

# Thermodynamics



## Chem 211: Lecture 8

*Ahead*

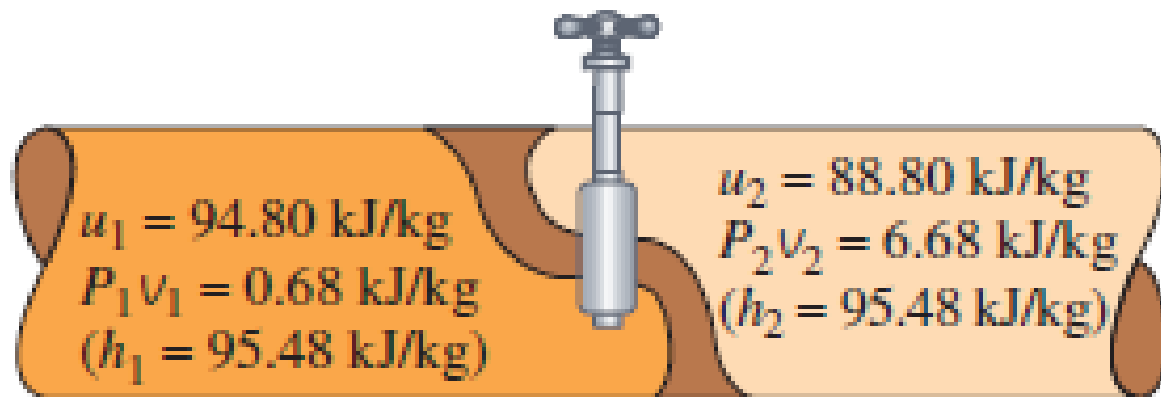
of

Second Law of Thermodynamics

Ahmad Alakraa

**Ex.**

Refrigerant-134a enters the capillary tube of a refrigerator as **saturated liquid** at **0.8 MPa** and is throttled to a pressure of **0.12 MPa**. Determine the **quality** of the refrigerant at the final state and the temperature drop during this process?

**Answer****Throttling Valve**

Saturated refrigerant-134a—Pressure table

Press., <i>P</i> kPa	Sat. temp., $T_{\text{sat}}$ °C	Specific volume, $\text{m}^3/\text{kg}$		Internal energy, kJ/kg			Enthalpy, kJ/kg			Entropy, kJ/kg·K		
		Sat. liquid, $v_f$	Sat. vapor, $v_g$	Sat. liquid, $u_f$	Evap., $u_{fg}$	Sat. vapor, $u_g$	Sat. liquid, $h_f$	Evap., $h_{fg}$	Sat. vapor, $h_g$	Sat. liquid, $s_f$	Evap., $s_{fg}$	Sat. vapor, $s_g$
120	-22.32	0.0007323	0.16216	22.38	195.15	217.53	22.47	214.52	236.99	0.09269	0.85520	0.94789
800	31.31	0.0008457	0.025645	94.80	152.02	246.82	95.48	171.86	267.34	0.35408	0.56445	0.91853

- ▶ Flow through a capillary tube is a **throttling** process; thus, the **enthalpy** of the refrigerant remains constant.

**At inlet: (sat. liquid or fluid)**

$$P_1 = 0.8 \text{ MPa}$$

$$T_1 = T_{\text{sat}} @ 0.8 \text{ MPa} = 31.31^\circ\text{C}$$

$$h_1 = h_f @ 0.8 \text{ MPa} = 95.48 \text{ kJ/kg}$$

**At exit: (sat. liquid or fluid)**

$$P_2 = 0.12 \text{ MPa}$$

$$T_{\text{sat}} = -22.32^\circ\text{C}$$

$$h_f = 22.47 \text{ kJ/kg}$$

$$h_g = 236.99 \text{ kJ/kg}$$

- ▶  $h_2 = h_1 = 95.48 \text{ kJ/kg}$ , a value between  $h_f < h_2 < h_g$
- ▶ Thus, the refrigerant exists as a **saturated mixture** at the exit state.

- ▶ The quality,  $\chi_2$ , at this state is

$$\chi_2 = \frac{h_2 - h_f}{h_{fg}} = \frac{95.48 - 22.47}{236.99 - 22.47} = 0.340$$

- ▶ Since the exit state is a **saturated mixture** at **0.12 MPa**, the exit temperature must be the saturation temperature at this pressure, which is  **$-22.32^\circ\text{C}$** .
- ▶ Then the temperature change for this process becomes

$$\Delta T = T_2 - T_1 = (-22.32 - 31.31)^\circ\text{C} = -53.63^\circ\text{C}$$

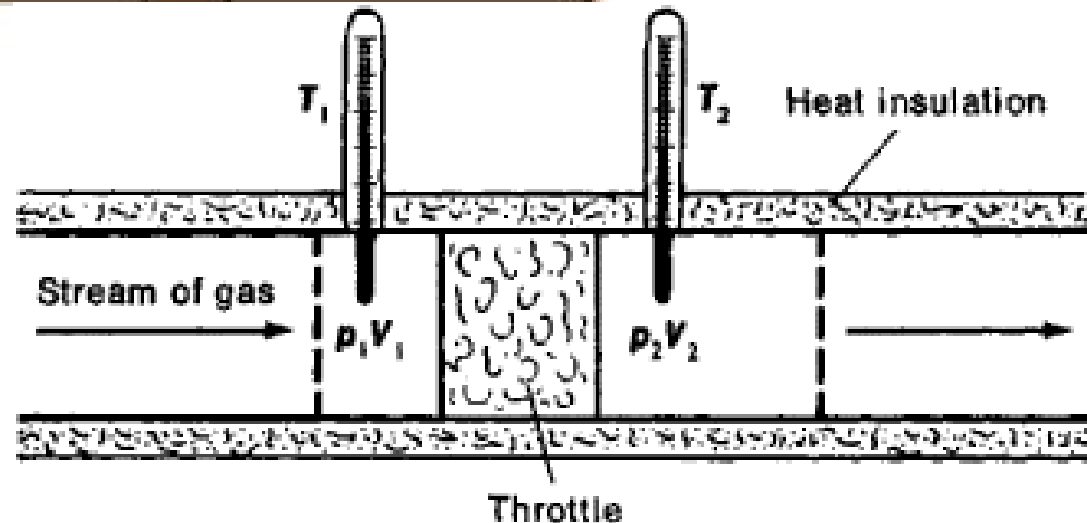
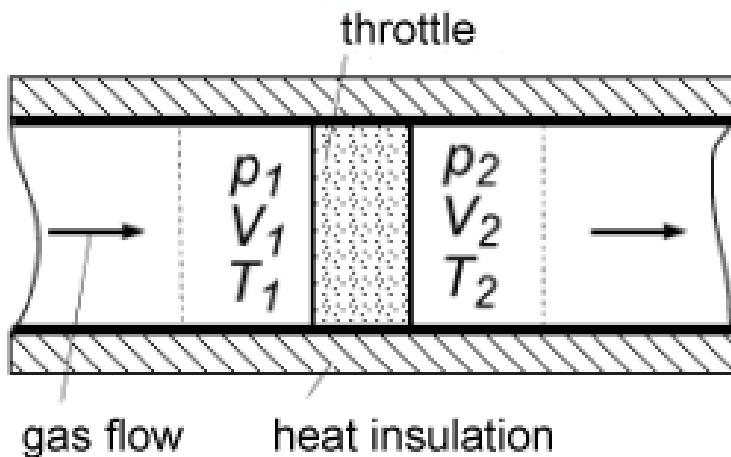
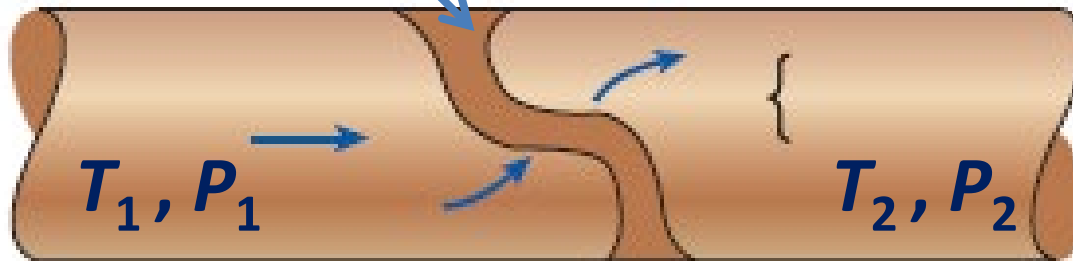
Note that

- ▶ T of the refrigerant drops by  **$53.63^\circ\text{C}$** .
- ▶ **34.0 percent** of the refrigerant **vaporizes** during this throttling process and the energy needed to vaporize this refrigerant is absorbed from **refrigerant** itself.

# Joule-Thomson effect

- When a fluid passes through a **restriction** such as a **porous plug**, a capillary tube, or an ordinary valve, its pressure **decreases**.

**Porous plate**  **Insulation**



- ▶ The **enthalpy** of the fluid remains approximately **constant** during such a throttling process.
- ▶ A fluid may experience a **large drop** in its temperature as a result of throttling. This is not always the case.
- ▶ The temperature of the fluid may remain **unchanged**, or it may even **increase** during a throttling process.

The temperature behavior of a fluid during a throttling ( $H = \text{constant}$ ) process is described by the **Joule-Thomson coefficient**, defined as

$$\mu = \left( \frac{\partial T}{\partial P} \right)_H$$

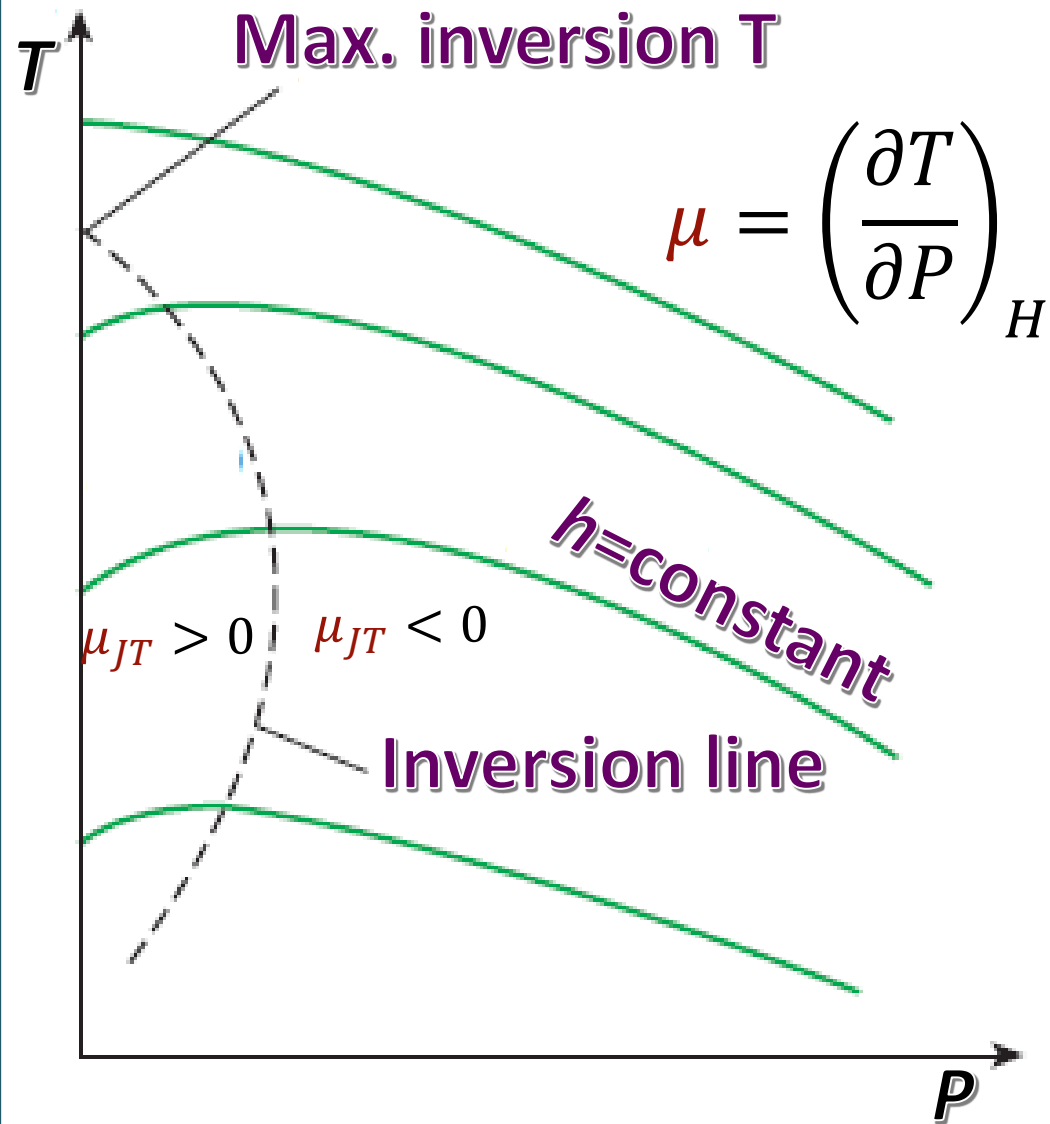
- < 0, T increases
- = 0, T remains constant
- > 0, T decreases

- ✚ The **Joule-Thomson coefficient** represents the **slope of  $H = \text{constant}$**  lines on a  **$T$ - $P$  diagram**.
- ✚ A fluid at a fixed temperature and pressure  $T_1$  and  $P_1$  (thus **fixed enthalpy**) is forced to flow through a porous plug, and its temperature and pressure downstream ( $T_2$  and  $P_2$ ) are measured.
- ✚ The experiment is repeated for **different sizes of porous plugs**, each giving a different set of  $T_2$  and  $P_2$ .
- ✚ Plotting the temperatures against the pressures gives us an  **$h = \text{constant}$**  line on a  **$T$ - $P$  diagram**.
- ✚ Repeating the experiment for **different sets of inlet pressure and temperature** and plotting the results, we can construct a  **$T$ - $P$  diagram** for a substance with several  **$h = \text{constant}$**  lines.

Some constant-enthalpy lines pass through a point of **zero slope** or **zero  $\mu$** .

The line that passes through these points is called the **inversion line**.

The temperature at a point where a constant-enthalpy line intersects the inversion line is called the **inversion temperature**.



