

Lab 11: Process Mining

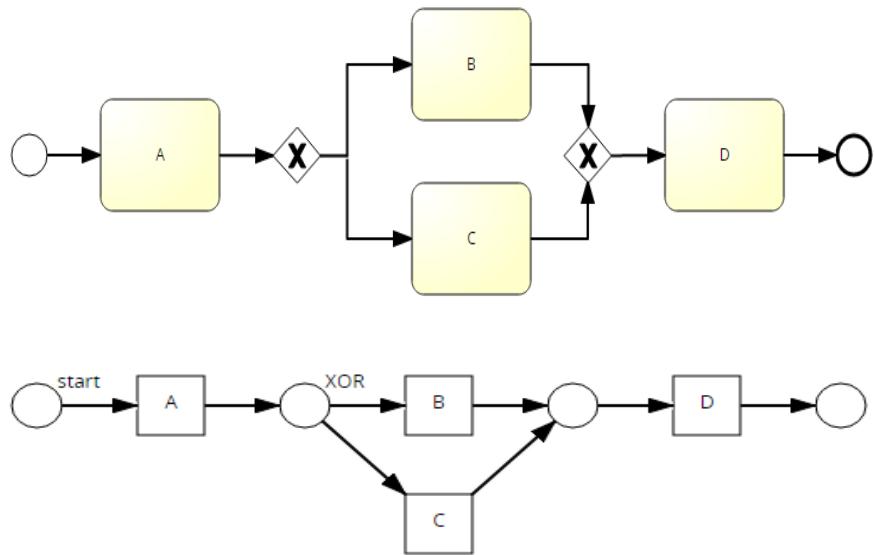
Process Discovery



Event Log

- Event logs contain the executed activities of process model that related to process instance.
- Format (case id , task\ activity name)

Case id	Activity
1	A
1	B
2	A
2	C
1	D
2	D



Alpha Algorithm

Definitions

Let T be a set of tasks - activities and T^* be the set of all sequences or arbitrary lengths over T , it shall:

- $\sigma \in T^*$ is called an execution sequence, if all activities in σ belong to the same process instance
- $W \subseteq T^*$ is called workflow log

