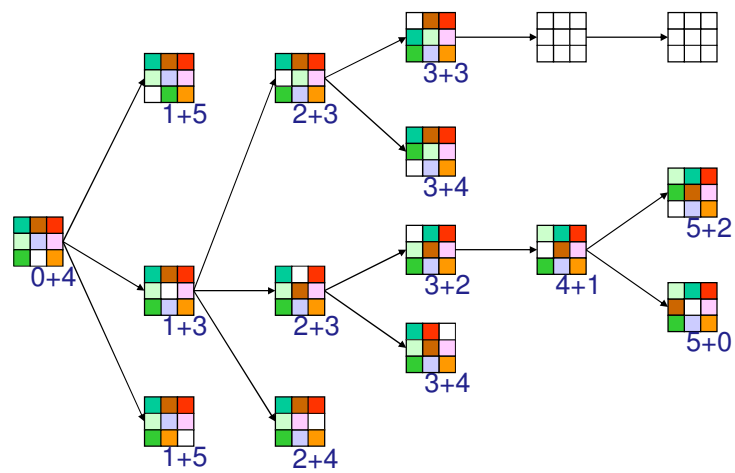
# 8-Puzzle

$f(N) = h(N) = \text{number of misplaced tiles}$



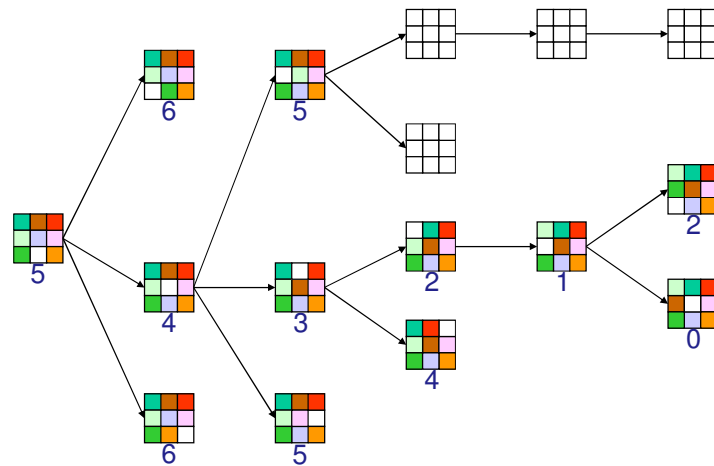
# 8-Puzzle

$f(N) = g(N) + h(N)$   
with  $h(N) = \text{number of misplaced tiles}$



## 8-Puzzle

$$f(N) = h(N) = \sum \text{distances of tiles to goal}$$



## Relaxed problems

- A problem with fewer restrictions on the actions is called a **relaxed problem**
- If the rules of the 8-puzzle are relaxed so that a tile can move **anywhere**, then  $h_1(n)$  gives the shortest solution
- If the rules are relaxed so that a tile can move to **any adjacent square**, then  $h_2(n)$  gives the shortest solution

## The A\* procedure

Hill-climbing (and its improved versions) may miss an optimal solution. Here is a search method that ensures **optimality** of the solution.

### The algorithm

keep a list of partial paths (initially root to root, length 0);

#### repeat

succeed if the first path P reaches the goal node;

otherwise remove path P from the list;

extend P in all possible ways, add new paths to the list;

sort the list by the sum of two values: the real cost of P till now, and an estimate of the remaining distance;

prune the list by leaving only the shortest path for each node reached so far;

#### until

success or the list of paths becomes empty;

## Admissible heuristics

- A heuristic  $h(n)$  is **admissible** if for every node  $n$ ,  $h(n) \leq h^*(n)$ , where  $h^*(n)$  is the **true** cost to reach the goal state from  $n$ .
- An admissible heuristic **never overestimates** the cost to reach the goal, i.e., it is **optimistic**
- **Theorem**: If  $h(n)$  is admissible, A\* using is optimal