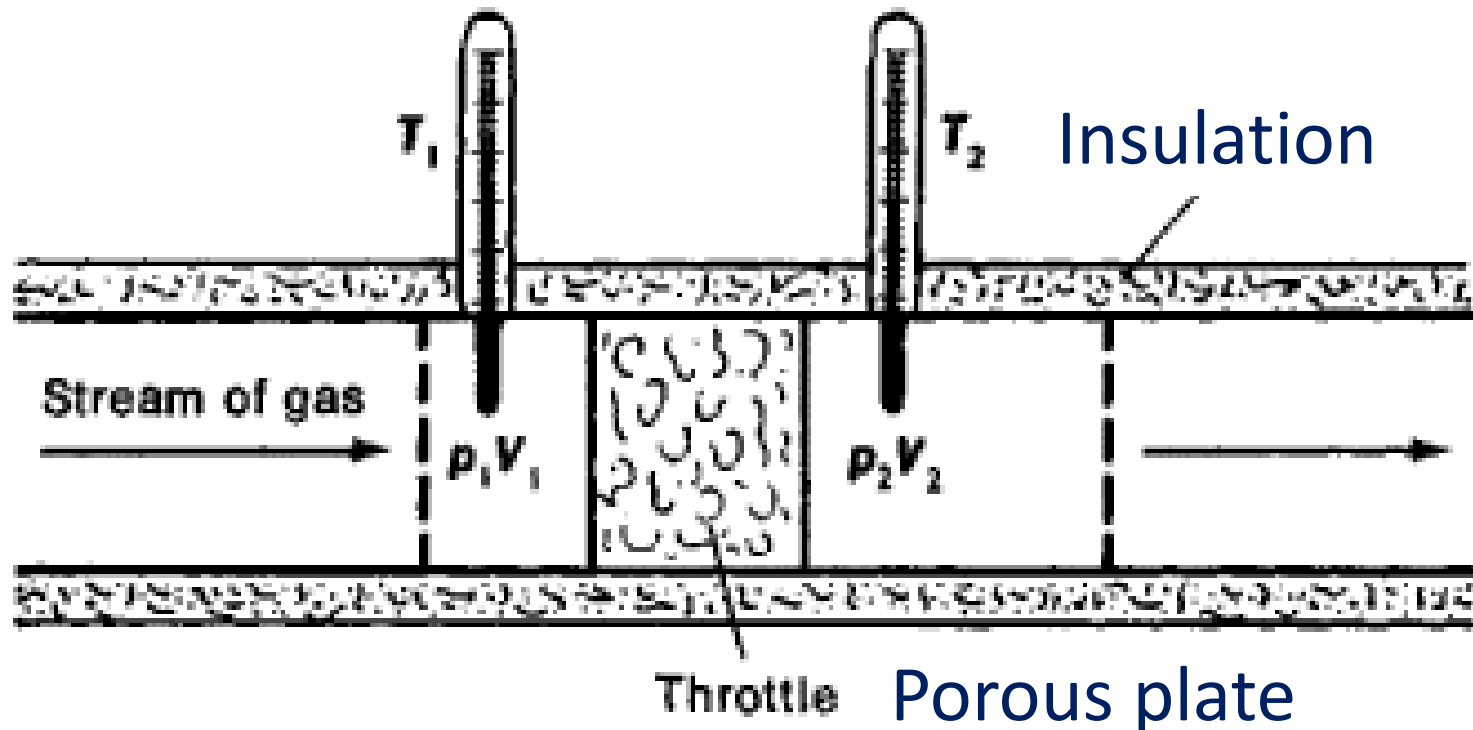
Constant-enthalpy lines of a substance on a T-P diagram.

- # The T at the intersection of the  $P = 0$  line (ordinate) and the upper part of the inversion line is called the **maximum inversion temperature**.
- # Notice that the **slopes** of the  **$h = \text{constant}$**  lines are negative ( $\mu < 0$ ) at states to the right of the inversion line and positive ( $\mu > 0$ ) to the left of the inversion line.
- # A throttling process proceeds along a constant-enthalpy line in the direction of **decreasing pressure**, that is, from right to left.
- # Therefore, the temperature of a fluid **increases** during a throttling process that takes place on the right-hand side of the **inversion line**.
- # However, the **fluid temperature decreases** during a throttling process that takes place on the left-hand side of the **inversion line**.

- ✚ It is clear from this diagram that a **cooling effect** cannot be achieved by throttling unless the fluid is below its **maximum inversion temperature**.
- ✚ This presents a problem for substances whose **maximum inversion temperature** is well below room temperature.
- ✚ For **hydrogen**, for example, the maximum inversion temperature is  $-68^{\circ}\text{C}$ . Thus hydrogen must be cooled below this temperature if any further cooling is to be achieved by throttling. **Hydrogen shows exceptionally a heating effect. Comment?**

# Mathematically

- By applying a pressure on the left side (piston) so slowly that no change in  $P_1$  occurs but a volume of gas  $V_1$  passes slowly through the porous plug to expand in the right compartment to  $V_2$



- The **work** done on the system at the left piston is  $P_1V_1$  and the work done by the system at the right piston is  $P_2V_2$ .
- The net work done on the system is given by

$$W = (P_1V_1 - P_2V_2) = -(P_2V_2 - P_1V_1)$$

- For adiabatic expansion,  $Q = 0$  and  $\Delta U = W$ .

$$U_2 - U_1 = -P_2V_2 + P_1V_1$$

$$U_2 + P_2V_2 = U_1 + P_1V_1$$

$$H_2 = H_1$$

$$\Delta H = 0$$

Adiabatic processes occurs under **constant enthalpy**

- Joule and Thomson observed that all real gases (**except  $H_2$** ) undergo **cooling effect** during adiabatic expansion.

- The temperature change depends on the initial conditions ( $T$ ,  $P$  of the gas, which identify the system enthalpy that will remain constant during expansion).
- The Joule-Thomson coefficient ( $\mu$ ) is give by

$$\mu = \left( \frac{\partial T}{\partial P} \right)_H$$

Consider an **adiabatic expansion** of a real gas

$$H = H(T, P)$$

$$dH = 0$$

$$dH = \left( \frac{\partial H}{\partial T} \right)_P dT + \left( \frac{\partial H}{\partial P} \right)_T dP = 0$$

$$\left( \frac{\partial H}{\partial T} \right)_P = c_P$$

$$c_P dT + \left( \frac{\partial H}{\partial P} \right)_T dP = 0$$

$$\left( \frac{dT}{dP} \right)_H = -\frac{1}{c_P} \left( \frac{\partial H}{\partial P} \right)_T$$

$$\mu = -\frac{1}{c_P} \left( \frac{\partial H}{\partial P} \right)_T = -\frac{1}{c_P} \left( \frac{\partial (U + Pv)}{\partial P} \right)_T$$

$$\mu = -\frac{1}{c_P} \left( \frac{\partial U}{\partial P} \right)_T - \frac{1}{c_P} \left( \frac{\partial Pv}{\partial P} \right)_T$$

$$\left( \frac{\partial U}{\partial P} \right)_T$$

is always  
-ve

- ▶ Because for real gases, work is done against the intermolecular attraction during expansion
- ▶ In adiabatic expansion **P decreases** and T decreases. In order to keep T constant, the system should receive work from surrounding to **increase U**

$$\mu = -\frac{1}{C_P} \left( \frac{\partial U}{\partial P} \right)_T - \frac{1}{C_P} \left( \frac{\partial Pv}{\partial P} \right)_T$$

► Therefore, the first term of the above equation leads to cooling effect.

$$\left( \frac{\partial Pv}{\partial P} \right)_T \begin{cases} < 0, \text{ at low } P & \longrightarrow \text{cooling } / +\text{Ve } \mu \\ > 0, \text{ at High } P & \longrightarrow \text{Heating } / -\text{Ve } \mu \end{cases}$$

# Inversion Temperature

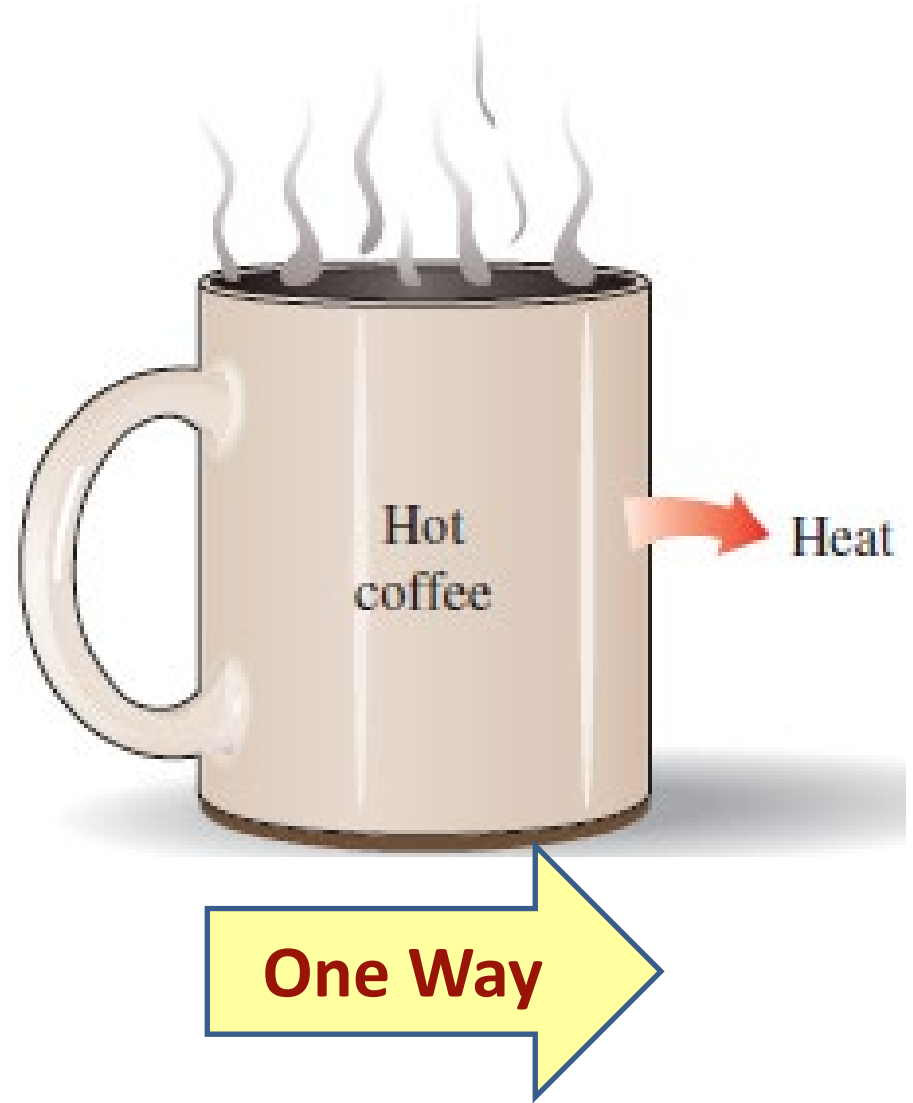
The **temperature** at which the **cooling effect** of the first term is equal the **heating effect** of the second term and thus  $\mu=0$ .

- At this **temperature**, the gas will not undergo a temperature change on expansion.
- Above the **inversion temperature**, the gas undergoes a heating effect upon expansion.
- Below the **inversion temperature**, the gas undergoes a cooling effect upon expansion.

# Process direction

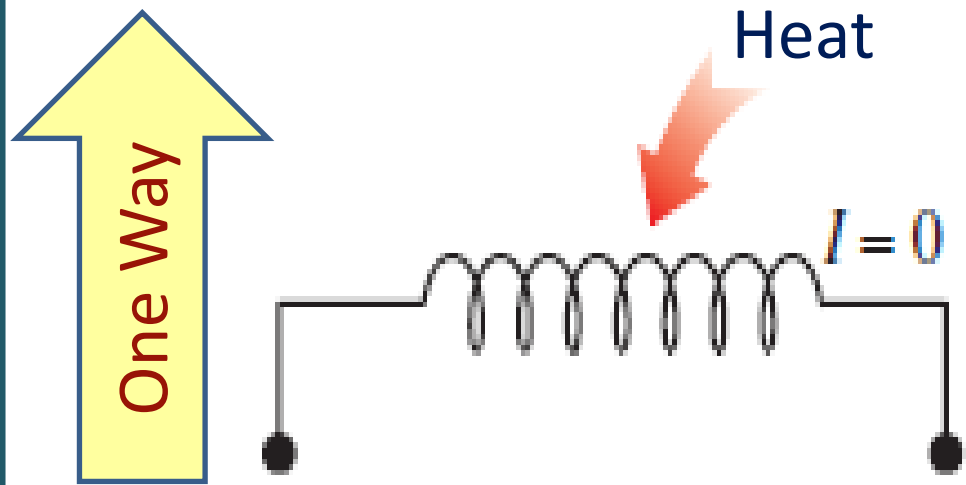
- ✚ The second law of thermodynamics asserts that “*processes occur in a certain direction*” and that energy has **quality** as well as **quantity**.
- ✚ A **process** cannot take place unless it **satisfies** both the **first** and **second** laws of thermodynamics.
- ✚ **Satisfying** the **first law** alone does not ensure that the process will actually take place.

- ▶ A cup of **hot coffee** left in a cooler room eventually **cools off**.
- ▶ This process **satisfies the first law of thermodynamics** since the amount of energy lost by the coffee is equal to the amount gained by the surrounding air.



- ▶ A **hot coffee** will never get **hotter** in a cooler room as a result of heat transfer from the room air, although satisfying the first law.

► Heating a room by the passage of electric current through a resistor is possible and satisfying the first law as the amount of electric energy supplied to the resistance wires equal to the amount of energy transferred to the room air as heat.



► The reverse of this process is not possible although satisfying the first law.

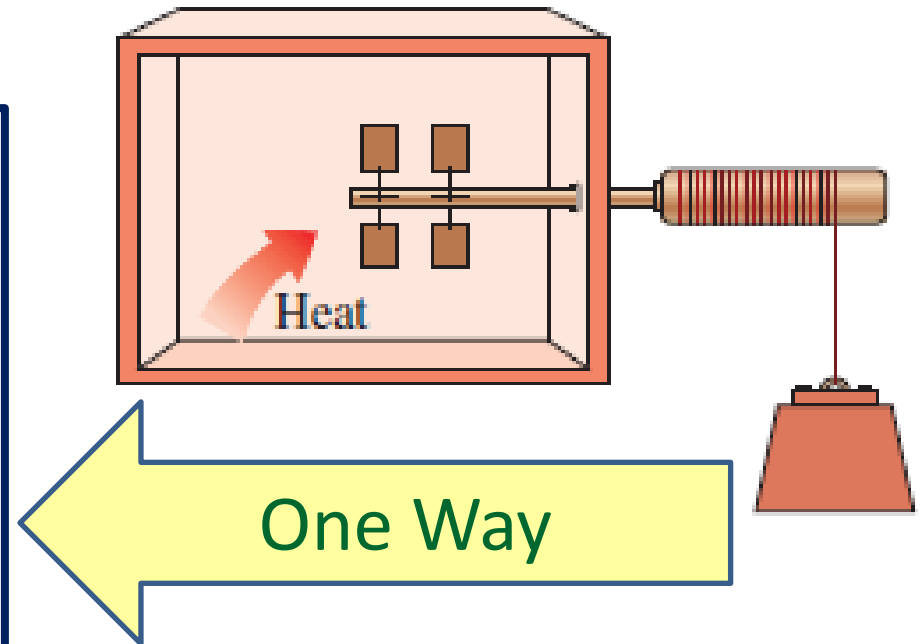
► It is not surprising that transferring some heat to the wires does not cause an equivalent amount of electric energy to be generated in the wires.

▶ The **potential energy** of the mass **decreases**, and the **internal energy** of the fluid **increases** in accordance with the conservation of energy principle.

▶ The **reverse process**, raising the mass by transferring heat from the fluid to the paddle wheel, does not occur in nature, **although** not violating the first law

## A paddle-wheel operated by the fall of a mass

▶ The paddle wheel rotates as the mass falls and stirs a fluid within an insulated container.