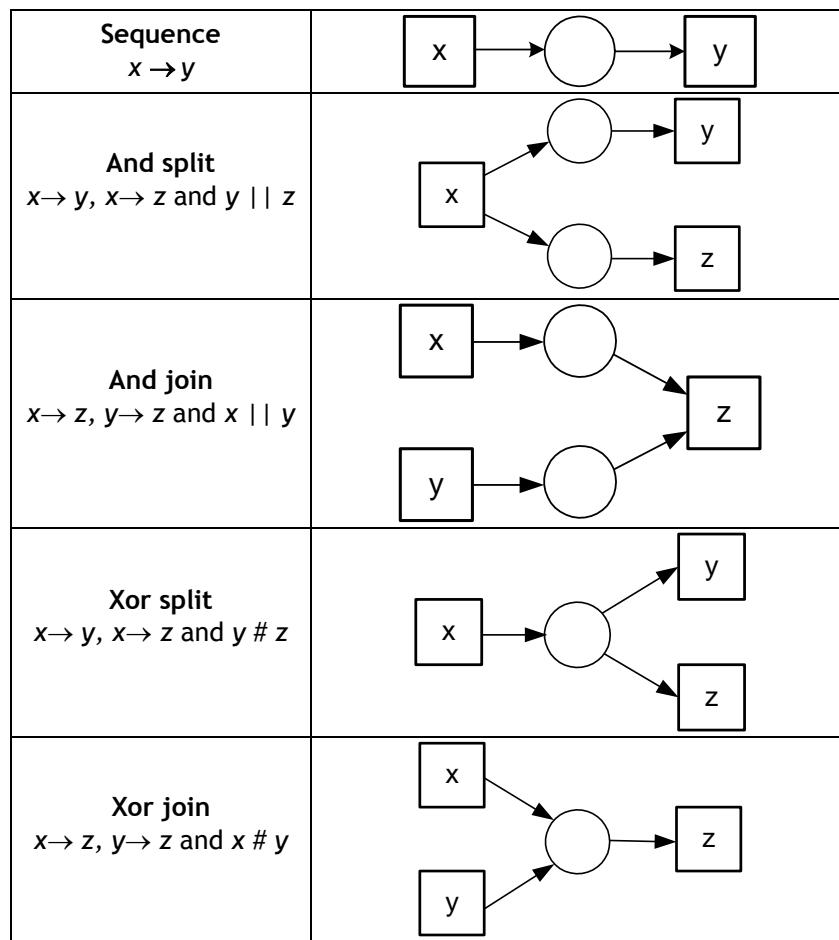
Assumptions

- In a process model each activity occurs at most once
- Any direct neighborhood relation between activities is observed at least once

Relations

- Direct follower : $a >_w b$ are in execution sequence iff b directly follows a .
- Causality : $a \rightarrow_w b$ iff $a >_w b$ but not $b >_w a$
- Parallelism : $a \parallel_w b$ iff $a >_w b$ and $b >_w a$
- Exclusiveness : $a \#_w b$ iff not $a >_w b$ and not $b >_w a$

Representation of relation in petri net



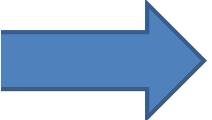
α -Algorithm

1. $T_W = \{ t \in T \mid \exists_{\sigma \in W} t \in \sigma \}$ - Set of distinct activities in W
2. $T_I = \{ t \in T \mid \exists_{\sigma \in W} t = \text{first}(\sigma) \}$ - Set of start activities, first element in each trace in W
3. $T_O = \{ t \in T \mid \exists_{\sigma \in W} t = \text{last}(\sigma) \}$ - Set of end activities, last element in each trace in W
4. $X_W = X_L = \{ (A, B) \mid A \subseteq T_W \wedge B \subseteq T_W \wedge \forall_{a \in A} \forall_{b \in B} a \rightarrow_W b \wedge \forall_{a_1, a_2 \in A} a_1 \#_W a_2 \wedge \forall_{b_1, b_2 \in B} b_1 \#_W b_2 \}$ - set of (A, B) where $a \in A$ and $b \in B$ are in causality relation, all activities in A are independent relation and same for B
5. $Y_W = \{ (A, B) \in X \mid \forall_{(A', B') \in X} A \subseteq A' \wedge B \subseteq B' \Rightarrow (A, B) = (A', B') \}$ - Delete (A, B) from X_W that are not maximal
6. $P_W = \{ p_{(A, B)} \mid (A, B) \in Y_W \} \cup \{ i_W, o_W \}$ - set of places
7. $F_W = \{ (a, p_{(A, B)}) \mid (A, B) \in Y_W \wedge a \in A \} \cup \{ (p_{(A, B)}, b) \mid (A, B) \in Y_W \wedge b \in B \} \cup \{ (i_W, t) \mid t \in T_I \} \cup \{ (t, o_W) \mid t \in T_O \}$, set of arcs
8. $a(W) = (P_W, T_W, F_W)$. Finally construct petri net