## A\* Algorithm- Properties

- **Admissibility:** An algorithm is called admissible if it always terminates and terminates in optimal path
- **Theorem:** A\* is admissible.
- **Lemma:** Any time before A\* terminates there exists on *OL* a node *n* such that  $f(n) \leq f^*(s)$
- **Observation:** For optimal path  $s \rightarrow n_1 \rightarrow n_2 \rightarrow \dots \rightarrow g$ ,
  1.  $h^*(g) = 0$ ,  $g^*(s) = 0$  and
  2.  $f^*(s) = f^*(n_1) = f^*(n_2) = f^*(n_3) \dots = f^*(g)$

## Algorithm A\*

- $f^*(n) = g^*(n) + h^*(n)$ , where,
- $g^*(n)$  = actual cost of the optimal path (*s*, *n*)
- $h^*(n)$  = actual cost of optimal path (*n*, *g*)
- $g(n) \leq g^*(n)$
- By definition,  $h(n) \leq h^*(n)$
- $h(n) \leq h^*(n)$  where  $h^*(n)$  is the actual cost of optimal path to *G*(node to be found) from *n*

### Lemma

Any time before A\* terminates there exists in the open list a node  $n'$  such that  $f(n') \leq f^*(S)$

For any node  $n_i$  on optimal path,

$$\begin{aligned} f(n_i) &= g(n_i) + h(n_i) \\ &\leq g^*(n_i) + h^*(n_i) \end{aligned}$$

Also  $f^*(n_i) = f^*(S)$

Let  $n'$  be the first node in the optimal path that is in OL. Since all parents of  $n'$  have gone to CL,

$$\begin{aligned} g(n') &= g^*(n') \text{ and } h(n') \leq h^*(n') \\ \Rightarrow f(n') &\leq f^*(S) \end{aligned}$$

### **A\* always terminates**

#### Proof

If A\* does not terminate

Let  $e$  be the least cost of all arcs in the search graph.

Then  $g(n) \geq e \cdot l(n)$  where  $l(n) = \#$  of arcs in the path from  $S$  to  $n$  found so far. If A\* does not terminate,  $g(n)$  and hence  $f(n) = g(n) + h(n)$  [ $h(n) \geq 0$ ] will become unbounded.

This is not consistent with the lemma. So A\* has to terminate.

## Admissibility of A\*

The path formed by A\* is optimal when it has terminated

### Proof

Suppose the path formed is not optimal

Let  $G$  be expanded in a non-optimal path.

At the point of expansion of  $G$ ,

$$\begin{aligned} f(G) &= g(G) + h(G) \\ &= g(G) + 0 \\ &> g^*(G) = g^*(S) + h^*(S) \\ &= f^*(S) \quad [f^*(S) = \text{cost of optimal path}] \end{aligned}$$

This is a contradiction

So path should be optimal

## A list of AI Search Algorithms

### Systematic Search algorithms

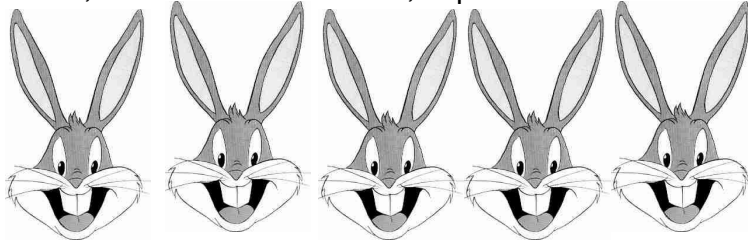
- BFS, DFS,...
- A\*
- AO\*
- IDA\* (Iterative Deepening)

### Local Search Algorithms

- Minimax Search on Game Trees
- Viterbi Search on Probabilistic FSA
- Hill Climbing
- Simulated Annealing
- Gradient Descent
- Stack Based Search
- Genetic Algorithms
- Memetic Algorithms

## The Genetic Algorithm (Evolutionary Analogy)

- Consider a population of rabbits:
  - some individuals are faster and smarter than others
  - Slower, dumber rabbits are likely to be caught and eaten by foxes
  - Fast, smart rabbits survive ,... produce more rabbits.