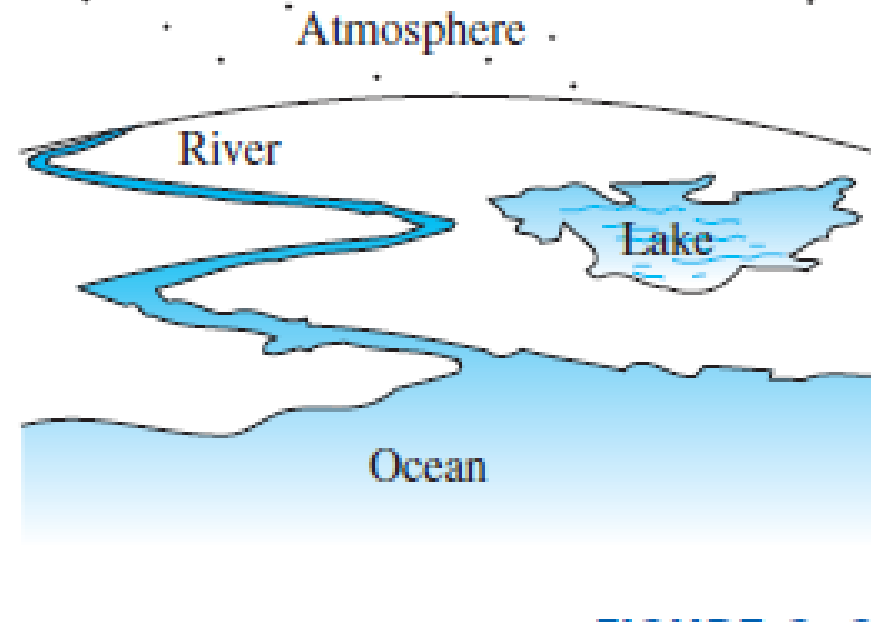


# Important notes

- Processes proceed in a certain direction and not in the reverse direction.
- The first law places no restriction on the direction of a process.
- Satisfying the first law does not ensure that the process can actually occur.
- This inadequacy of the first law to identify whether a process can take place is resolved by introducing another general principle, the second law of thermodynamics.
- The reverse processes discussed above violate the second law of thermodynamics.
- This violation is easily detected with the help of a property, called entropy.

# Heat Reservoirs

also called “thermal energy reservoirs” a hypothetical body with a relatively large thermal energy capacity ( $\text{mass} \times \text{specific heat}$ ) that can supply or absorb finite amounts of heat without undergoing any change in T.



**Examples** (having large thermal energy storage capabilities or thermal masses)

- ▶ large bodies of water such as oceans, lakes, and rivers
- ▶ the atmospheric air because of its large thermal E storage capability.

- ▶ The **atmosphere** does not warm up as a result of heat losses from residential buildings in winter.
- ▶ **Megajoules of waste** energy dumped in large rivers by power plants do not cause any significant change in water temperature.

- ✚ A **two-phase system** can also be modeled as a reservoir since it can absorb and release large quantities of heat while remaining at constant temperature.
- ✚ The **industrial furnace**: The temperatures of most furnaces are carefully controlled, and they are capable of supplying large quantities of **thermal energy** as heat in an essentially **isothermal** manner.

Is it necessary for a body to be **very large** to be considered a **reservoir**?

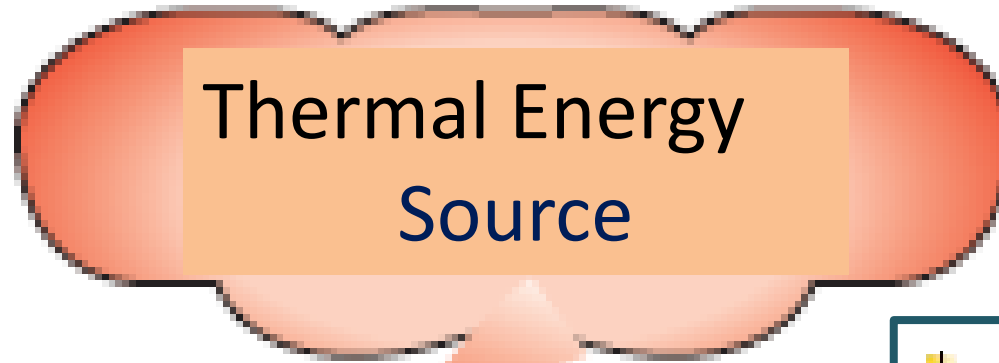
▶ **No**, any physical body whose thermal energy capacity is **large** relative to the amount of energy it supplies or absorbs can be modeled as a **reservoir**.

## Example

- ▶ The **air** in a room can be treated as a reservoir in the analysis of the heat dissipation from a **TV** set in the room.
- ▶ This is because the amount of heat transfer from the **TV** set to the room air is **not large** enough to have a noticeable effect on the room air temperature.

# Convention

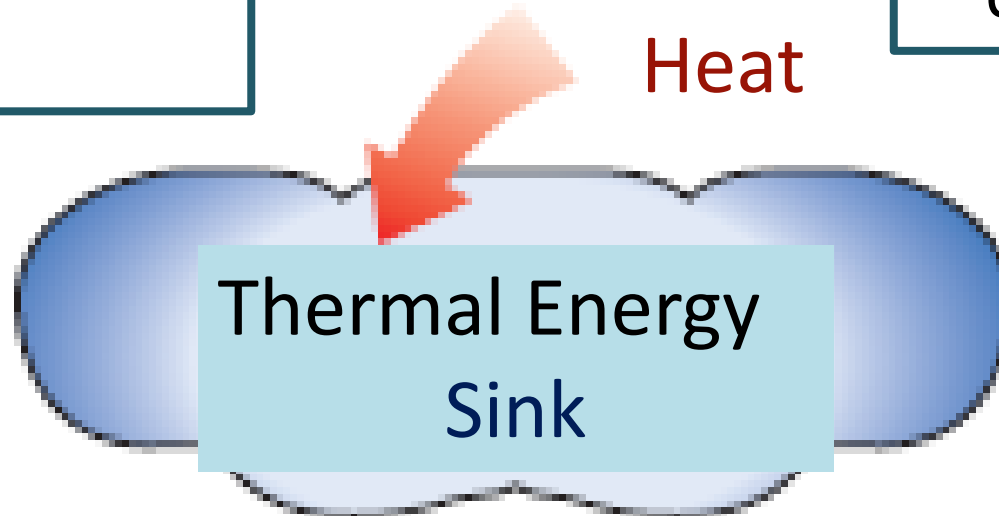
# Source & Sink



⊕ A reservoir that supplies energy in the form of heat is called a source.

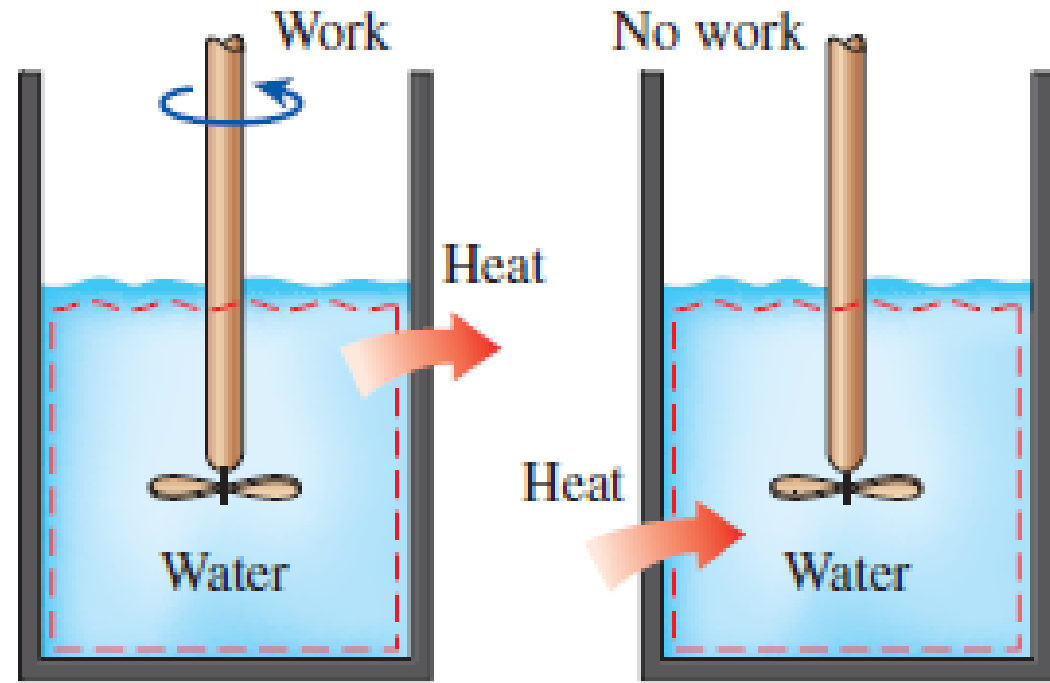


⊕ A reservoir that absorbs energy in the form of heat is called a sink.



# Work/Heat transformation

Work can easily be converted to heat or other forms of energy directly and completely, but converting other forms of energy to work is not that easy.



## Example

- ▶ The **mechanical work** done by the shaft can easily be converted to the internal energy of the water then to surrounding as heat.
- ▶ The **reverse process** “transferring heat to the water” does not cause the shaft to rotate.

# Heat engines

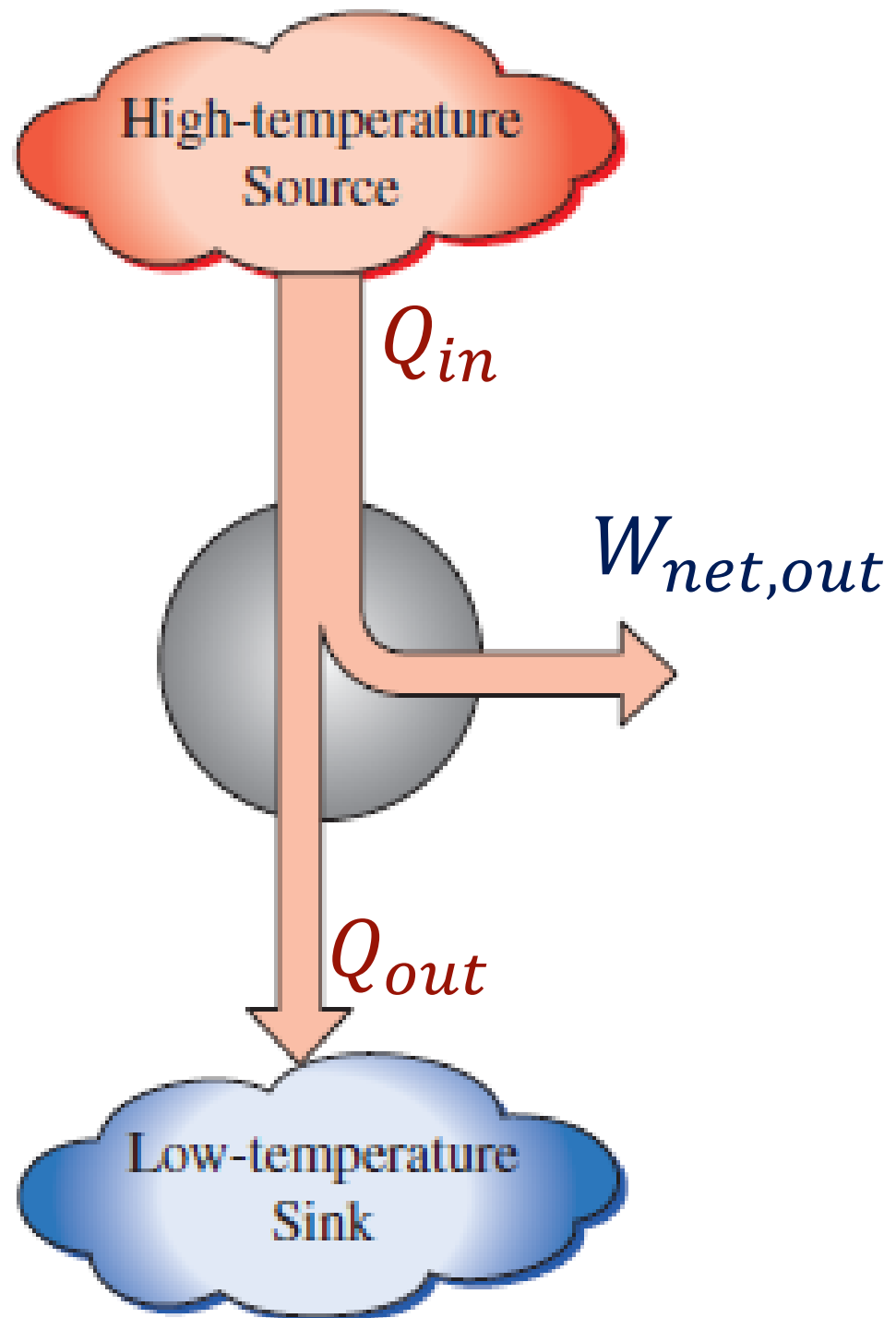
Converting heat to work is not easy and requires special devices called “**heat engines**”.

## General four features of heat engines

- ✚ They **receive** heat from a **high-temperature source** (solar energy, oil furnace, nuclear reactor, etc.).
- ✚ They **convert** part of this **heat to work** (usually in the form of a rotating shaft).
- ✚ They **reject** the **remaining waste heat** to a **low-temperature sink** (the atmosphere, rivers, etc.).
- ✚ They operate on a **cycle**.

# Heat engines

- ▶ Heat engines and other cyclic devices usually involve a fluid to and from which heat is transferred while undergoing a cycle.
- ▶ This fluid is called the working fluid.



The term “**heat engine**” is often used in a broader sense to include work producing devices that do not operate in a **thermodynamic cycle**.

### Example: Engines that involve **internal** combustion

- ✚ As **gas turbines** and **car engines**.
- ✚ These devices operate in a **mechanical cycle** but **not** in a **thermodynamic cycle** since the working fluid (the combustion gases) does not undergo a **complete** cycle.
- ✚ Instead of being cooled to the initial temperature, the exhaust gases are **purged** and **replaced** by fresh air-and-fuel mixture at the end of the cycle.

# Steam Power Plant, SPP

- ✚ is best describing the “heat engine” principle.
- ✚ It is an external-combustion engine, *i.e., combustion takes place outside the engine*, and the thermal energy released during this process is transferred to the steam as heat.