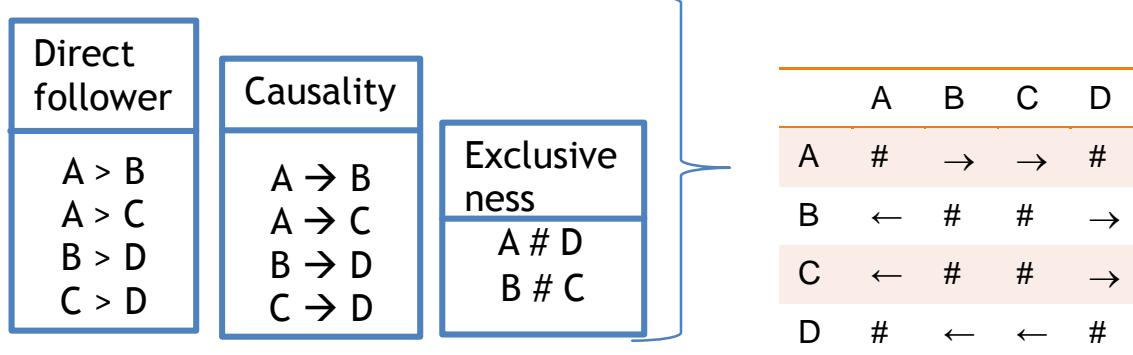
Examples

Example 1

Case id	Activity
1	A
1	B
2	A
2	C
1	D
2	D

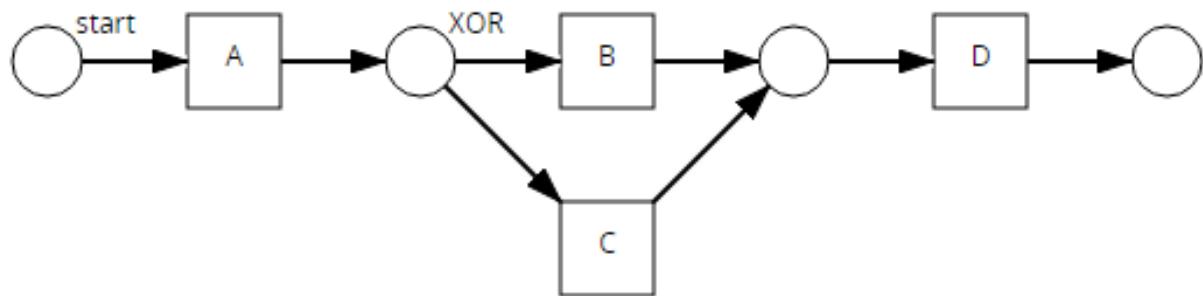


$W = \{ABD, ACD\}$
 $T = \text{set of Tasks} = \{A, B, C, D\}$



Applying alpha steps

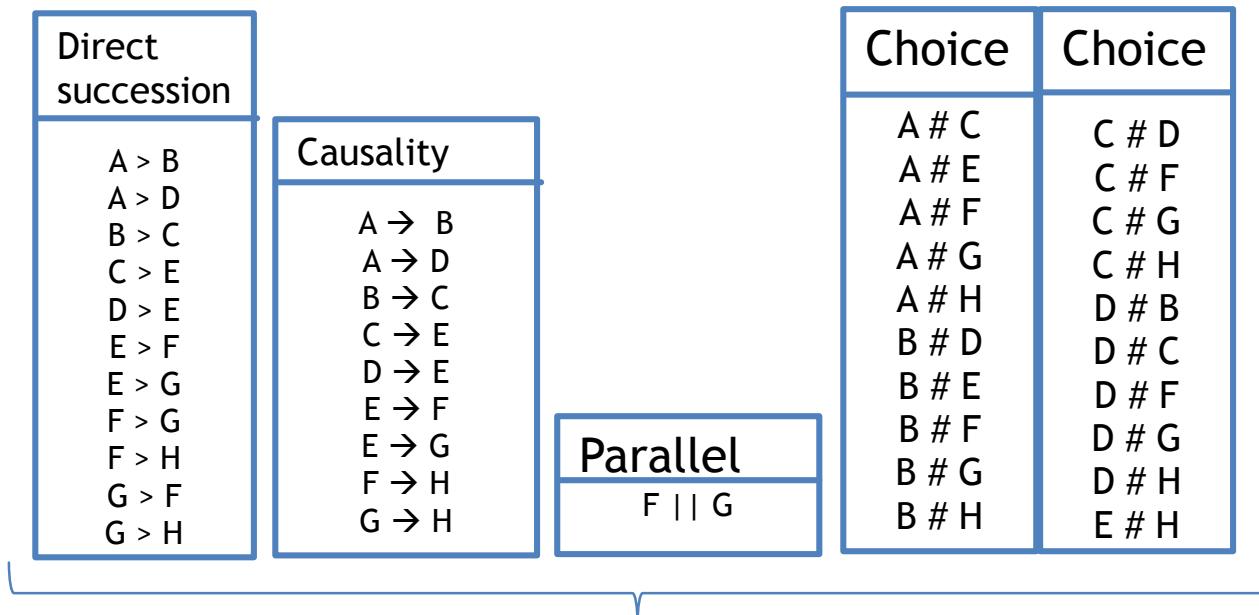
1. $T_w = \{A, B, C, D\}$
2. $T_I = \{A\}$
3. $T_o = \{D\}$
4. $X_w : \{ (\{A\}, \{B\}), (\{A\}, \{C\}), (\{A\}, \{B, C\}), (\{B\}, \{D\}), (\{C\}, \{D\}), (\{B, C\}, \{D\}) \}$
5. $Y_w : \{ (\{A\}, \{B, C\}), (\{B, C\}, \{D\}) \}$
6. $P_w : \{ P_{(\{A\}, \{B, C\})}, P_{(\{B, C\}, \{D\})}, i_w, o_w \}$
7. $F_w : \{ (i_w, A), (A, P_{(\{A\}, \{B, C\})}), (P_{(\{A\}, \{B, C\})}, B), (P_{(\{A\}, \{B, C\})}, C), (B, P_{(\{B, C\}, \{D\})}), (C, P_{(\{B, C\}, \{D\})}), (P_{(\{B, C\}, \{D\})}, D), (D, o_w) \}$
8. $a(W) = (P_w, T_w, F_w)$.