## Evolutionary Analogy

- The rabbits that survive generate offspring, which start to mix up their genetic material
- Furthermore, nature occasionally throws in a wild properties because genes can mutate
- In this analogy, an individual rabbit represents a solution to the problem(i.e. Single point in the space)
- The foxes represent the problem constraints (solutions that do more well are likely to survive)

## Evolutionary Analogy

- For selection, we use a fitness function to rank individuals of the population
- For reproduction, we define a crossover operator which takes state descriptions of individuals and combine them to create new ones
- For mutation, we can choose individuals in the population and alter part of its state.

## The Genetic Algorithm

- Directed search algorithms based on the mechanics of **biological** evolution
- Developed by John Holland, University of Michigan (1970's)
- To design artificial systems software that retains the **robustness of natural systems**
- Provide efficient, effective techniques for search problems, optimization and machine learning applications
- Widely-used today in business, scientific and engineering circles

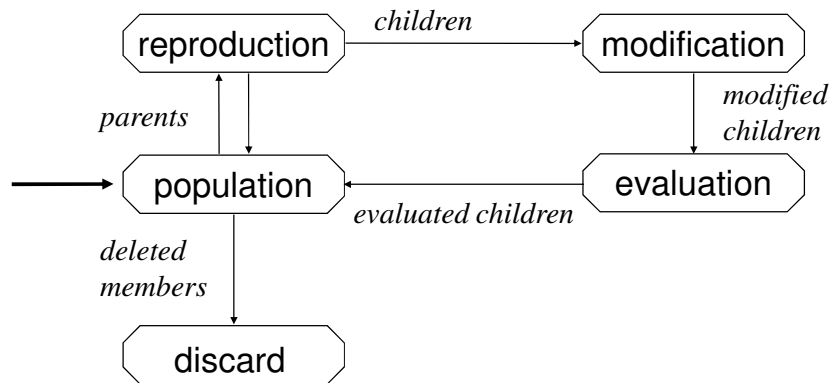
## Terminology

- *Evolutionary Computation (EC)* refers to computer-based problem solving systems that use computational models of evolutionary process.
- *Chromosome* – It is an individual representing a candidate solution of the optimization problem.
- *Population* – A set of chromosomes.
- *gene* – It is the fundamental building block of the chromosome, each gene in a chromosome represents each variable to be optimized. It is the smallest unit of information.
- **Objective:** To find “a” best possible chromosome for a given problem.

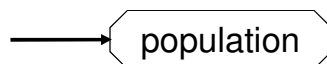
## Overview of GAs

- GA emulate genetic evolution.
- A GA has distinct features:
  - A string representation of chromosomes.
  - A selection procedure for initial population and for off-spring creation.
  - A cross-over method and a mutation method.
  - A fitness function.
  - A replacement procedure.
- Parameters that affect GA are initial population, size of the population, selection process and fitness function.

## The GA Cycle of Reproduction



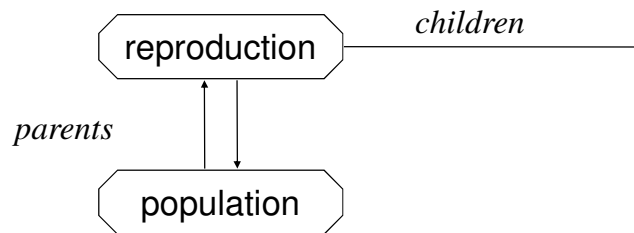
## Chromosomes



Chromosomes could be:

Bit strings	(0101 ... 1100)
Real numbers	(43.2 -33.1 ... 0.0 89.2)
Permutations of element	(E11 E3 E7 ... E1 E15)
Lists of rules	(R1 R2 R3 ... R22 R23)
Program elements	(genetic programming)
... any data structure ...	