$Q_{in}$  = amount of heat supplied to steam in a boiler from a high-temperature source (furnace)

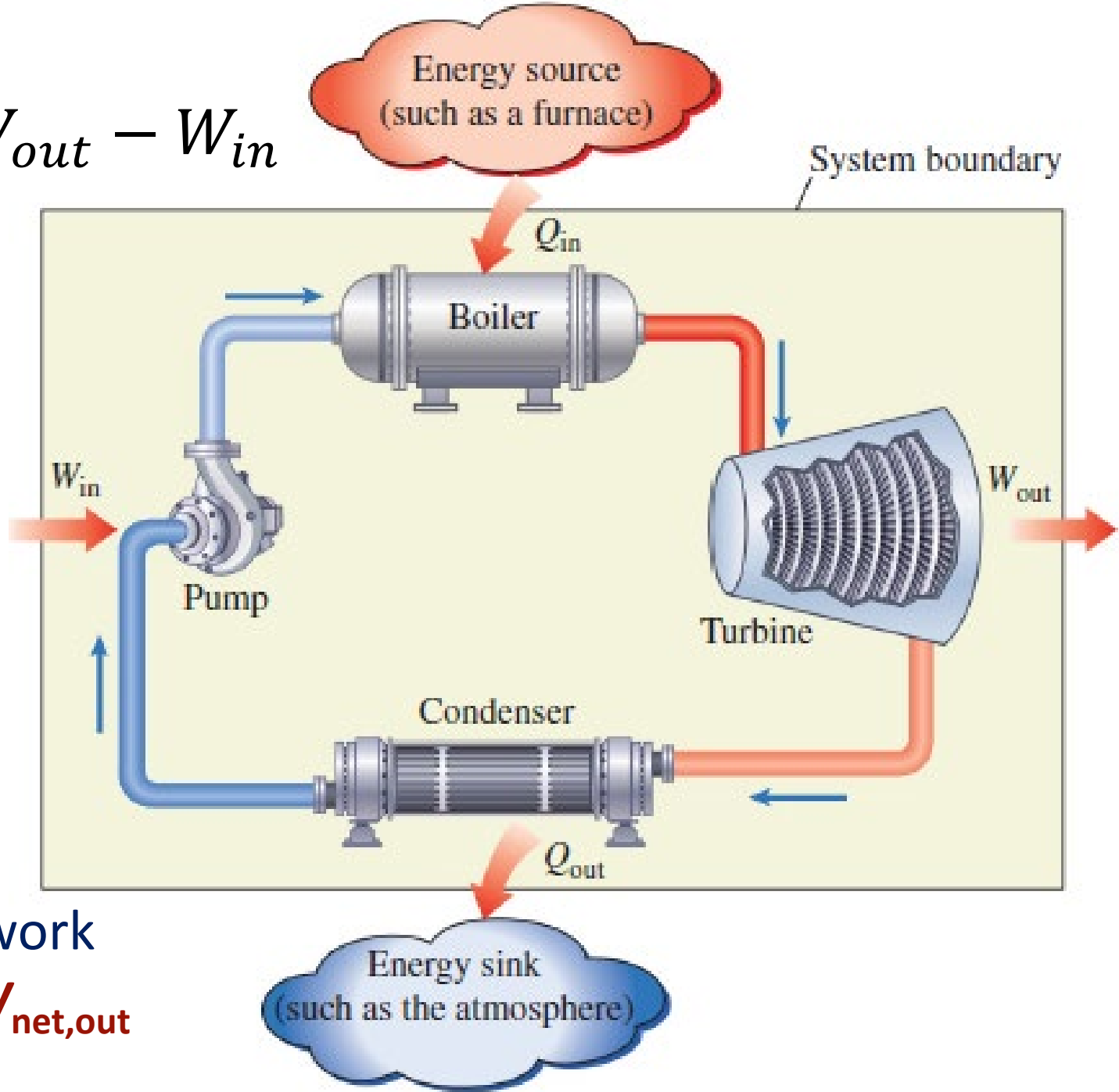
$Q_{out}$  = amount of heat rejected from steam in condenser to a low temperature sink (the atmosphere, a river, etc.)

$W_{out}$  = amount of work delivered by steam as it expands in turbine

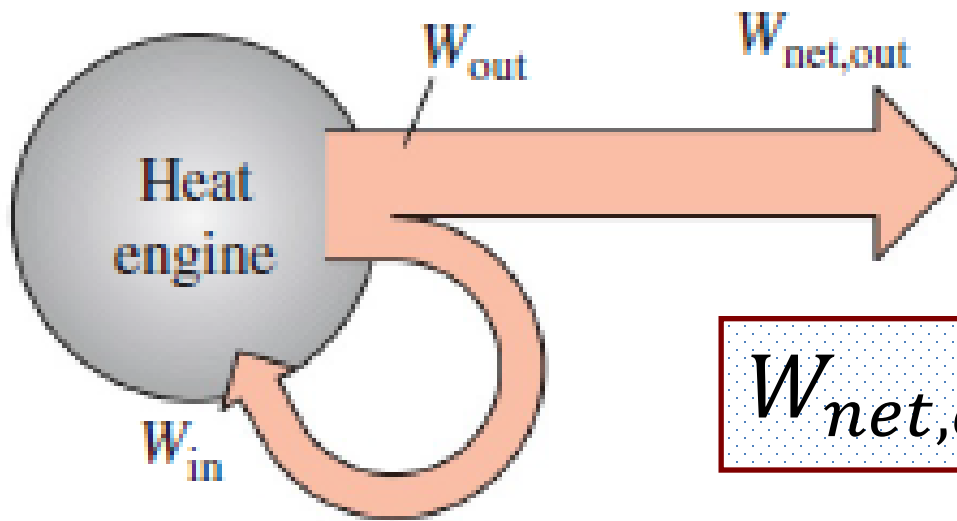
$W_{in}$  = amount of work required to compress water to boiler's pressure

# Steam Power Plant

$$W_{net,out} = W_{out} - W_{in}$$



The net work output  $W_{net,out}$



The net work  
output  $W_{net,out}$

$$W_{net,out} = W_{out} - W_{in}$$

- ✚ The steam power plant is analyzed as a **closed system**
- ✚ Although involving **mass flow**, the steam power plant is not modeled as **open** systems because the same fluid is confined in the four main components (**boiler, turbine, condenser & pump**) of the engine together with the connecting pipes (not counting the steam that may leak out, of course).
- ✚ No mass enters or leaves this combination system.

- ▶ For a **closed** system undergoing a **cycle**, the change in internal energy  $\Delta U$  is zero.
- ▶ The **net work output**,  $W_{net,out}$ , of the system equals the net heat transfer to the system,  $Q_{in} - Q_{out}$ .

$$W_{net,out} = Q_{in} - Q_{out}$$

Can we not just take the condenser out of the plant and save all the waste energy?

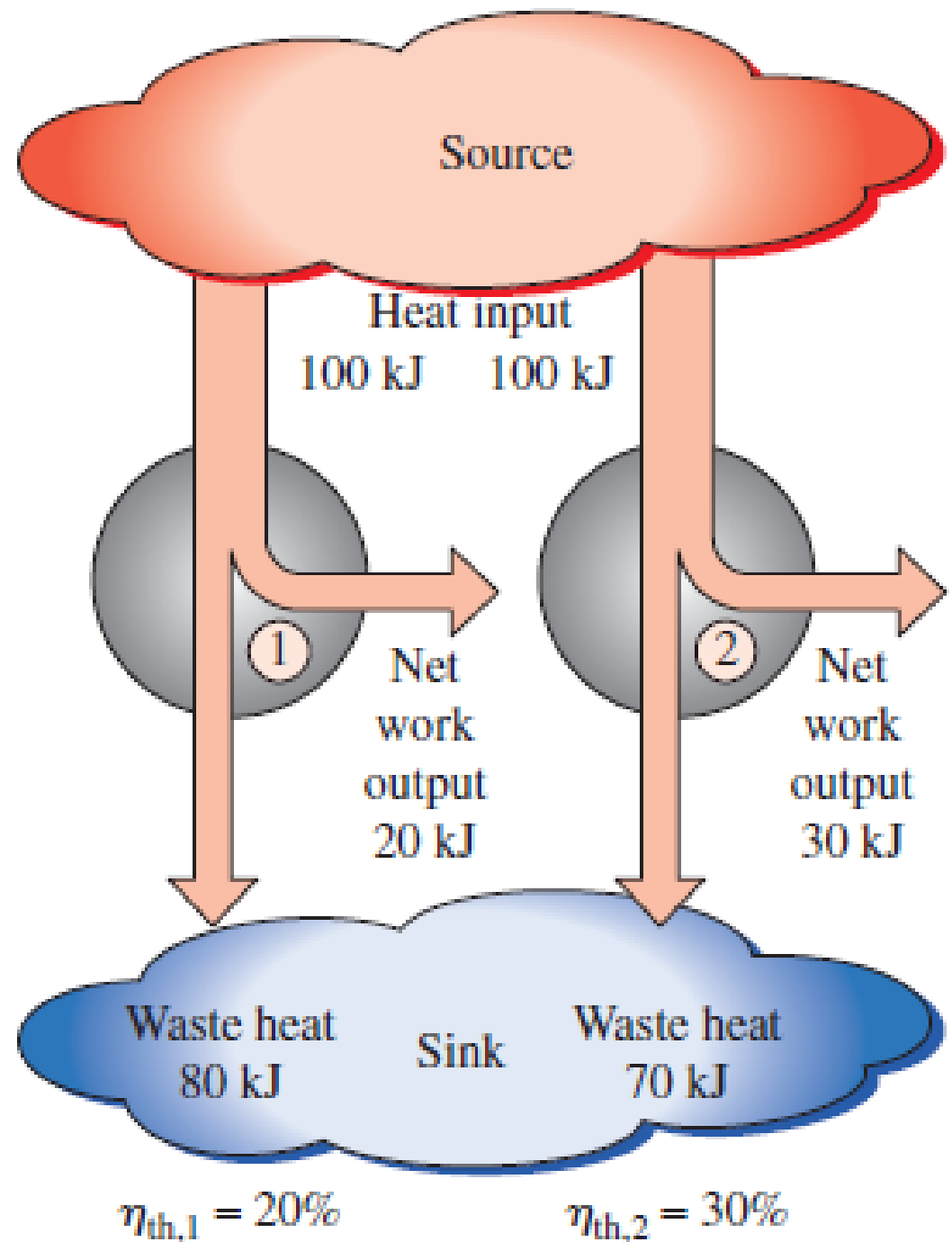
- ▶ No, because without a **heat rejection process** in a condenser, the **cycle** (which is important for a **continuous operation**) cannot be completed.

# Thermal efficiency of SPP, $\eta_{th}$

$$\eta_{th} = \frac{W_{net,out}}{Q_{in}} = \frac{Q_{in} - Q_{out}}{Q_{in}} = 1 - \frac{Q_{out}}{Q_{in}}$$

- ✚ The  $W_{net,out}$  of a heat engine is always less than the amount of heat input.
- ✚ Only part of the heat transferred to the heat engine is converted to work.

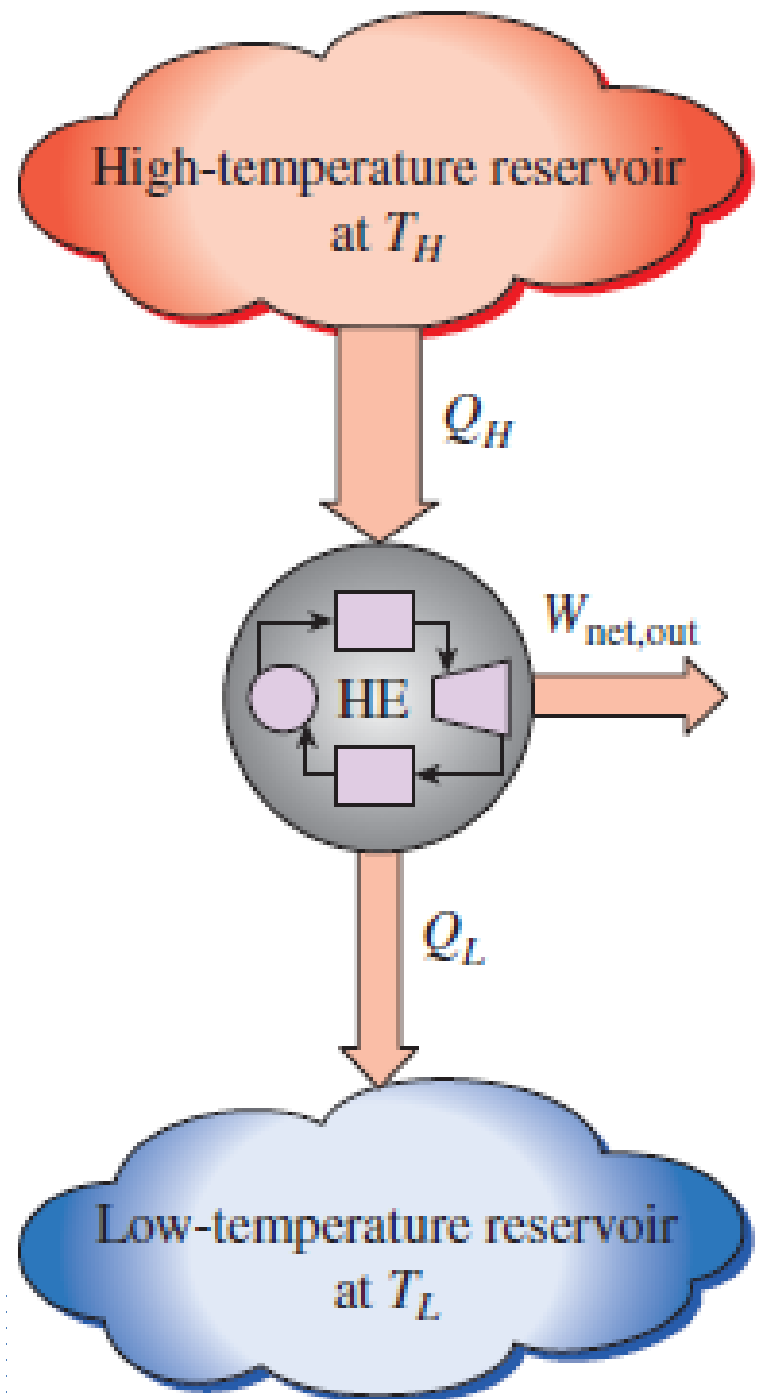
Some heat engines perform better than others



✚ **Cyclic devices** of practical interest such as **heat engines**, **refrigerators**, and **heat pumps** operate between a high-temperature medium (or reservoir) at temperature  $T_H$  and a **low-temperature medium** (or reservoir) at temperature  $T_L$ .

$$\eta_{th} = \frac{W_{net,out}}{Q_H} = 1 - \frac{Q_L}{Q_H}$$

$\eta_{th} < 1$ , since  $Q_L$  and  $Q_H$  are +ve



# Efficiencies of practical HE

- ✦  $\eta_{th}$  of work-producing devices are relatively low.
- ✦ Ordinary spark-ignition automobile engines have a  $\eta_{th}$  of about **25 percent**. That is, an automobile engine converts about 25 percent of the chemical energy of the gasoline to mechanical work.
- ✦  $\eta_{th}$  is as high as **40 percent** for diesel engines and large gas-turbine plants and as high as **60 percent** for large combined gas-steam power plants.
- ✦ Thus, even with the **most efficient heat engines** available today, almost **one-half of the energy supplied** ends up in the rivers, lakes, or the atmosphere as **waste or useless energy**.