Example 2

Case id	Activity	Case id	Activity
0	A	3	A
0	B	3	B
0	C	3	C
1	A	4	A
1	D	4	D
1	E	4	E
0	E	4	F
0	F	4	G
0	G	4	H
0	H	3	E
1	G	3	G
1	F	3	F
1	H	3	H
2	A	5	A
2	D	5	B
2	E	5	C
2	F	5	E
2	G	5	G
2	H	5	F
		5	H

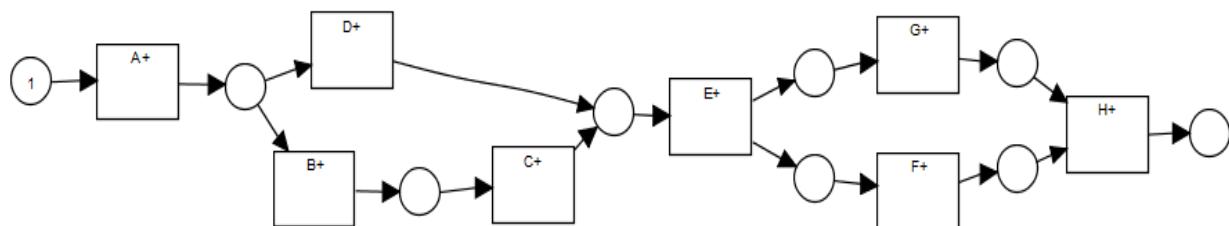
$$W = \{ABCEFGH, ABCEGFH, ADEGFH, ADEFGH\}$$



	A	B	C	D	E	F	G	H
A	#	→	#	→	#	#	#	#
B	←	#	→	#	#	#	#	#
C	#	←	#	#	→	#	#	#
D	←	#	#	#	→	#	#	#
E	#	#	←	←	#	→	→	#
F	#	#	#	#	←	#		→
G	#	#	#	#	←		#	→
H	#	#	#	#	#	←	←	#