## Reproduction



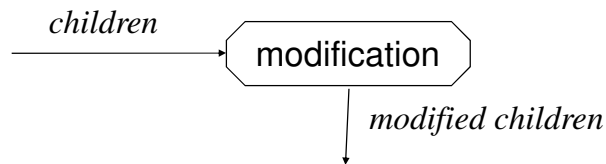
Parents are "selected" at each iteration.

## Selection Process

- Selection is a procedure of picking parent chromosome to produce off-spring.
- Types of selection:
  - Random Selection – Parents are selected randomly from the population.
  - Proportional Selection – probabilities for picking each chromosome is calculated as:

$$P(\mathbf{x}_i) = f(\mathbf{x}_i) / \sum f(\mathbf{x}_j) \quad \text{for all } j$$

## Chromosome Modification



- Operator types are:
  - Mutation
  - Crossover (recombination)

## Crossover

P1 (0 1 1 0 1 0 0 0) → (1 1 0 1 1 0 0 0) C1  
P2 (1 1 0 1 1 0 1 0) → (0 1 1 0 1 0 1 0) C2

The diagram shows a crossover operation between two parent chromosomes, P1 and P2. P1 is (0 1 1 0 1 0 0 0) and P2 is (1 1 0 1 1 0 1 0). The crossover occurs at the 4th position. The resulting offspring are C1 (1 1 0 1 1 0 0 0) and C2 (0 1 1 0 1 0 1 0). The segments that are swapped are highlighted with gray boxes.

Crossover is a critical feature of genetic algorithms:

- It greatly accelerates search early in evolution of a population
- It leads to effective combination of schemata (subsolutions on different chromosomes)

## Mutation: Local Modification

Before: (1 0 1 1 0 1 1 0)

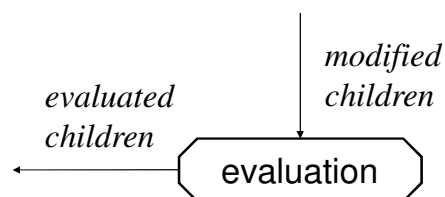
After: (1 0 1 1 1 1 1 0)

Before: (1.38 -69.4 326.44 0.1)

After: (1.38 -67.5 326.44 0.1)

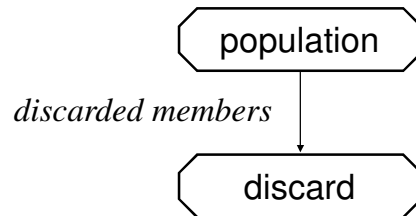
- Causes movement in the search space (local or global)
- Restores lost information to the population