# 2<sup>nd</sup> law of Thermodynamics

## Kelvin–Planck Statement

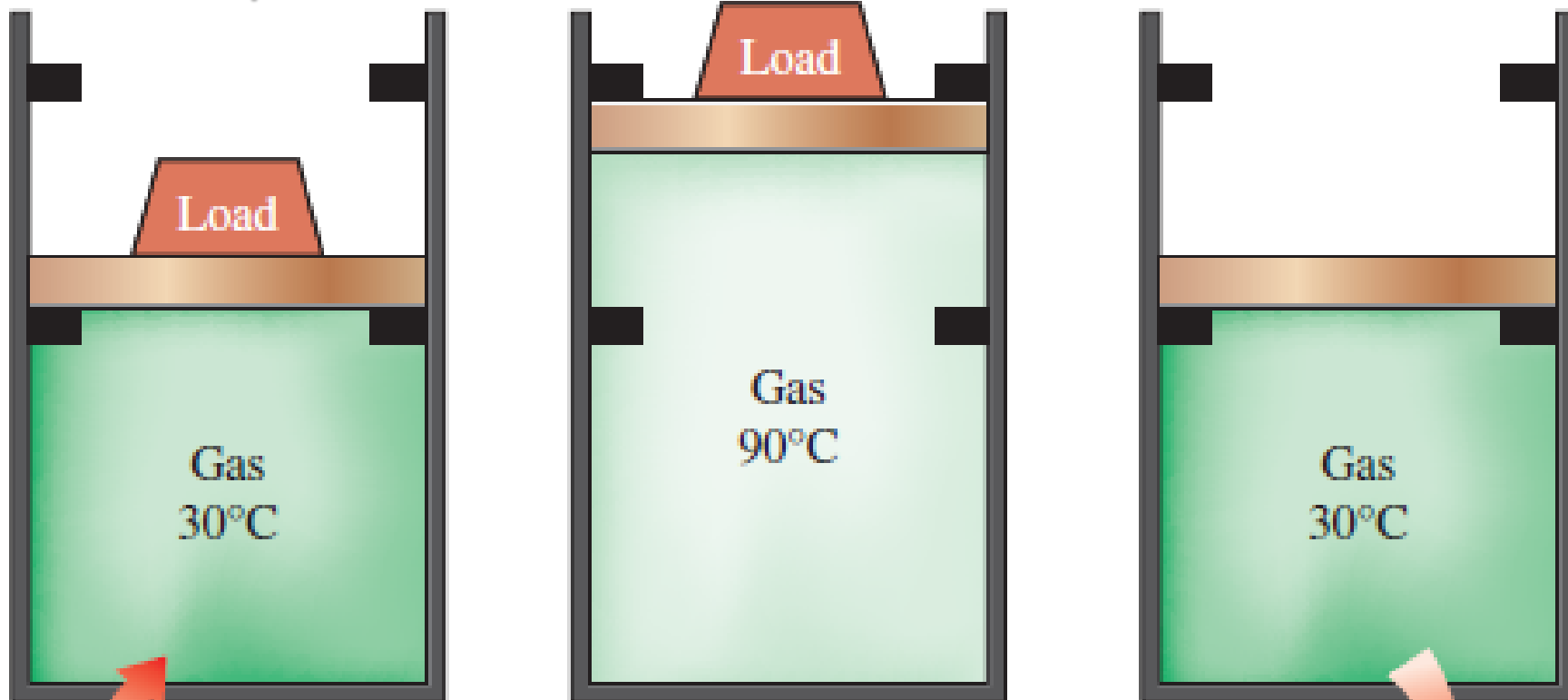
- ✚ It is impossible for any device that operates on a cycle to receive heat from a **single reservoir** and produce a net amount of **work**.

## Kelvin–Planck other Statements

- ✚ No **heat engine** can have a thermal efficiency of **100%**.
- ✚ For a **power plant** to operate, the **working fluid** must exchange heat with the **environment** as well as the **furnace**.
- ✚ Even under ideal conditions, a heat engine must **reject** some heat to a **low-temperature reservoir** in order to complete the cycle.

2 stops

→ (15 kJ) Load is removed



Heat in  
(100 kJ)

Heat out  
(85 kJ)

Reservoir at  
100°C

Reservoir at  
20°C

Simple HE lifting weights

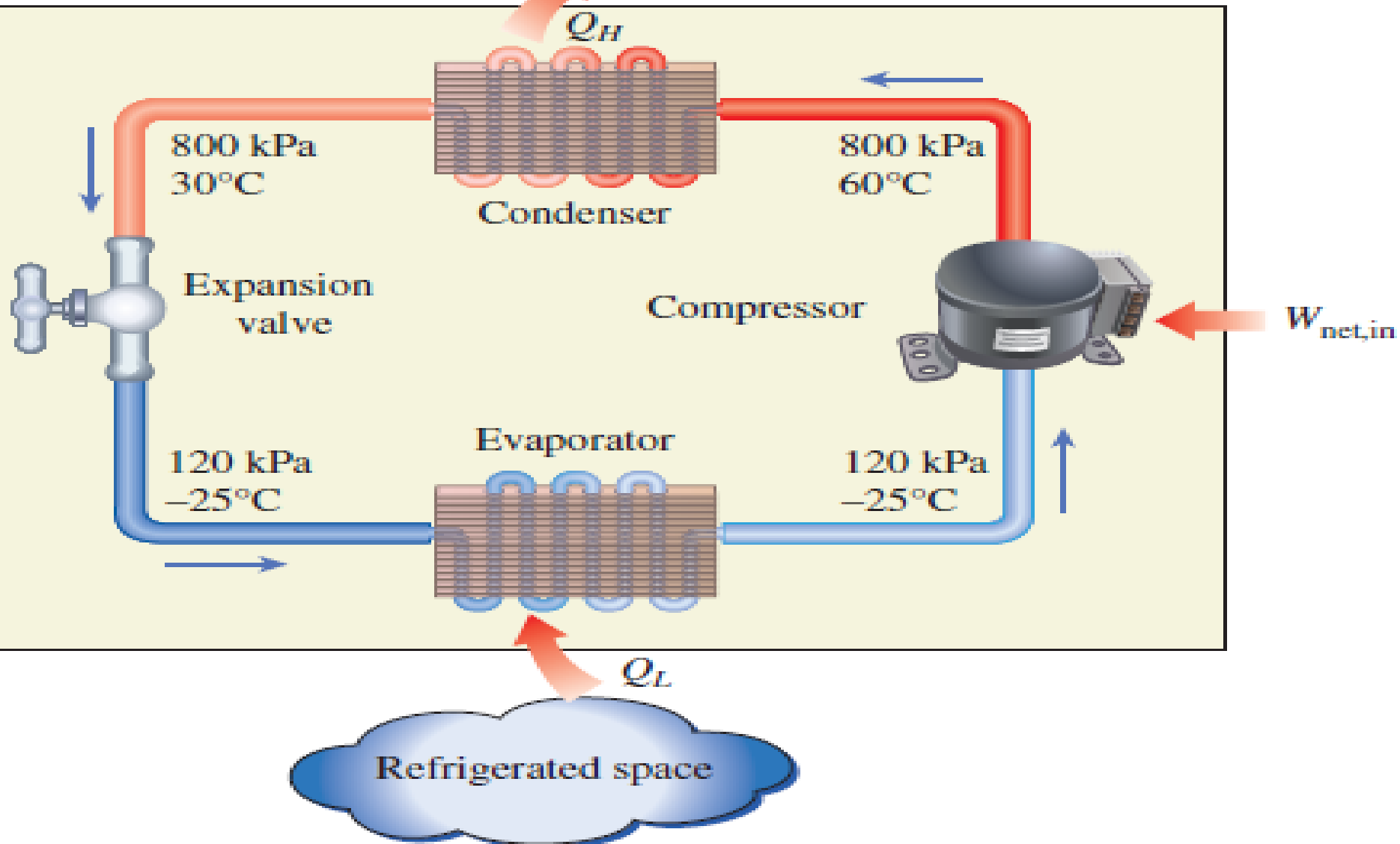
# Note

- ▶ The work done on the load during this expansion process is equal to the increase in its **potential energy**, say 15 kJ.
- ▶ Even under **ideal** conditions (weightless piston, no friction, no heat losses, and quasi-equilibrium expansion), the amount of **heat** supplied to the gas is **greater** than the **work** done since part of the heat supplied is used to raise the temperature of the gas.
- ▶ Is it possible to transfer the **85 kJ** of excess heat at  $90^{\circ}\text{C}$  back to the reservoir at  $100^{\circ}\text{C}$  for later use (**cycling**)? **NO**

# Refrigerators

- Heat is transferred **spontaneously** in the direction of decreasing temperature, that is, from **high-T** mediums to **low-T** ones.
- The transfer of heat from a **low-T** medium to a **high-T** one requires special devices called refrigerators.
- Refrigerators**, like heat engines, are **cyclic devices**.
- The working fluid used in the refrigeration cycle is called a refrigerant.
- The most frequently used **refrigeration cycle** is the **vapor-compression refrigeration cycle**, which involves four main components: a **compressor**, a **condenser**, an **expansion valve**, and an **evaporator**.

Surrounding medium  
such as the kitchen air

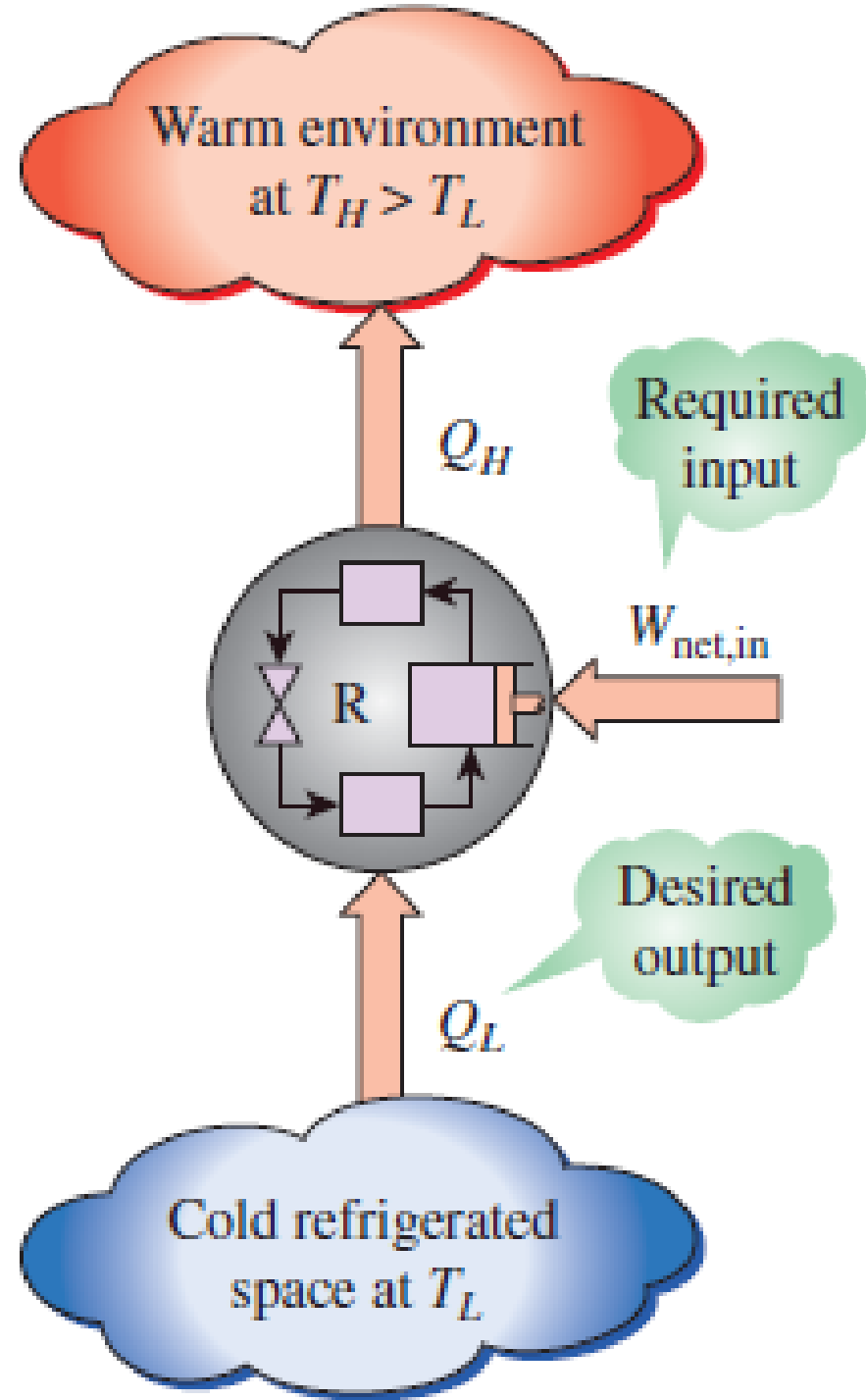


# Refrigerators: Mode of operation

- ✚ The **refrigerant** enters the compressor as a vapor and is compressed to the condenser pressure.
- ✚ It leaves the compressor at a relatively **high T** and cools down and **condenses** as it flows through the coils of the condenser by **rejecting heat** to the surrounding medium.
- ✚ It then enters a **capillary tube** where its pressure and temperature drop drastically due to the **throttling** effect.
- ✚ The low-temperature refrigerant then enters the **evaporator**, where it evaporates by absorbing heat from the **refrigerated space**.
- ✚ The **cycle** is completed as the refrigerant leaves the **evaporator** and reenters the **compressor**.

# Household refrigerator

- ✚ The **freezer** compartment where heat is absorbed by the refrigerant serves as the evaporator.
- ✚ The **coils**, usually behind the refrigerator where heat is dissipated to the kitchen air, serve as the condenser.



# Coefficient of Performance, COP

- ✚ A measurement for the **efficiency** of a refrigerator.
- ✚ The objective of a refrigerator is to **remove heat ( $Q_L$ )** from the refrigerated space.
- ✚ To do so, it requires a work input of  **$W_{net,in}$** .
- ✚ Then the **COP** of a refrigerator can be expressed as

$$COP_R = \frac{\text{desired output}}{\text{required input}} = \frac{Q_L}{W_{net,in}} = \frac{Q_L}{Q_H - Q_L} = \frac{1}{\frac{Q_H}{Q_L} - 1}$$

In contrast to  $\eta_{th}$  of heat engines,  $COP_R$  can be **> 1**. That is, the amount of heat removed from the refrigerated space can be greater than the amount of work input.