Applying alpha steps

1. $T_w = \{A, B, C, D, E, F, G, H\}$
2. $T_I = \{A\}$
3. $T_o = \{H\}$
4. $X_w = \{\{A\}, \{B\}, \{A\}, \{D\}, \{A\}, \{B, D\}, \{B\}, \{C\}, \{C\}, \{E\}, \{D\}, \{E\}, \{C, D\}, \{E\}, \{E\}, \{F\}, \{E\}, \{G\}, \{F\}, \{H\}, \{G\}, \{H\}\}$
5. $Y_w = \{\{A\}, \{B, D\}, \{B\}, \{C\}, \{C, D\}, \{E\}, \{E\}, \{F\}, \{E\}, \{G\}, \{F\}, \{H\}, \{G\}, \{H\}\}$
6. $P_w = \{P_{\{A\}, \{B, D\}}, P_{\{B\}, \{C\}}, P_{\{C, D\}, \{E\}}, P_{\{E\}, \{F\}}, P_{\{E\}, \{G\}}, P_{\{F\}, \{H\}}, P_{\{G\}, \{H\}}\}, i_w, o_w\}$
7. $F_w = \{(i_w, A), (A, P_{\{A\}, \{B, D\}}), (P_{\{A\}, \{B, D\}}, B), (P_{\{A\}, \{B, D\}}, D), (B, P_{\{B\}, \{C\}}), (P_{\{B\}, \{C\}}, C), (C, P_{\{C, D\}, \{E\}}), (D, P_{\{C, D\}, \{E\}}), (P_{\{C, D\}, \{E\}}, E), (E, P_{\{E\}, \{F\}}), (P_{\{E\}, \{F\}}, F), (F, P_{\{E\}, \{G\}}), (P_{\{E\}, \{G\}}, G), (G, P_{\{G\}, \{H\}}), (P_{\{G\}, \{H\}}, H), (H, o_w)\}$
8. $a(W) = (P_w, T_w, F_w)$.

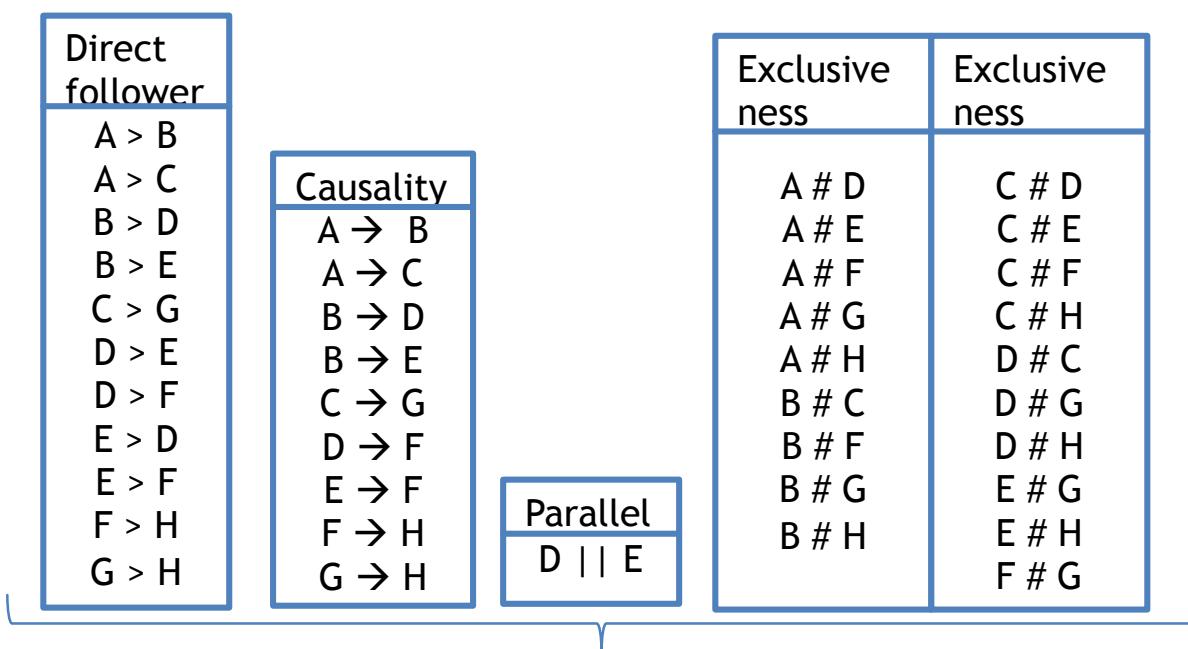


Example 3

Case id	Activity
0	A
0	B
0	D
1	A
1	C
1	G
1	H
0	E
0	F
0	H
2	A
2	C
2	G
3	A
3	C
2	H
3	G
3	H
4	A
4	B
4	E
4	D
4	F
4	H