## Evaluation

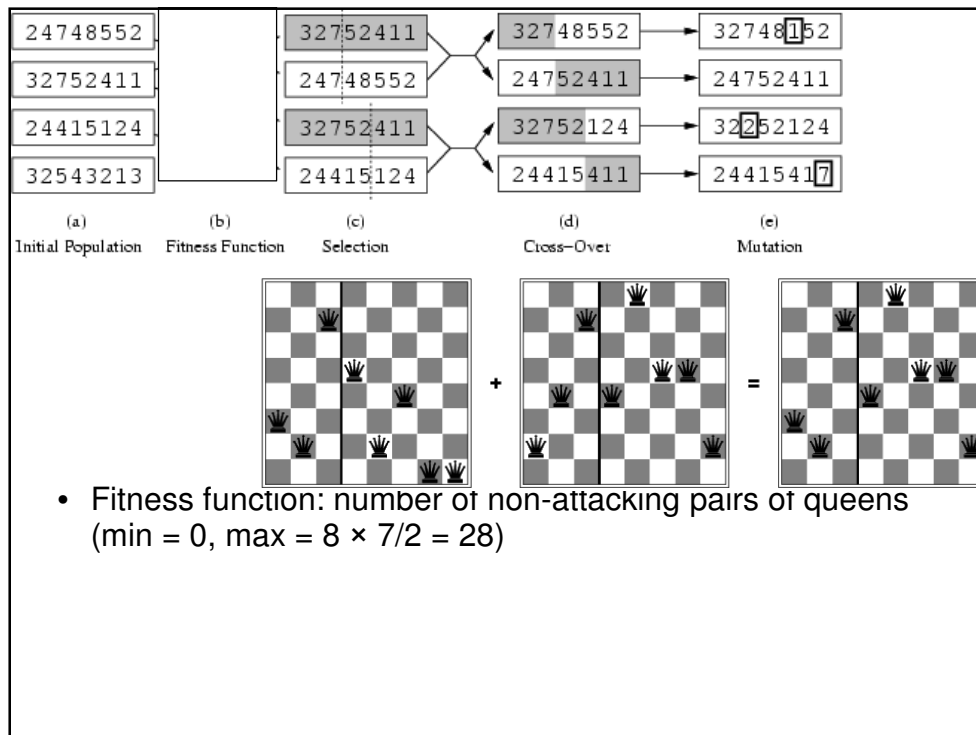


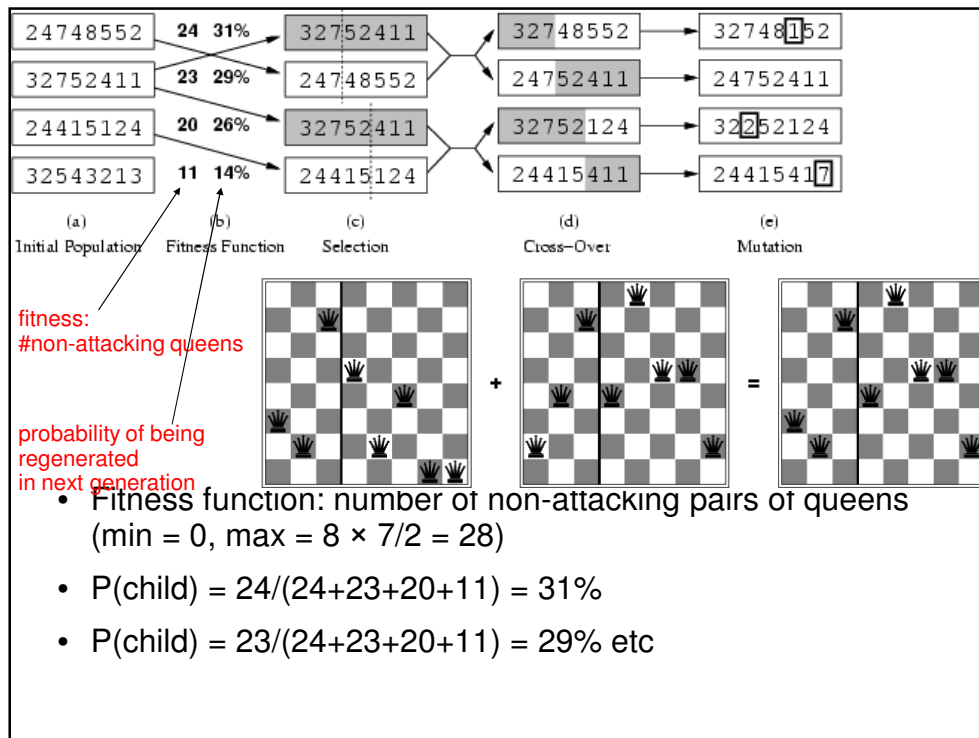
- The evaluator decodes a chromosome and assigns it a **fitness measure**

## Deletion



- *Generational GA*:  
entire populations replaced with each iteration
- *Steady-state GA*:  
a few members replaced each generation





## Creativity in GA

- ✓ GAs can be thought of as a simultaneous, parallel hill climbing search --- The population as a whole is trying to converge to an optimal solution
- ✓ Because solutions can evolve from a variety of factors, very novel solutions can be discovered