# Heat Pumps

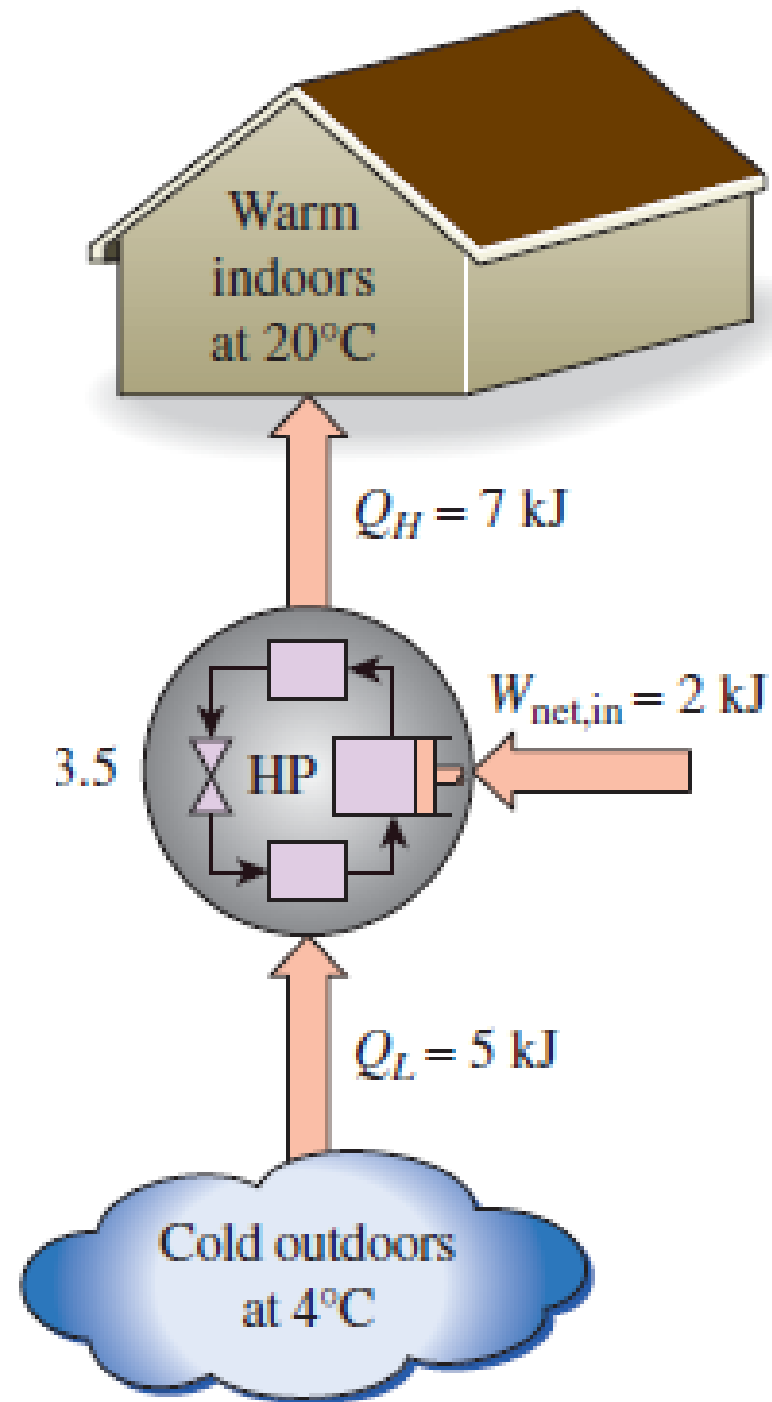
- ✚ Operate with transferring heat from Low to high T media.
- ✚ **Refrigerators** and **heat pumps** operate on the same cycle but differ in their objectives.
- ✚ The objective of a **refrigerator** is to **maintain the refrigerated space at a low temperature** by removing heat from it. Discharging this heat to a higher temperature medium is merely a necessary part of the operation, **not the purpose**.
- ✚ The **objective of a heat pump** is to maintain a heated space at a high temperature. This is accomplished by **absorbing heat from a low temperature source**, such as well water or cold outside air in winter, and supplying this heat to the high-temperature medium such as a house.

# Heat Pumps

$$COP_{HP} = \frac{\text{desired output}}{\text{required input}} =$$

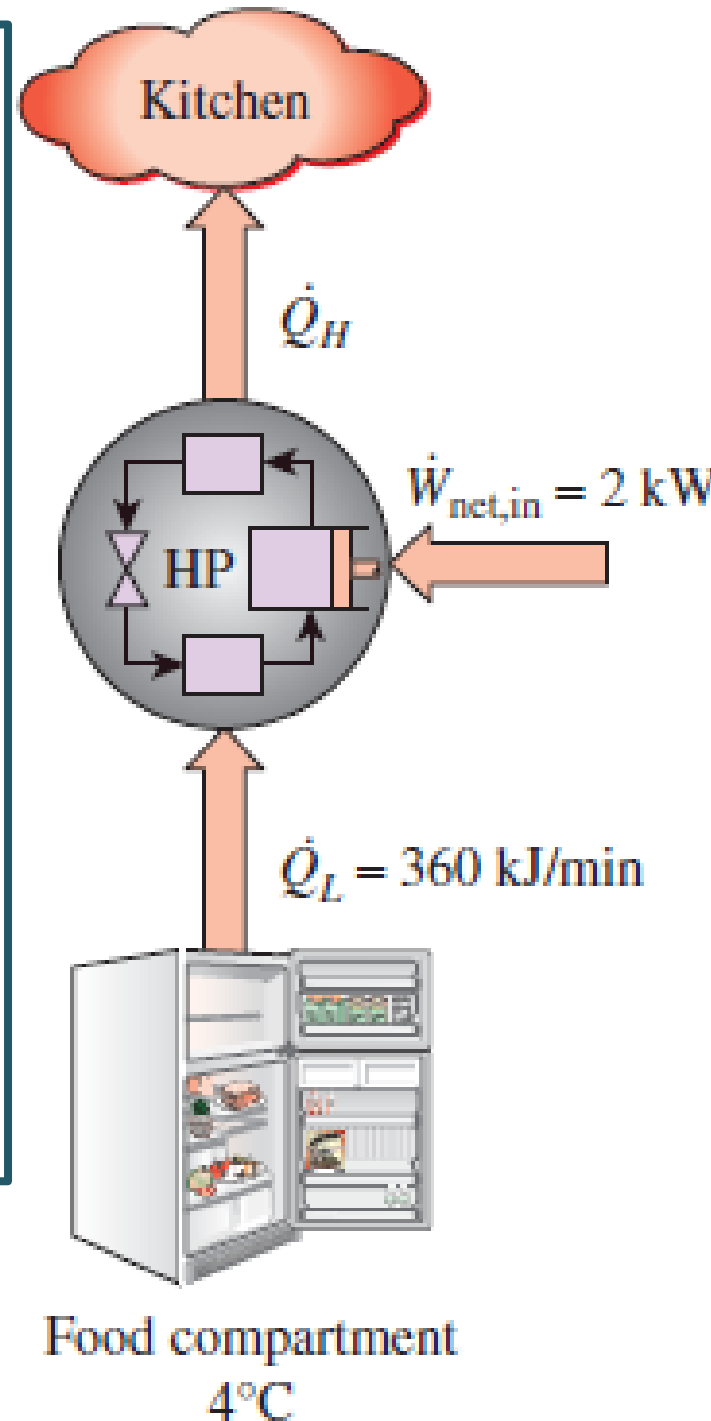
$$\frac{Q_H}{W_{net,in}} = \frac{Q_H}{Q_H - Q_L}$$
$$= \frac{1}{1 - \frac{Q_L}{Q_H}}$$

$$COP_{HP} = COP_R + 1$$



▶ A **refrigerator** placed in the window of a house with its door open to the cold outside air in winter will function as a heat pump.

▶ It will try to **cool the outside** by **absorbing heat** from it and **rejecting** this heat into the house through the coils behind it.



# Air conditioners

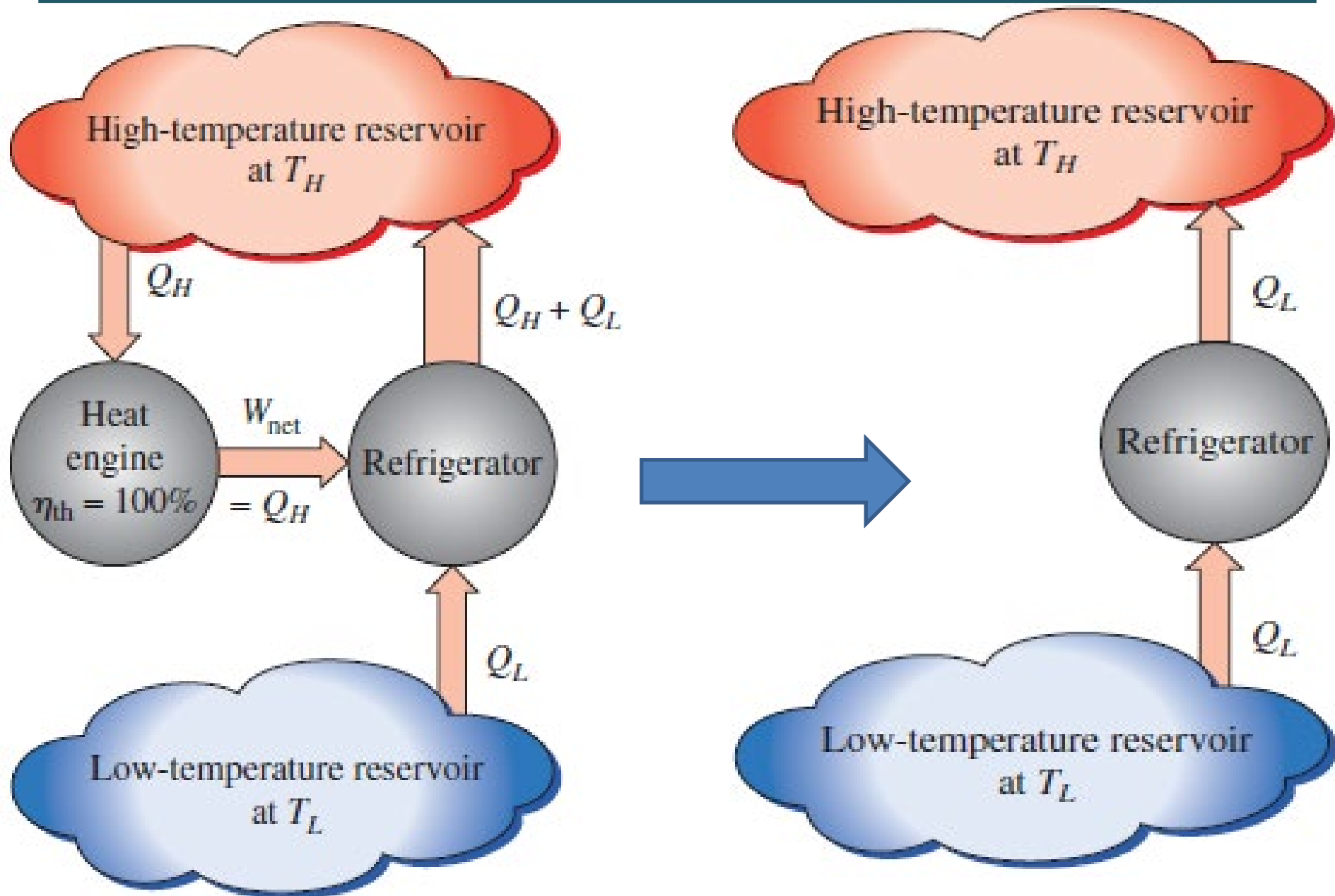
- ✚ are basically **refrigerators** whose refrigerated space is a room or a building not the food compartment.
- ✚ A **window air-conditioning** unit cools a room by absorbing heat from the room air and discharging it to the outside.
- ✚ The **same** air-conditioning unit can be used as a **heat pump in winter** by installing it backwards. In this mode, the unit **absorbs** heat from the cold outside and delivers it to the room.
- ✚ **Air-conditioning systems** that are equipped with proper controls and a reversing valve operate as air conditioners in summer and as heat pumps in winter.

# 2<sup>nd</sup> law of Thermodynamics

## Clausius Statement

- ✚ It is **impossible** to construct a device that operates in a **cycle** and produces **no effect** other than the **transfer of heat** from a **lower-temperature** body to a **higher-temperature** body.
- ✚ e.g., a **refrigerator** cannot operate unless its compressor is driven by an **external power source**, as an electric motor.
- ✚ The **net effect** on the surroundings involves the consumption of some energy in the form of **work**, in addition to the transfer of **heat** from a colder body to a warmer one.
- ✚ The **Kelvin–Planck** and the **Clausius** statements are equivalent in their consequences. A violation of one of them necessitates a violation for the other.

# Heat engines / refrigerator combination



# Heat engine/Refrigerator combination operating between the same two reservoirs

- ✦ The heat engine is assumed to have, in violation of the Kelvin–Planck statement, a thermal efficiency of 100%, and therefore it converts all the heat  $Q_H$  it receives to work  $W$ .
- ✦  $W$  is supplied to a refrigerator that removes  $Q_L$  from the low-temperature reservoir and rejects heat in the amount of  $Q_L + Q_H$  to the high-temperature reservoir.
- ✦ The high-temperature reservoir receives a net amount of heat  $Q_L$ .

✚ Thus, the combination of these two devices can be viewed as a **refrigerator** that transfers heat in an amount of  $Q_L$  from a cooler body to a warmer one without requiring any input from outside.

✚ This is clearly a **violation of the Clausius statement.**

A **violation** of the **Kelvin–Planck** statement results in the **violation** of the **Clausius** statement.

# Maximizing efficiency of heat engines

- ✚ **Heat engines** are cyclic devices and that the **working fluid** of a heat engine returns to its initial state at the end of each cycle.
- ✚ **Work** is done by the **working fluid** during one part of the cycle and on the **working fluid** during another part.
- ✚ The difference between these two is the **net work** delivered by the **heat engine**.
- ✚ The **efficiency** of a heat-engine cycle can be **maximized** by using processes that require the least amount of work and deliver the most, that is, by using **reversible processes**.
- ✚ **Reversible cycles** cannot be achieved (**100 %**) in practice

# CARNOT CYCLE

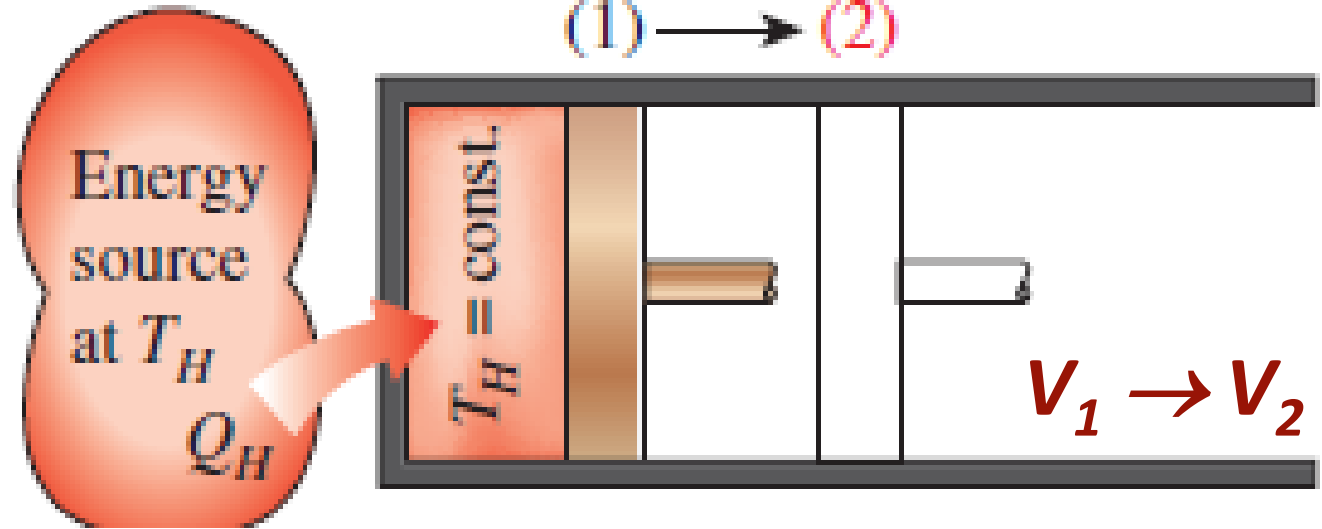
1824 French engineer Sadi Carnot

*The best known reversible (theoretical) cycle is the “Carnot heat engine”*

- + No engine in the world may perform with a better efficiency than the Carnot engine: **Carnot's claim**.
- + It is composed of four reversible processes—two isothermal and two adiabatic—and it can be executed either in a **closed** or a **steady flow** system. See next.
- + Consider a **closed system** that consists of a gas contained in an adiabatic piston–cylinder device.
- + The **insulation** of the cylinder head is such that it may be removed to bring the cylinder into contact with reservoirs to provide heat transfer.