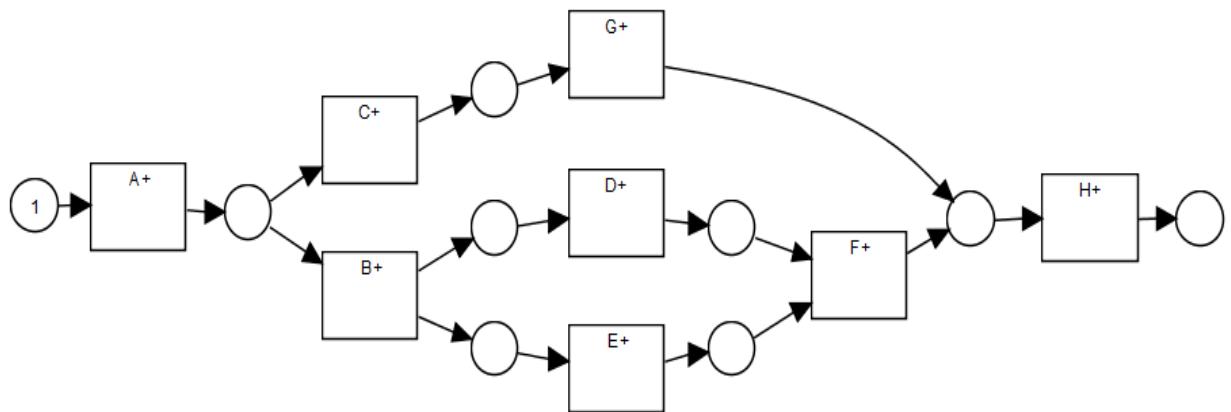
$$W = \{ABDEFH, ACGH, ABEDFH\}$$



	A	B	C	D	E	F	G	H
A	#	→	→	#	#	#	#	#
B	←	#	#	→	→	#	#	#
C	←	#	#	#	#	#	→	#
D	#	←	#	#		→	#	#
E	#	←	#		#	→	#	#
F	#	#	#	←	←	#	#	→
G	#	#	←	#	#	#	#	→
H	#	#	#	#	#	←	←	#

Applying alpha steps

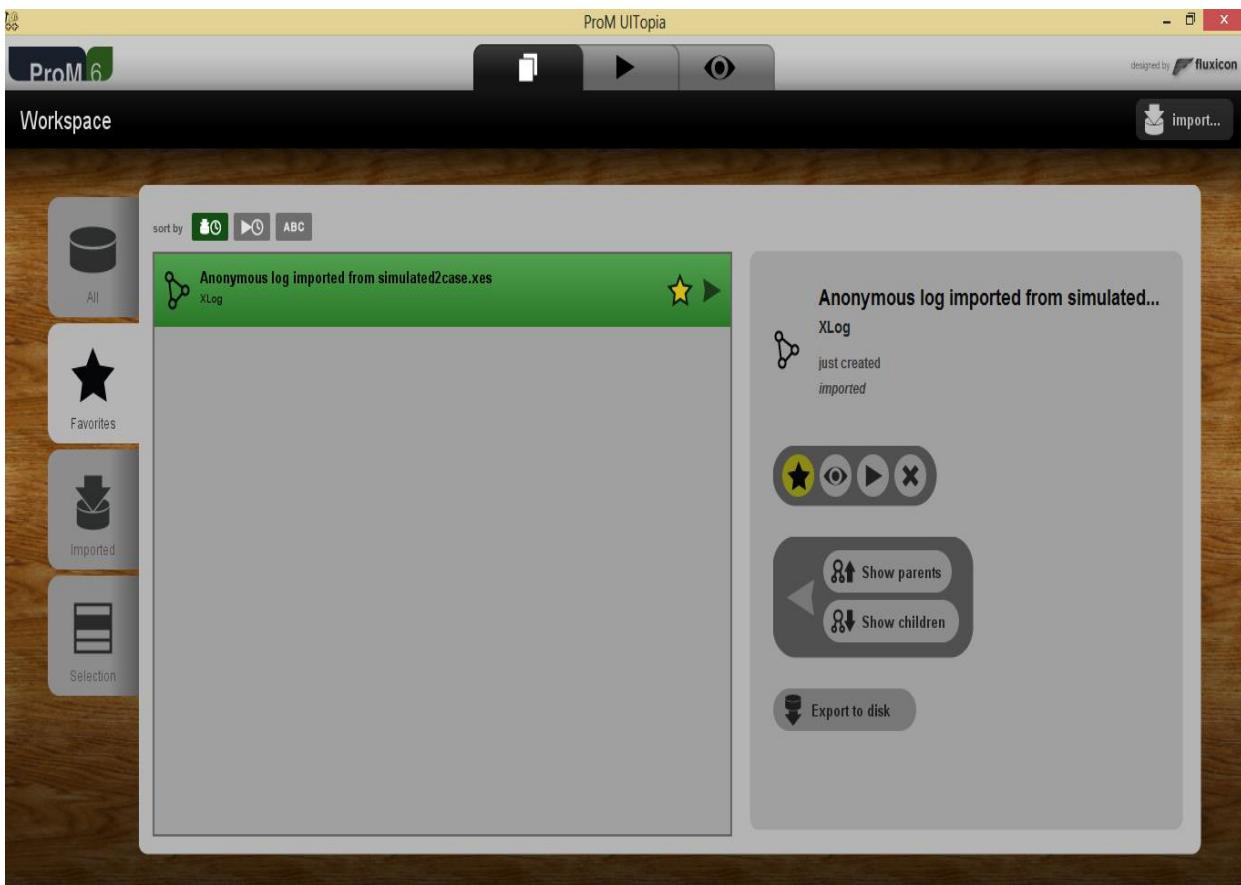
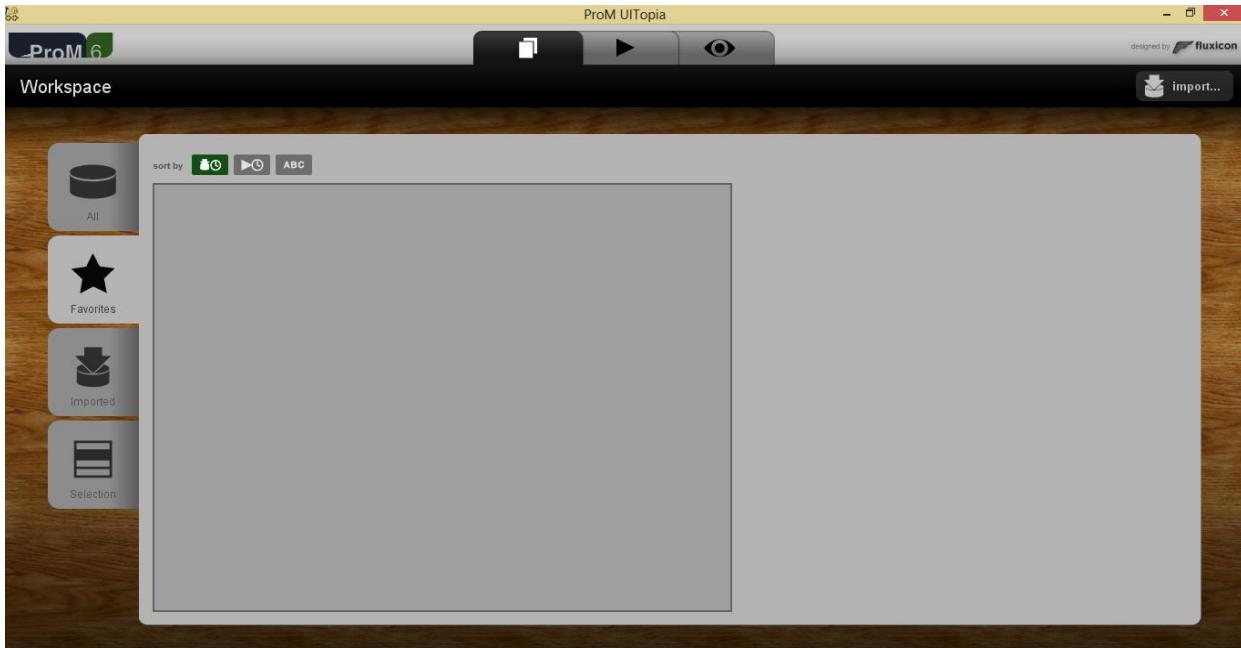
1. $T_w = \{A, B, C, D, E, F, G, H\}$
2. $T_I = \{A\}$
3. $T_O = \{H\}$
4. $X_w = \{\{A\}, \{B\}, \{A\}, \{C\}, \{A\}, \{B, C\}, \{B\}, \{D\}, \{B\}, \{E\}, \{C\}, \{G\}, \{D\}, \{F\}, \{E\}, \{F\}, \{F\}, \{H\}, \{G\}, \{H\}, \{F, G\}, \{H\}\}$
5. $Y_w = \{\{A\}, \{B, C\}, \{B\}, \{D\}, \{B\}, \{E\}, \{C\}, \{G\}, \{D\}, \{F\}, \{E\}, \{F\}, \{F, G\}, \{H\}\}$
6. $P_w = \{P_{\{A\}, \{B, C\}}, P_{\{B\}, \{D\}}, P_{\{B\}, \{E\}}, P_{\{C\}, \{G\}}, P_{\{D\}, \{F\}}, P_{\{E\}, \{F\}}, P_{\{F, G\}, \{H\}}\}$
7. $F_w = \{(i_w, A), (A, P_{\{A\}, \{B, C\}}), (P_{\{A\}, \{B, C\}}, B), (P_{\{A\}, \{B, C\}}, C), (C, P_{\{C\}, \{G\}}), (P_{\{C\}, \{G\}}, G), (B, P_{\{B\}, \{D\}}), (P_{\{B\}, \{D\}}, D), (D, P_{\{D\}, \{F\}}), (P_{\{D\}, \{F\}}, F), (B, P_{\{B\}, \{E\}}), (P_{\{B\}, \{E\}}, E), (E, P_{\{E\}, \{F\}}), (P_{\{E\}, \{F\}}, F), (F, P_{\{F, G\}, \{H\}}), (G, P_{\{F, G\}, \{H\}}), (P_{\{F, G\}, \{H\}}, H), (H, o_w)\}$
8. $a(W) = (P_w, T_w, F_w)$.



Prom

Prom is tool used for process mining techniques

Alpha algorithm in prom



```

<?xml version="1.0" encoding="UTF-8" ?>
<log xes.version="1.0" >
    <trace>
        <event>
            <string key="concept:name" value="A"/>
        </event>
        <event>
            <string key="concept:name" value="B"/>
        </event>
        <event>
            <string key="concept:name" value="D"/>
        </event>
    </trace>
    <trace>
        <event>
            <string key="concept:name" value="1"/>
        </event>
        <event>
            <string key="concept:name" value="A"/>
        </event>
        <event>
            <string key="concept:name" value="C"/>
        </event>
        <event>
            <string key="concept:name" value="D"/>
        </event>
    </trace>
</log>

```

Applying alpha algorithm on imported event log