# I- Reversible Isothermal Expansion, $T_H$

$T_H = \text{constant}$



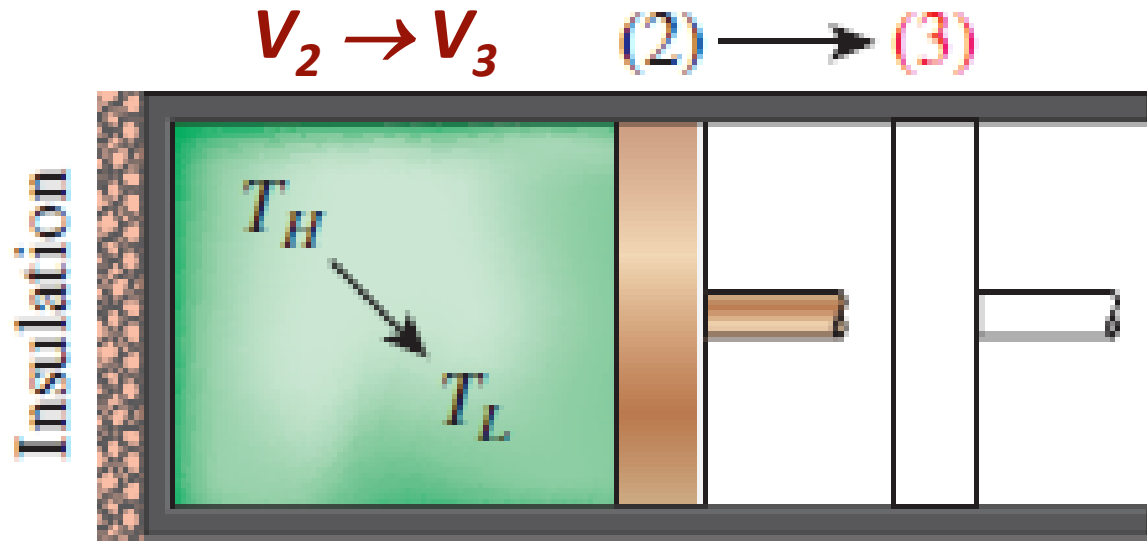
Both of the **gas** and the **source** at the same temp.  $T_H$

$$W_1 = -nRT_H \ln \left( \frac{V_2}{V_1} \right)$$

The gas is allowed to **expand slowly**, doing work on the surroundings. The gas **temp.** is therefore **decreases** but quickly compensated (by  $Q_H$ ) from the source to sustain the system **isothermal**.

# II- Reversible Adiabatic Expansion, $q=0$

✚ The reservoir that was in contact with the cylinder head is removed and replaced by insulation so that the system becomes adiabatic.

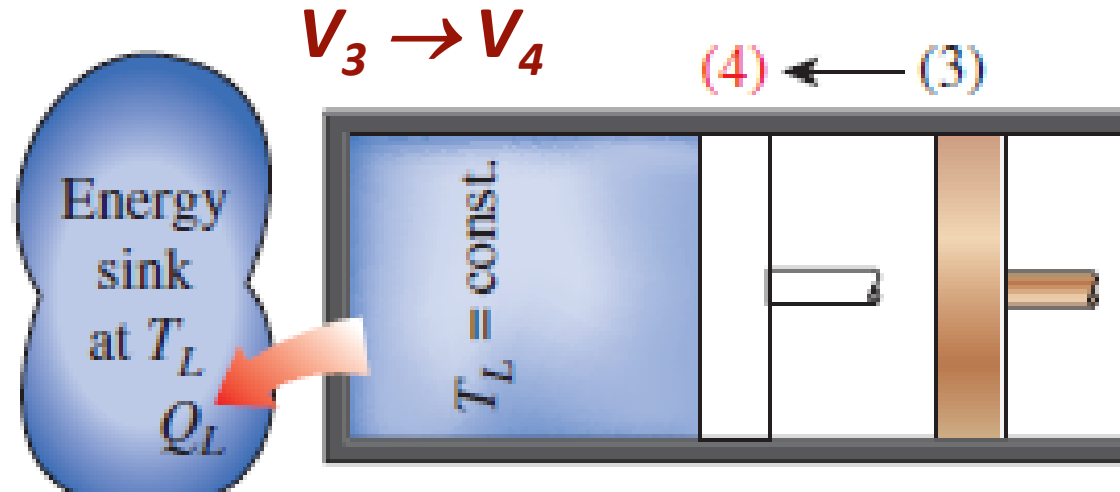


✚ Gas continues to expand slowly, doing work on surroundings until its  $T$  drops from  $T_H$  to  $T_L$

$$W_2 = \Delta U_2 = \int_{T_H}^{T_L} c_V dT = - \int_{T_L}^{T_H} c_V dT$$

# III- Reversible Isothermal compression, $T_L$

Insulation is removed, and the cylinder is brought into contact with a sink at temperature  $T_L$ .



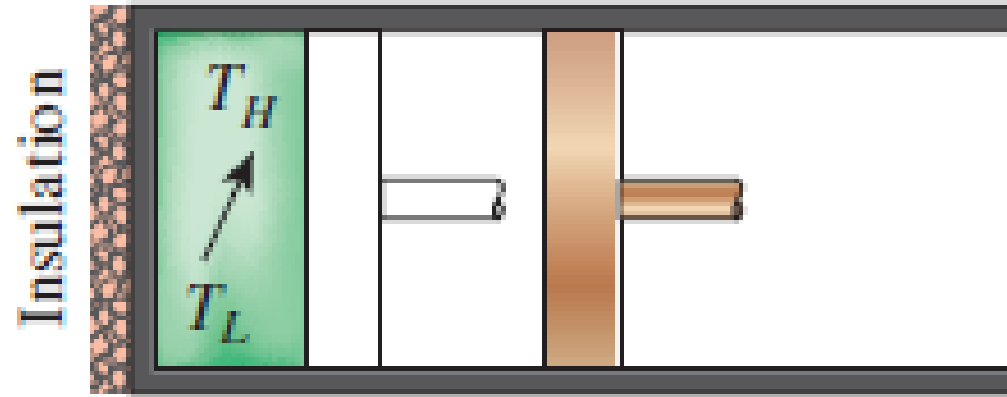
$$W_3 = -nRT_L \ln \left( \frac{V_4}{V_3} \right) \\ = nRT_L \ln \left( \frac{V_3}{V_4} \right)$$

The piston is pushed inward by an external force, doing work on the gas. As the gas is **compressed**, its temperature tends to rise but compensated by dissipating  $Q_L$  to sink.

# IV- Reversible Adiabatic Compression, $q=0$

✚ The reservoir that was in contact with the cylinder head is removed and replaced by **insulation** so that the system becomes adiabatic.

$$V_4 \rightarrow V_1 \quad (1) \leftarrow (4)$$



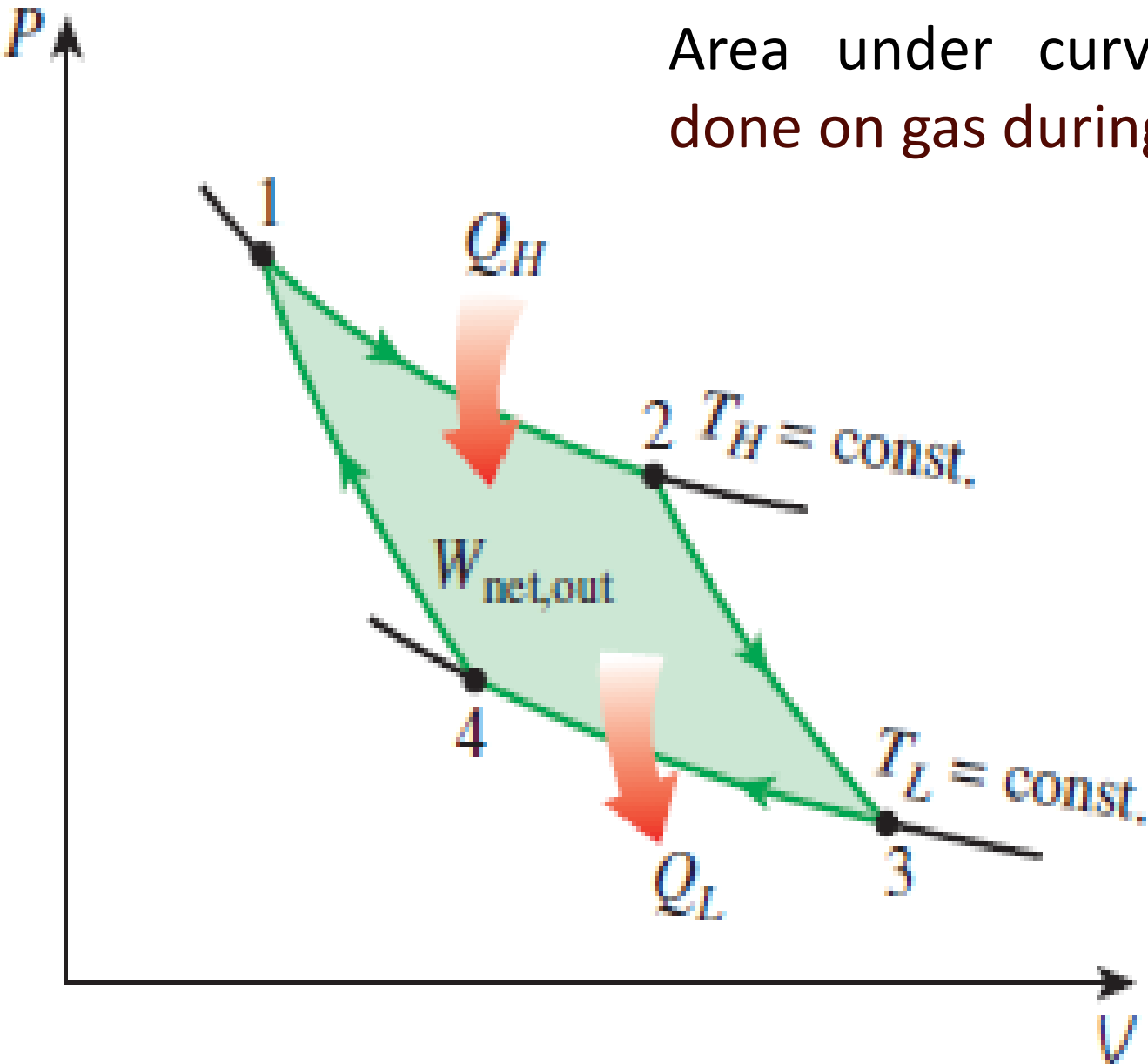
✚ The gas is compressed reversibly to return (from  $T_L$  to  $T_H$ ) to its initial state

$$W_4 = \Delta U_4 = \int_{T_L}^{T_H} c_V dT$$

# PV Carnot cycle

Area under curve 1-2-3 = work done by the gas during expansion

Area under curve 3-4-1 = work done on gas during compression



Area enclosed by path of the cycle (area 1-2-3-4-1) = difference between these two = **net work** done during the cycle

- ▶ If the gas is **compressed** at state 3 adiabatically instead of isothermally in an effort to save  $Q_L$ , we would end up back at state 2, retracing the **process path 3-2**.
- ▶ By doing so we would save  $Q_L$ , but we would not be able to obtain any **net work output** from this engine.
- ▶ This illustrates once more the **necessity** of a **heat engine** exchanging heat with **at least two reservoirs** at different temperatures to operate in a cycle and produce a net amount of work.

# Thermal efficiency of Carnot Engine, $\eta$

✚ For any cyclic process  $\sum \Delta U = 0$        $Q_t + W_t = 0$

$$Q_t = Q_H + Q_L$$

$$\begin{aligned} W_t &= W_1 + W_2 + W_3 + W_4 = \\ &= -nRT_H \ln \left( \frac{V_2}{V_1} \right) - \int_{T_L}^{T_H} c_V dT + nRT_L \ln \left( \frac{V_3}{V_4} \right) + \int_{T_L}^{T_H} c_V dT \\ &= nRT_H \ln \left( \frac{V_1}{V_2} \right) + nRT_L \ln \left( \frac{V_3}{V_4} \right) \end{aligned}$$

## For adiabatic steps 2 and 4

$$T_H \left(\frac{c_V}{R}\right) V_2 = T_L \left(\frac{c_V}{R}\right) V_3$$

$$T_H \left(\frac{c_V}{R}\right) V_1 = T_L \left(\frac{c_V}{R}\right) V_4$$

$$\frac{V_2}{V_1} = \frac{V_3}{V_4}$$

$$\ln \left( \frac{V_1}{V_2} \right) = \ln \left( \frac{V_4}{V_3} \right)$$

+ Substitute in  $W_t$

$$W_t = nRT_H \ln \left( \frac{V_1}{V_2} \right) + nRT_L \ln \left( \frac{V_3}{V_4} \right)$$

$$W_t = nRT_H \ln \left( \frac{V_1}{V_2} \right) + nRT_L \ln \left( \frac{V_2}{V_1} \right)$$

$$W_t = nRT_H \ln \left( \frac{V_1}{V_2} \right) - nRT_L \ln \left( \frac{V_1}{V_2} \right)$$

$$W_t = nR (T_H - T_L) \ln \left( \frac{V_1}{V_2} \right)$$

## # Calculate $\eta$

$$\eta_{th} = \frac{W_{net,out}}{Q_H} = \frac{W_{cy}}{W_1} = \frac{nR (T_H - T_L) \ln \left( \frac{V_1}{V_2} \right)}{nRT_H \ln \left( \frac{V_1}{V_2} \right)} = \frac{(T_H - T_L)}{T_H}$$

$\eta_{th}$

- ▶ is a fraction of  $Q_H$  and is independent of the nature and quantity of the working fluid.
- ▶ depends on  $Q_H$  and  $Q_L$  or simply on  $T_H$  and  $T_L$

A **100 %** conversion efficiency of heat into work is impossible in cyclic processes

$$\eta_{th} = \frac{(T_H - T_L)}{T_H}$$

$< \eta_{th,rev}$  → Irreversible HE  
 $= \eta_{th,rev}$  → reversible HE  
 $> \eta_{th,rev}$  → impossible HE

- ▶ For  $\eta = 100 \%$ ,  $T_L$  should be **0K**, the **absolute temperature** that is not reached experimentally.
- ▶ In order to reject heat at **0K**, its surrounding reservoir (**sink**) should be **cooled** several degrees below **0K**, which is **impossible**.

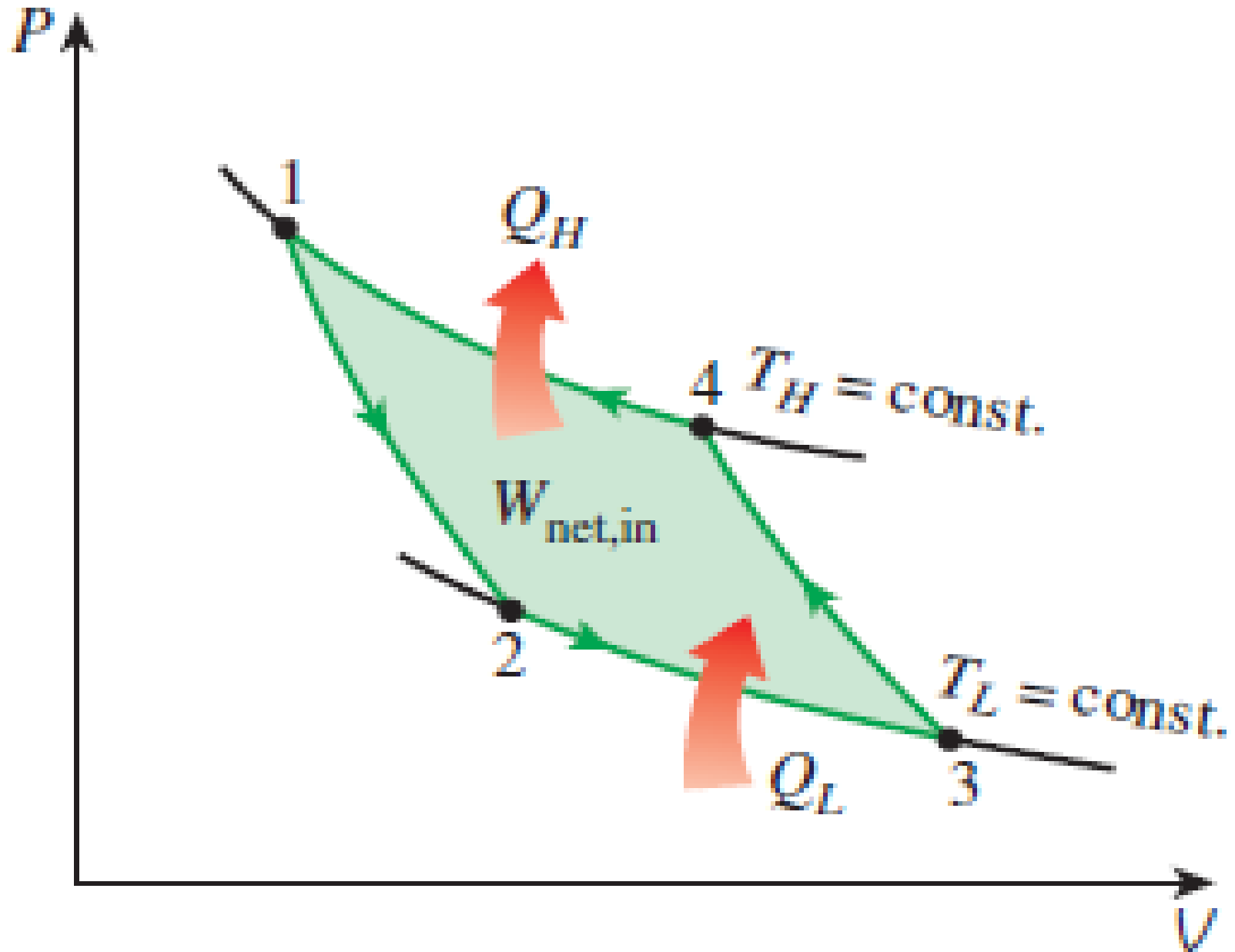
The **thermal efficiency** of actual heat engines can be maximized by

- ▶ **Supplying** heat to the engine at the highest possible temperature (**limited by material strength**) and
- ▶ **Rejecting** heat from the engine at the lowest possible temperature (limited by the temperature of the cooling medium such as rivers, lakes, or the atmosphere).

# Reversed CARNOT CYCLE

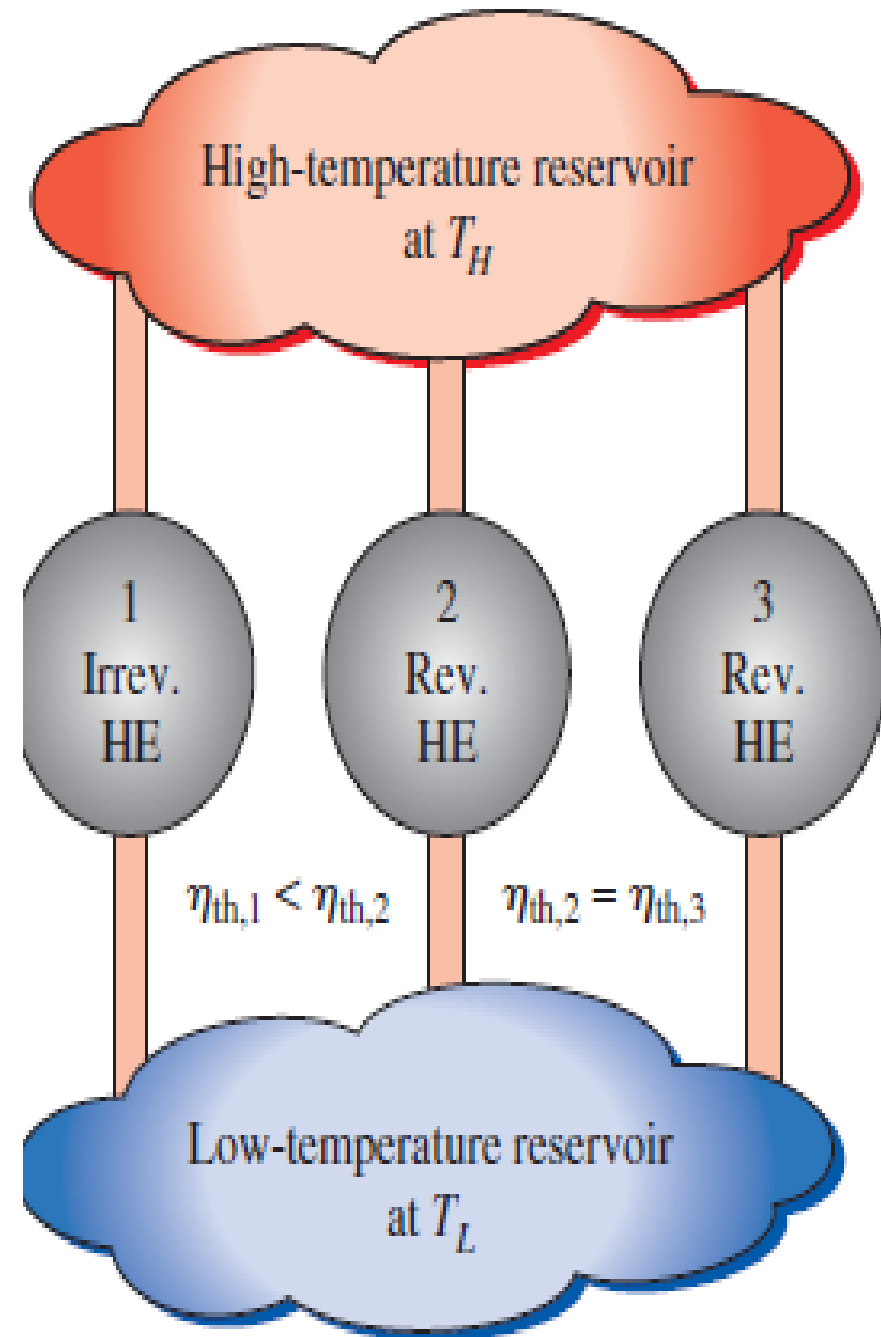
- ✚ The Carnot **heat-engine** cycle is totally **reversible**.
- ✚ Reversing all the processes gives the **Carnot refrigeration cycle**.
- ✚ The cycle remains exactly the same, except that the directions of any heat and work interactions are reversed:
  - Heat in the amount of  $Q_L$  is absorbed from the **low-temperature reservoir**.
  - Heat in the amount of  $Q_H$  is rejected to a **high-temperature reservoir**.
  - Work input of  $W_{\text{net,in}}$  is required to accomplish all this.

# The reversed Carnot cycle



# The Carnot Principles

- 1) The efficiency of an **irreversible heat engine** is always less than the efficiency of a **reversible one** operating between the same two reservoirs.
- 2) The **efficiencies** of all **reversible heat engines** operating between the same two reservoirs are the same.

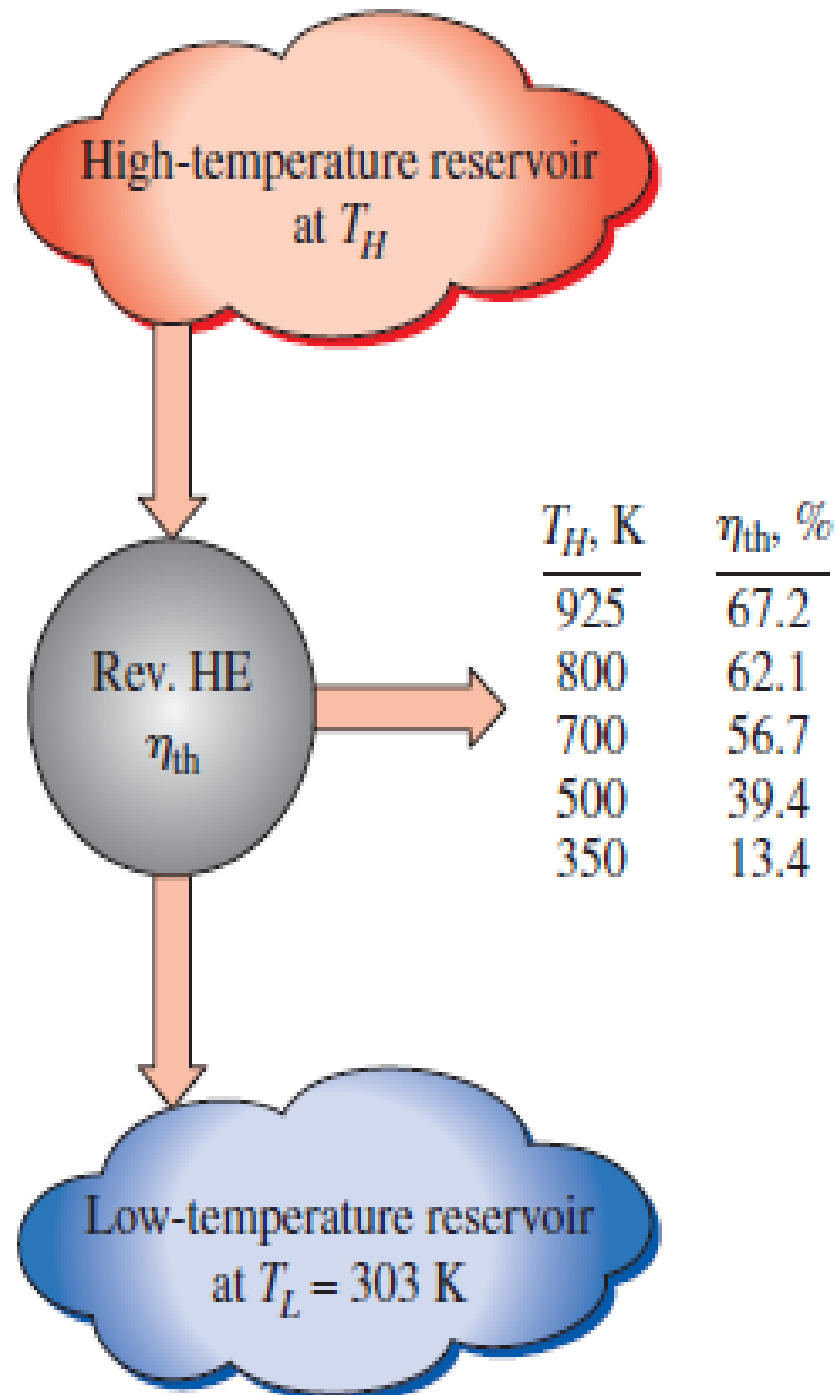


# Assessment of $\eta$ of heat engines

- ✚ When the performance of actual heat engines is assessed, the efficiencies should not be compared to 100 percent; instead, they should be compared to the efficiency of a reversible heat engine operating between the same temperature limits—because this is the true theoretical upper limit for the efficiency, not 100 percent.
- ▶ The maximum efficiency of a steam power plant operating between  $T_H = 1000 \text{ K}$  and  $T_L = 300 \text{ K}$  is 70 %.
- ▶ Compared with this value, an actual efficiency of 40 percent does not seem so bad, even though there is still plenty of room for improvement.

# Quality of energy

- Consider a heat engine operating at different  $T_H$  but the same  $T_L$ .
- As  $T_H$  decreases,  $\eta_{th}$  decreases.
- It is clear that more of the **high-temperature thermal energy** can be converted to work.
- Therefore, **the higher the temperature**, the higher the quality of the energy



# Solar energy-based reservoir

- ✚ **Solar energy** can be stored in large bodies of water called **solar ponds** at about 350 K (77°C).
- ✚ This stored energy can then be supplied to a **heat engine** to produce work (**electricity**).
- ✚ However, the efficiency of **solar pond** power plants is very low (under 5 percent) because of the low quality of the energy stored in the source.
- ✚ The **construction and maintenance costs** are also relatively high.
- ✚ The **temperature** (and thus the **quality**) of the solar energy stored could be raised by utilizing **concentrating collectors**, but the **equipment cost** in that case becomes very high.

# Work/Heat quality

- + Work is a more valuable form of energy than heat since 100 percent of work can be converted to heat, but only a fraction of heat can be converted to work.
- + If 100 kJ of heat is transferred from a body at 1000 K to a body at 300 K, at the end we will have 100 kJ of thermal energy stored at 300 K, which has no practical value.
- + But if this conversion is made through a heat engine, up to  $(1000 - 300/1000) = 70$  percent of it could be converted to work, which is a more valuable form of energy.

# Important for using a refrigerator

- + **Open** the refrigerator door the **fewest times** possible for the shortest duration possible.
- + **Cool** the **hot** foods to room temperature first before putting them into the refrigerator.
- + **Clean** the **condenser** coils located behind or beneath the refrigerator a couple of times a year.
- + Check the **door gasket** for air leaks.
- + **Avoid** unnecessarily **low temperature** settings.
- + **Avoid** excessive **ice build-up** on the interior surfaces of the evaporator (heat transfer **resistance**).
- + Do not **block** the air flow passages to and from the condenser coils of the refrigerator.

Warm air  
30°C

